

Soft-Collinear Effective Theory (SCET)

Lecture Notes

Formalism &amp; Applications

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[more Refs online as we go along]

Partial Topic ListRefs I used

- |  |  |
|--|--|
| (i) Intro, Degrees of Freedom, Scales,<br>expansion of spinors, propagators,<br>power counting see (2), (3)  | (1) hep-ph/0005275 (d.o.f.)<br>(2) hep-ph/0011336 (d.o.f, $\alpha_s$ )<br>(3) hep-ph/0107001 (hard-collin fact.) |
| (ii) Construction of $\mathcal{L}_{SCET}$ , Currents<br>Multipole Expn, Labels,<br>Zero-bin, I.R. divergences see (2), (3), (10)                             | (4) hep-ph/0109045 (Gauge Inv. soft-collin)<br>(5) hep-ph/0205289 (power counting)                               |
| (iii) $SCET_{\perp}$ , Gauge Symmetry (3), (4), (6)<br>Reparameterization Invariance   | (6) hep-ph/0204229 (RPI)   |
| (iv) Ultraviolet-Collin Factorization<br>Hard-Collinear Factorization<br>Matching & Running for Hard Fns (4), (1), (2), (3)                                  | (7) hep-ph/0303156 (Gauge Inv. $\mathcal{O}(\lambda^2)$ )<br>(8) hep-ph/0202088 (Hard Scattering)                |
| (v) DIS, how SCET p.c. includes<br>twist expansion as special case<br>renormalization with convolutions  | (9) hep-ph/0107002 ( $B \rightarrow D\pi$ )<br>(10) hep-ph/0605001 (0-bin)                                       |
| (vi) $SCET_{\perp}$ Soft-Collinear Interactions<br>use of auxiliary Lagrangians<br>Power Counting formula, Rapidity<br>Divergences (4), (7), (10), (5), (12) | (11) hep-ph/0211069 ( $SCET_{\perp} \rightarrow SCET_{\perp}$ )<br>(12) arXiv: 1202.0814 (rapidity RGE)          |
| (vii) Power Corrections,<br>including $SCET_{\perp}$ from $SCET_{\parallel}$   |  |

Processes:  $e^+e^- \rightarrow \text{jets}$ ,  $B \rightarrow D\pi$ ,  $e^-p \rightarrow e^-X$ ,  $pp \rightarrow \text{Higgs} + \text{jets}$   
 $B \rightarrow \pi \ell \bar{\nu}$ ,  $\gamma^* \gamma \rightarrow \pi^0$ , ...

Section 1 Intro, Degrees of Freedom, Coordinates

SCET: an EFT for energetic hadrons  $E_H \simeq Q \gg \Lambda_{QCD} \sim M_H$   
 an EFT for energetic jets  $E_J \simeq Q \gg M_J = \sqrt{p_T^2}$   
 an EFT for massless hard  $\leftrightarrow$  collinear  $\leftrightarrow$  soft interactions

Why? • "Factorization" Our main probe of short distance physics is hard collisions ( $e^+e^- \rightarrow \text{stuff}$ ,  $pp \rightarrow \text{stuff}$ ). Disentangling the physics of QCD & other interactions requires a separation of scales  $\rightarrow$  EFT  $\rightarrow$  SCET

• jets, energetic hadrons are very common

eg. Hard Scattering  $e^-p \rightarrow e^-X$  (DIS),  $p\bar{p} \rightarrow X \ell^+ \ell^-$  <sup>Drell-Yan</sup>,  $pp \rightarrow HX$   
 $\gamma^* \gamma \rightarrow \pi^0$ ,  $e^+e^- \rightarrow \text{jets}$ ,  $e^+e^- \rightarrow J/\psi X$ , ...  
 jet substructure

eg B-decays  $B \rightarrow X_s \gamma$ ,  $B \rightarrow X_u e \bar{\nu}$ ,  $B \rightarrow D \pi$ ,  $B \rightarrow \pi \ell \nu$   
 $B \rightarrow \pi \pi$ , ...  
 $M_B = 5.279 \text{ GeV} \gg \Lambda_{QCD}$

• Need to separate perturbative  $\alpha_s(Q) \ll 1$  & non-perturbative effects in QCD (eg. hard scattering vs. parton distn's)

• Sum large Sudakov double logs  $\sim (\alpha_s \ln^2)^K$

• New EFT tools

# Prelude (What Makes SCET different from other EFT's)

- We will have multiple fields for the same particle  
 $\chi_n =$  collinear quark field  
 $\psi_s =$  soft " "
- We will integrate out offshell modes but not entire d.o.f. (like HQET)
- SCET has convolutions  $\sum_i C_i G_i \rightarrow \int d\omega C(\omega) G(\omega)$
- power counting parameter  $\lambda \ll 1$  is not related to mass dimension of fields
- Wilson Lines  $P \exp(i g \int ds n \cdot A(ns))$  appear everywhere, subtle & interesting gauge symmetry structure
- $1/\epsilon^2$  divergences at 1-loop that require UV counterterm

## Degrees of freedom for SCET:

eg 1  $B \rightarrow D \pi$  hadrons



in B rest frame  $P_\pi^\mu = (2.310 \text{ GeV}, 0, 0, -2.306 \text{ GeV})$   
 $\approx Q n^\mu$  to good approx.

where  $n^\mu = (1, 0, 0, -1)$ ,  $n^2 = 0$  light-like vector  
 $\uparrow$  0,1,2,3 basis

$Q \gg \Lambda_{QCD}$

Light-Cone Coordinates

Basis vectors  $n^\mu, \bar{n}^\mu$

$n^2=0, \bar{n}^2=0, n \cdot \bar{n} = 2$

Vectors

$p^\mu = \frac{n^\mu}{2} \bar{n} \cdot p + \frac{\bar{n}^\mu}{2} n \cdot p + p_\perp^\mu$

Notation

$p^+ \equiv n \cdot p, p^- \equiv \bar{n} \cdot p$

$p^2 = n \cdot p \bar{n} \cdot p + p_\perp^2 = p^+ p^- + p_\perp^2 = p^+ p^- - \vec{p}_\perp^2$

metric

$g^{\mu\nu} = \frac{n^\mu \bar{n}^\nu}{2} + \frac{\bar{n}^\mu n^\nu}{2} + g_{\perp}^{\mu\nu}$

epsilon

$\epsilon_\perp^{\mu\nu} = \epsilon^{\mu\nu\alpha\beta} \frac{\bar{n}_\alpha n_\beta}{2}$

•  $n^2=0$  requires complementary vector  $\bar{n}^\mu$  for decomposition (dual vector for orthogonality)

• choice  $n^\mu = (1, 0, 0, -1), \bar{n}^\mu = (1, 0, 0, 1)$  works

but other choices do too [eg  $n = (1, 0, 0, -1), \bar{n} = (3, 2, 2, 1)$ ] (more later)

Constituent Quark & Gluons:

In  $B \rightarrow D\pi$  the  $B, D$  are soft  $E_H \sim M_H$ , use HQET for their constituents. quark & gluons with  $p^\mu \sim \Lambda$

Pion is "collinear"  $E_\pi \gg M_\pi$ , is highly boosted

- In rest frame



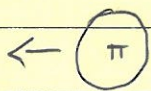
has quark & gluon constituents

$p^\mu \sim (\Lambda, \Lambda, \Lambda)$

- boost along  $\hat{z}$ ,  $K \gg 1$

$p^- \rightarrow K p^-, p^+ \rightarrow \frac{p^+}{K}$

$p_\perp \rightarrow p_\perp$



has constituents

$p^\mu \sim (\frac{\Lambda^2}{Q}, Q, \Lambda)$

relative scaling

$p^- \gg p_\perp \gg p^+$  defines

collinear

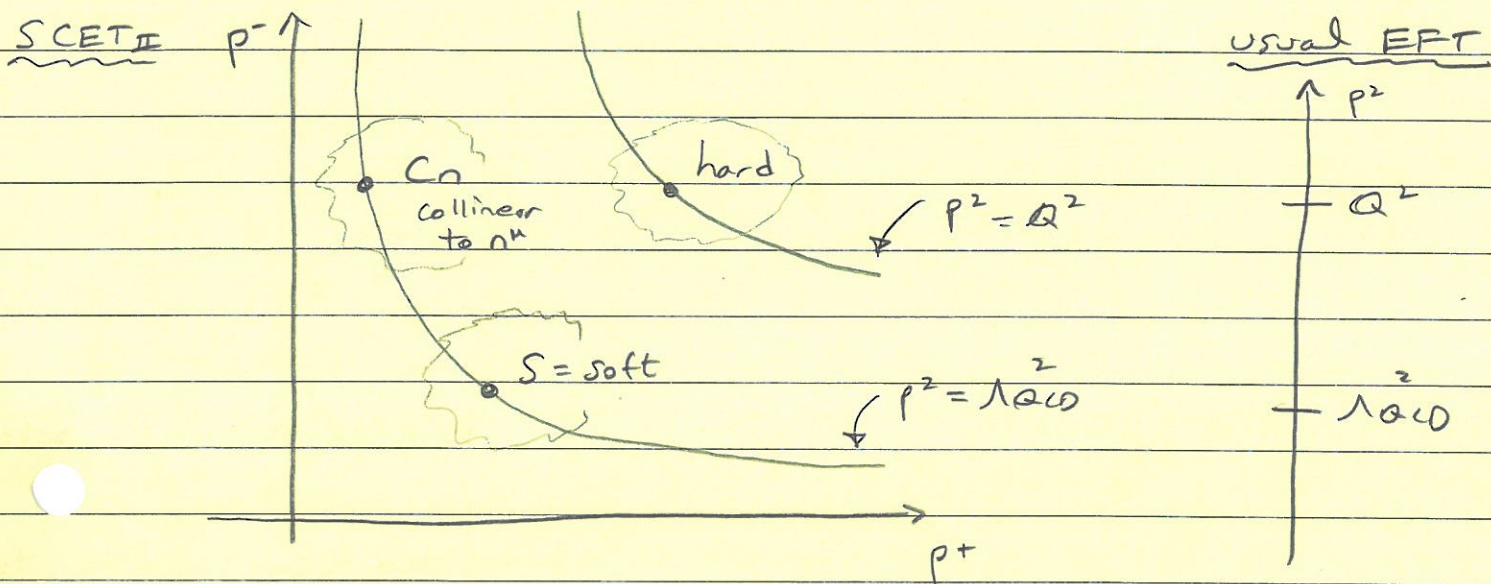
fluctuations about

$(0, Q, 0) = p_\perp^\mu$

Generically  $(p^+, p^-, p^2) \sim Q(\lambda^2, 1, \lambda)$  is collinear

where  $\lambda \ll 1$  is small parameter (our e.g. has  $\lambda = \frac{\Lambda}{Q}$ )

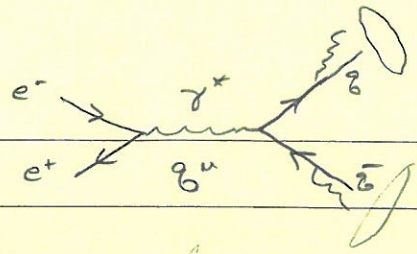
Degrees of freedom occupy momentum regions in SCET



### Comments

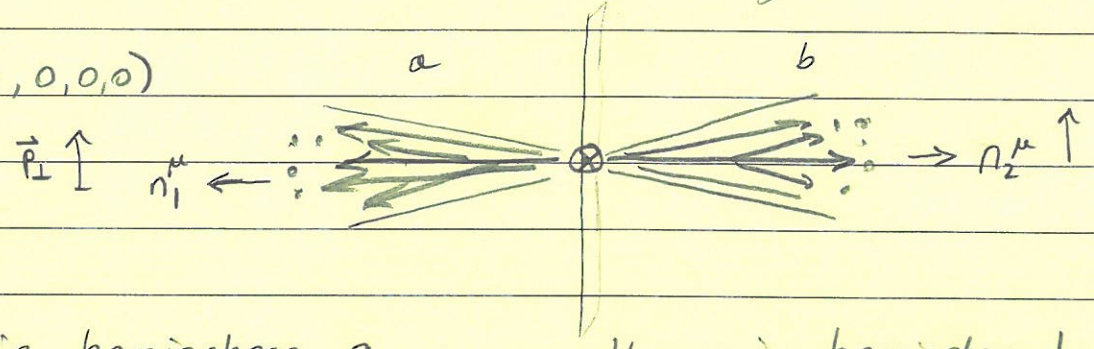
- $p^2 = p^+ p^- - \vec{p}_\perp^2$ , enough to characterize d.o.f. in  $p^+ - p^-$  plane since  $\vec{p}_\perp^2 \sim p^+ p^-$  for modes that can go on-shell
- boundary of regions would be a cutoff in Wilsonian EFT, but we'll use dim. reg. to preserve symmetries. Still the correct picture but region overlaps a bit more tricky
- the theory with  $C_n$  &  $S$  d.o.f. is known as SCET II & it applies for energetic hadron production

eg 2.  $e^+e^- \rightarrow$  dijets



CM frame  $q^\mu = (Q, 0, 0, 0)$

back-to-back jets



jet of hadrons in hemisphere a, another in hemisphere b

$n_1$ -collinear jet

jet constituents have  $p_\perp \sim \Delta \ll p_- \sim Q$

$$(p^+, p^-, p_\perp) \sim \left( \frac{\Delta^2}{Q}, Q, \Delta \right) \sim Q (\lambda^2, 1, \lambda)$$

collinear

$\uparrow$  fixed by  $p^+p^- \sim p_\perp^2$

Jet Mass  $M_J^2 = \left( \sum_{i \in a} p_i^\mu \right)^2 \sim p^-p^+ \sim \Delta^2 \ll Q^2$

(another way to characterize that its a jet)

here  $\lambda = \frac{\Delta}{Q} \ll 1$

If  $\Delta \sim Q$  we don't have dijets (inclusive sum over many hadrons in all directions) jets, <sup>local</sup> OPE region)

$\Delta \sim \Lambda_{QCD}$  we have energetic hadrons, jets are so narrow that all constituents bind into a hadron

$n_2$ -collinear jet

take  $n_1 = n$   
 $n_2 = \bar{n}$  for simplicity

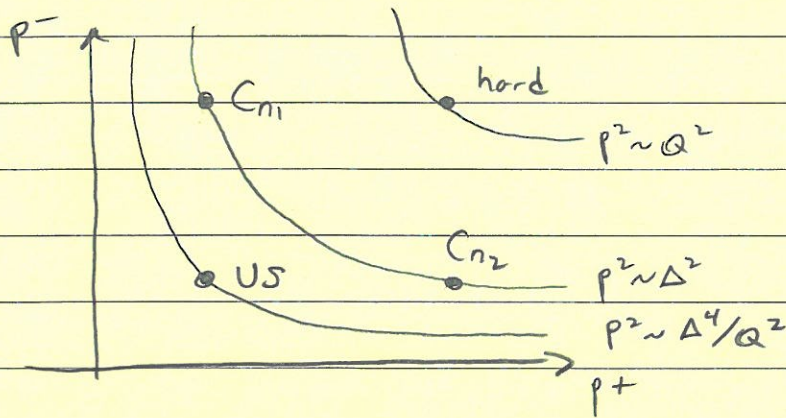
just mirror of above with  $+ \leftrightarrow -$

Another important d.o.f. are ultrasoft modes "US" that can communicate between jets

$$p^\mu \sim \left( \frac{\Delta^2}{Q}, \frac{\Delta^2}{Q}, \frac{\Delta^2}{Q} \right)$$

+   -   +

"communicate" means sharing momenta of a common size



	(+, -, L)
n-collin	$(\lambda^2, 1, \lambda) Q$
$\bar{n}$ -collin	$(1, \lambda^2, \lambda) Q$
usoft	$(\lambda^2, \lambda^2, \lambda^2) Q$

↑  
IR degrees of freedom with

$$p^2 \lesssim Q^2 \lambda^2$$

↑  
SCET<sub>I</sub>, EFT for energetic jets

[soft  $(\lambda, \lambda, \lambda) Q$  in this notation]

Note (Discuss)

- (i) multiple modes for IR  $\leftrightarrow$  needed for p.c.  $\leftrightarrow$  multiple fields
- (ii) we integrate out modes above a given hyperbola in invariant mass (offshell modes)
- (iii) important thing is relative scaling of momenta btwn modes (absolute scaling frame dependent, but relative scaling is frame independent)

eg 3

1-jet only?

$b \rightarrow s \gamma$

$B \rightarrow X_s \gamma$

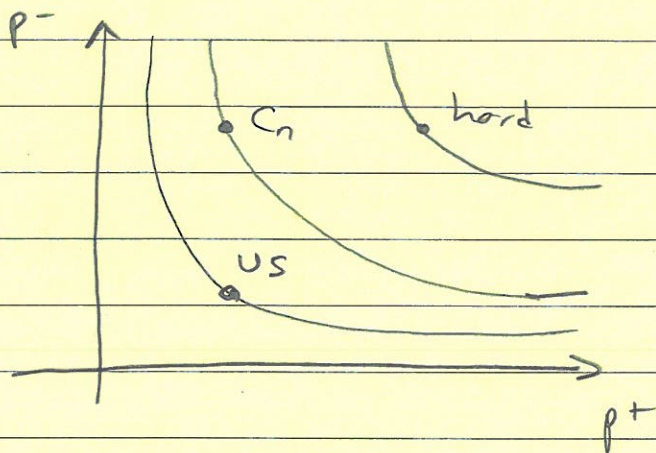
$\uparrow \geq 1$  hadron, summed over

two-body kinematics

$$E_\gamma = \frac{M_B^2 - M_X^2}{2M_B} \in \left[ 0, \frac{M_B^2 - M_K^2}{2M_B} \right]$$

for  $M_X \in [m_B, m_K^*]$

$\Lambda_{QCD}^2 \ll m_X^2 \ll M_B^2 = Q^2$  gives



natural case

$p_{us}^2 \sim \Lambda_{QCD}^2 \sim \Delta^4/\alpha^2$

$\Delta \sim \sqrt{\Lambda_{QCD} Q}$

ultrasoft modes are constituents of B-meson



### Collinear Spinors

$u_n$  labelled by direction  $n$   
(analog of HQET spinor  $u_v$ )

massless QCD spinors  
(Dirac Rep.)

$$u(p) = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ \frac{\vec{\sigma} \cdot \vec{p}}{p^0} u \end{pmatrix}, \quad v(p) = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\vec{p} \cdot \vec{\sigma}}{p^0} v \\ v \end{pmatrix}$$

let  $n^\mu = (1, 0, 0, 1)$   
 $\bar{n}^\mu = (1, 0, 0, -1)$

expand  $\bar{n} \cdot p = p^0 + p^3 \gg p_\perp \gg n \cdot p = p^0 - p^3$   
 $\frac{\vec{\sigma} \cdot \vec{p}}{p^0} = \sigma^3$

$$u_n = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ \sigma^3 u \end{pmatrix} = \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \right\} \text{ particles}$$

$$v_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \sigma^3 v \\ v \end{pmatrix} = \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ 0 \\ 1 \end{pmatrix} \right\} \text{ antiparticles}$$

$$\not{n} = \begin{pmatrix} \mathbb{1} & -\sigma^3 \\ \sigma^3 & -\mathbb{1} \end{pmatrix}$$

so  $\boxed{\not{n} u_n = \not{n} v_n = 0}$

$$\frac{\not{n} \not{n}}{4} = \frac{1}{2} \begin{pmatrix} \mathbb{1} & \sigma^3 \\ \sigma^3 & \mathbb{1} \end{pmatrix}$$

so  $\boxed{\frac{\not{n} \not{n}}{4} u_n = u_n, \quad \frac{\not{n} \not{n}}{4} v_n = v_n}$

↑  
Projection Operator

Decompose  $\mathbb{1} = \frac{\not{n} \not{n}}{4} + \frac{\bar{n} \bar{n}}{4}$

$$\mathbb{1} \psi^{QCD} = \psi_n + \psi_{\bar{n}} \quad \left[ \leftarrow \text{slightly different from Dirac spinors, more later} \right]$$

At high energy we produce/annihilate the components  $\psi_n$ ,  
not the "small" components  $\psi_{\bar{n}}$

Collinear Propagators

$$p^2 + i0 = \bar{n} \cdot p \, n \cdot p + P_\perp^2 + i0$$

$$\sim \lambda^0 * \lambda^2 + \lambda * \lambda \quad \text{same size}$$

↙  $\lambda$  suppressed

Fermions

$$\frac{i \not{p}}{p^2 + i0} = \frac{i \not{\alpha}}{2} \frac{\bar{n} \cdot p}{p^2 + i0} + \dots$$

$$\begin{array}{c} \longrightarrow \\ p \end{array} = \frac{i \not{\alpha}}{2} \frac{1}{n \cdot p + \frac{P_\perp^2}{\bar{n} \cdot p} + i0 \operatorname{sign}(\bar{n} \cdot p)} + \dots$$

from  $T \{ \psi_n(x), \bar{\psi}_n(0) \}$

↑ both particles  $\bar{n} \cdot p > 0$   
 † antiparticle  $\bar{n} \cdot p < 0$

Power counting of fields from free kinetic term

$$\mathcal{L} = \int d^4x \, \bar{\psi}_n \frac{\not{\partial}}{2} [\dots] \psi_n$$

$$\lambda^{-4} \quad \lambda^a \quad [\lambda^2 + \dots] \quad \lambda^a = \lambda^{2a-2}$$

set  $\mathcal{L} \sim \lambda^0$ , normalize kinetic term so no  $\lambda^2$

then

$\psi_n \sim \lambda$

Note: mass dimension  $[\psi_n] = 3/2$

$\lambda$  dimension  $[\psi_n]^\lambda = 1$

Collinear Gluons

consider general covariant gauge

$$\int d^4x e^{ik \cdot x} \langle 0 | T A_n^\mu(x) A_n^\nu(0) | 0 \rangle = \frac{-i}{k^2} \left( g^{\mu\nu} - \gamma \frac{k^\mu k^\nu}{k^2} \right)$$

↓ gauge param.

as above  $k^2 = k^+k^- + k_\perp^2 \sim \lambda^2$ , no expansion

Also  $g^{\mu\nu} - \frac{k^\mu k^\nu}{k^2}$  has two terms of same size

eg.  $g_{\perp}^{\mu\nu} \sim \lambda^0 \sim \frac{k_\perp^\mu k_\perp^\nu}{k^2} \sim \frac{\lambda^2}{\lambda^2}$  ,  $g^{+-} \sim \lambda^0 \sim \frac{k^+k^-}{k^2} \sim \frac{\lambda^2 \lambda^0}{\lambda^2}$

dot  $\eta_{\mu\nu}$ :  $g^{++} = 0$  ,  $\frac{(n \cdot k)^2}{k^2} \sim \frac{\lambda^4}{\lambda^2} = \lambda^2$

$d^4x \sim \lambda^{-4} \sim \frac{1}{(k^2)^2}$  so  $A_n^\mu \sim k^\mu \sim (\lambda^2, 1, \lambda)$   
+,-,⊥

$A_n^\mu = (A_n^+, A_n^-, A_n^\perp) \sim (\lambda^2, 1, \lambda)$

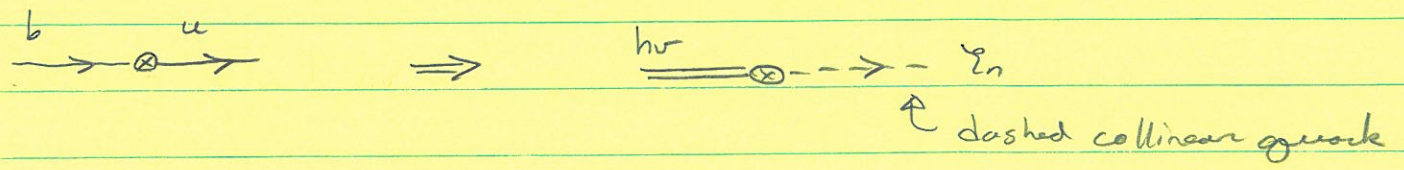
ie  $k^\mu + g A^\mu = i D^\mu$  homogeneous covariant derivative

Note:  $A_n^- \sim \lambda^0$  no suppression to add  $A_n^-$  fields

To see how this has an impact, consider an external weak current

eg.  $b \rightarrow u e \bar{\nu}$  QCD  $J = \bar{u} \Gamma b$   $\Gamma = \gamma^\mu (1 - \gamma_5)$

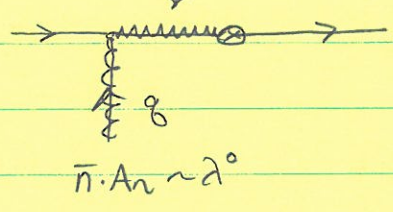
consider heavy  $b$  (HQET), energetic  $u$  (SCET)



$J_{\text{eff}} = \bar{u} \Gamma u$

QCD  
 $\rightarrow \text{sign convention} = ig T^A \gamma^\mu$

$k^\mu$  this is far-offshell



Consider

$$k^\mu = M_b v^\mu + \frac{n^\mu}{2} \bar{n} \cdot g + \dots$$

$$k^2 = M_b^2 + n \cdot v M_b \bar{n} \cdot g + \dots$$

$$k^2 - M_b^2 \sim M_b^2 \text{ for } \bar{n} \cdot g \sim \lambda^0 \sim M_b$$

no power suppression for these gluons

Find

$$A_n^\mu \bar{\psi}_n \Gamma \frac{i(k + m_b)}{k^2 - m_b^2} ig T^A \gamma^\mu \psi_n = -g A_n^{\mu A} \bar{\psi}_n \Gamma \left[ \frac{m_b(1 + \cancel{\nu}) + \frac{\alpha}{2} \bar{n} \cdot g}{n \cdot v M_b \bar{n} \cdot g} \right] \frac{\cancel{\nu}}{2} \bar{n}_\mu T^A \psi_n$$

$\alpha = \lambda^2$

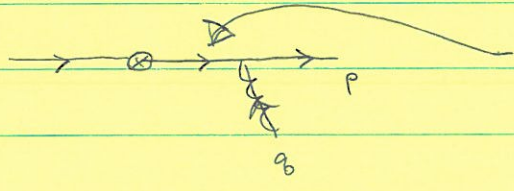
$$= \frac{-g \bar{n} \cdot A^A}{\bar{n} \cdot g} \bar{\psi}_n \Gamma T^A \left[ \frac{+\frac{\alpha}{2} (1 - \cancel{\nu}) + \cancel{\nu}}{n \cdot v} \right] \psi_n$$

$\cancel{\nu} \psi_n = \psi_n$

$$= \frac{-g}{\bar{n} \cdot g} \bar{\psi}_n \Gamma \bar{n} \cdot A \psi_n = \text{diagram}$$

same order in  $\lambda$ .

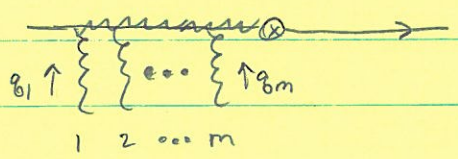
Consider



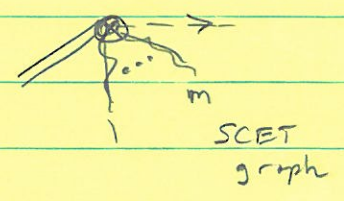
$p - g = \text{collinear for } p \not\parallel g \text{ both collinear, so not offshell}$   
 $\Leftrightarrow$  Lagrangian interaction

QCD graph

Consider



+ crossed gluon graphs



$$= (-g)^m \Gamma \sum_{\text{perms } \{1, \dots, m\}} \frac{\bar{n}^{\mu_m} T^{A_m} \dots \bar{n}^{\mu_1} T^{A_1}}{[\bar{n} \cdot g_1] [\bar{n} \cdot (g_1 + g_2)] \dots [\bar{n} \cdot \sum_{i=1}^m g_i]}$$

when we write fields for external lines we must be a bit careful

Since SCET vertex is localized with  $m$  identical fields

$$\rightarrow \frac{(\bar{n} \cdot A)^m}{m!}$$

Complete tree level matching is  
 $\bar{u} \Gamma b \rightarrow \bar{u}_n W \Gamma b_n$

where 
$$W = \sum_k \sum_{\text{perms}} \frac{(-g)^k}{k!} \left( \frac{\bar{n} \cdot A_{g_1} \dots \bar{n} \cdot A_{g_k}}{[\bar{n} \cdot g_1][\bar{n} \cdot (g_1 + g_2)] \dots [\bar{n} \cdot \sum_{i=1}^k g_i]} \right)$$

is momentum space Wilson Line

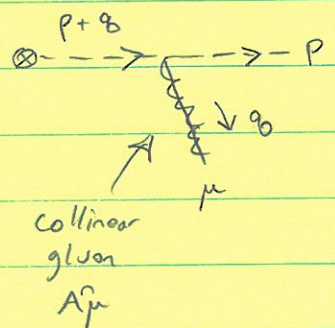
position space Wilson line is

$$W(0, -\infty) = P \exp \left( ig \int_{-\infty}^0 ds \bar{n} \cdot A_n(\bar{n}s) \right)$$

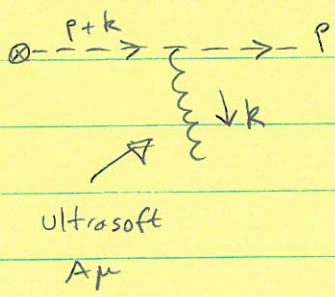
↑ path ordering puts fields with larger argument to the left  $\bar{n} \cdot A_n(\bar{n}s) \bar{n} \cdot A_n(\bar{n}s')$  for  $s > s'$

Effectively:  $\bar{n} \cdot A$  field gets traded for  $W[\bar{n} \cdot A]$

Consider SCET<sub>I</sub>, collinear & usoft  
 $(\lambda^2, 1, \lambda)$        $(\lambda^2, \lambda^2, \lambda^2)$



propagator = 
$$\frac{\bar{n} \cdot (g+p)}{n \cdot (g+p) \bar{n} \cdot (g+p) + (g_{\perp} + p_{\perp})^2 + i0}$$
  
 $g^{\mu} \sim p^{\mu}$  so nothing dropped in denominator



here  $k^{\mu} \sim \lambda^2$        $\bar{n} \cdot k \ll \bar{n} \cdot p \sim \lambda^0$   
 $k_{\perp}^{\mu} \ll p_{\perp}^{\mu} \sim \lambda$   
 $n \cdot k \sim n \cdot p$   
 propagator = 
$$\frac{\bar{n} \cdot p}{n \cdot (k+p) \bar{n} \cdot p + p_{\perp}^2 + i0} + \dots$$
 higher order terms

# SCET Collinear Quark Lagrangian

- Should:
- yield propagator & have interactions with both collinear gluons and usoft gluons
  - have both quarks and antiquarks
  - must yield LO propagator for different situations (without requiring an additional expansion)
  - should be setup so we do not have to revisit LO result when formulating power corrections

[we'll meet & resolve some technical hurdles along the way]

Step 1: Start with  $\mathcal{L}_{QCD} = \bar{\Psi} i \not{D} \Psi$

Write  $\Psi = \xi_n + \gamma_{\bar{n}}$  where

$\xi_n = \frac{\not{n} \not{D} \Psi}{4}$	$\frac{\not{n} \not{D}}{4} \xi_n = \xi_n$
$\gamma_{\bar{n}} = \frac{\not{\bar{n}} \not{D} \Psi}{4}$	$\frac{\not{\bar{n}} \not{D}}{4} \gamma_{\bar{n}} = \gamma_{\bar{n}}$

$$\mathcal{L} = (\bar{\gamma}_{\bar{n}} + \bar{\xi}_n) \left( i \frac{\not{n}}{2} n \cdot D + i \frac{\not{\bar{n}}}{2} \bar{n} \cdot D + i \not{D}_{\perp} \right) (\xi_n + \gamma_{\bar{n}})$$

$$= \bar{\xi}_n \frac{\not{n}}{2} i n \cdot D \xi_n + \bar{\gamma}_{\bar{n}} i \not{D}_{\perp} \xi_n + \bar{\xi}_n i \not{D}_{\perp} \gamma_{\bar{n}} + \bar{\gamma}_{\bar{n}} \frac{\not{\bar{n}}}{2} i \bar{n} \cdot D \gamma_{\bar{n}} \quad (*)$$

other terms are zero eg.  $\bar{\xi}_n i \not{D}_{\perp} \xi_n = \bar{\xi}_n i \not{D}_{\perp} \frac{\not{n} \not{D}}{4} \xi_n = \bar{\xi}_n \frac{\not{n} \not{D}}{4} i \not{D}_{\perp} \xi_n = 0$

So for this  $\mathcal{L}$  is just QCD written in terms of  $\xi_n, \gamma_{\bar{n}}$  vars.

- $\gamma_{\bar{n}}$  corresponds to subleading spinor components. We will not consider a source for  $\gamma_{\bar{n}}$  in the path integral  $\therefore$  we can do path integral over  $\gamma_{\bar{n}}$

e.o.m.  $\frac{\delta}{\delta \bar{\Psi}_n} : \frac{\not{n}}{2} i \not{n} \cdot D \Psi_n + i \not{\partial}_\perp \xi_n = 0$

$$i \not{n} \cdot D \Psi_n + \frac{\not{n}}{2} i \not{\partial}_\perp \xi_n = 0$$

$$\bar{\Psi}_n = \frac{1}{i \not{n} \cdot D} i \not{\partial}_\perp \frac{\not{n}}{2} \xi_n, \quad \Psi = \left( 1 + \frac{1}{i \not{n} \cdot D} i \not{\partial}_\perp \frac{\not{n}}{2} \right) \xi_n$$

Plug back into  $\otimes$ : already used/satisfied 2<sup>nd</sup> & 4<sup>th</sup> terms, 1<sup>st</sup> & 3<sup>rd</sup> give

$$\mathcal{L} = \bar{\xi}_n \left( i \not{n} \cdot D + i \not{\partial}_\perp \frac{1}{i \not{n} \cdot D} i \not{\partial}_\perp \right) \frac{\not{n}}{2} \xi_n \quad (**)$$

← insert (107.5) Aside

We're not yet done. We still need to:

- ② separate collinear & usoft gauge fields
- ③ " " " " momenta
- ④ expand and put pieces together

Step ②:  $A_n^\mu \sim (\lambda^2, 1, \lambda) \sim P_n^\mu, \quad A_{us}^\mu \sim (\lambda^2, \lambda^2, \lambda^2) \sim k_{us}^\mu$

write  $A^\mu = A_n^\mu + A_{us}^\mu + \dots$

like a classical background field to  $\xi_n, A_n^\mu$

$$P_{us}^2 \sim Q^2 \lambda^4 \ll P_c^2 \sim Q^2 \lambda^2$$

↑ long wavelength

there are some more terms that will matter for power corrections (& are fixed by gauge invariance).

Ignore them for now.

### Power counting

$$\bar{n} \cdot A_n \sim \lambda^0 \gg \bar{n} \cdot A_{us}$$

$$A_{\perp n}^\mu \sim \lambda \gg A_{us}^\perp$$

$$n \cdot A_n \sim \lambda^2 \sim n \cdot A_{us}$$

} so  $A_{us}^\perp$  &  $\bar{n} \cdot A_{us}$  can be dropped at leading order

What does  $\frac{1}{i\pi \cdot 2}$  mean?

Its the analog of how you define  $\frac{1}{\hat{n}}$  in quantum mechanics you use the eigenbasis:

$$\frac{1}{i\pi \cdot 2} \phi(x) = \frac{1}{i\pi \cdot 2} \int d^4p e^{-ip \cdot x} \phi(p) = \int d^4p e^{-ip \cdot x} \frac{1}{\hat{n} \cdot p} \phi(p)$$



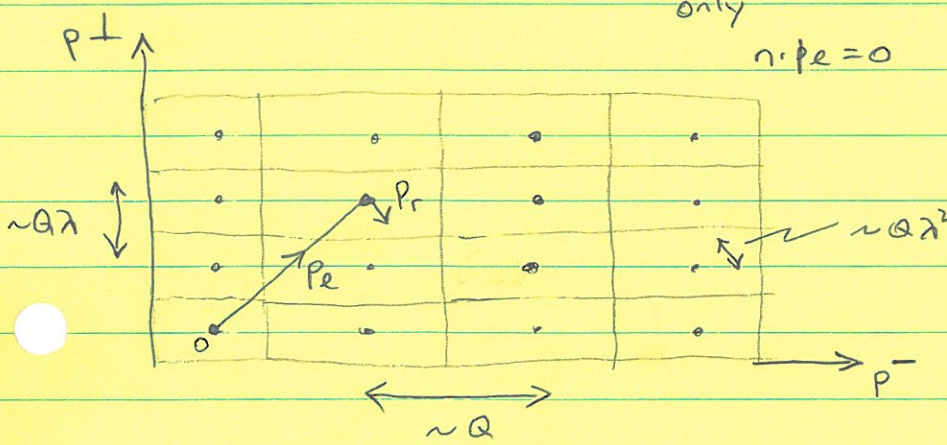


Call  $\xi_n(x)$  field from

Eq. (\*\*\*)  $\rightarrow \hat{\xi}_n(x)$ . [Consider only quark part,  $a_{\vec{p}}^s$ , to start.]  
 pg. 107

Let  $\tilde{\xi}_n(p) = \int d^4x e^{ip \cdot x} \hat{\xi}_n(x)$

Analogy      HQET:  $p^\mu = m v^\mu + k^\mu$       label      residual  
 SCET:  $p^\mu = p_e^\mu + p_r^\mu$   
 $(p_e^-, p_e^+) \sim (1, \lambda)$       only       $p_r^\mu \sim (\lambda^2, \lambda^2, \lambda^2)$   
 $n \cdot p_e = 0$



$p_e^\mu$  discrete grid points

$p_r^\mu$  continuous

$p^\mu = p_e^\mu + p_r^\mu$       Unique for given grid

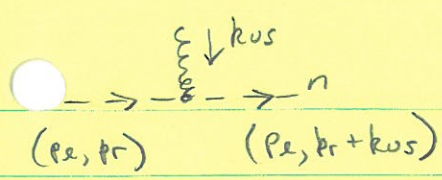
$\int d^4p = \sum_{p_e \neq 0} \int d^4p_r$       for collinear p  
 [ $p_e = 0$  is not collinear]

$\int d^4p = \int d^4p_r$       for usoft p      [usoft has  $p_e = 0$ ]

Write:  $\tilde{\xi}_n(p) \rightarrow \tilde{\xi}_{n, p_e}(p_r)$

Note: We have separate conservation of label & residual momenta

$\int d^4x e^{i(p_e - q_e) \cdot x} e^{i(p_r - q_r) \cdot x} = \delta_{p_e, q_e} \delta^4(p_r - q_r) (2\pi)^4$



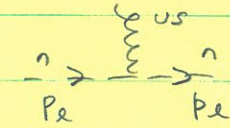
"non-conservation" of momenta is replaced by two separate conservations where some fields don't carry label momenta.

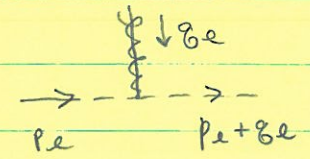
Final Step

since all fields carry residual momenta the conservation law just corresponds to locality with respect to Fourier transform  $pr \rightarrow x$

$$\xi_{n,pe}(x) = \int \frac{d^4 pr}{(2\pi)^4} e^{-i pr \cdot x} \tilde{\xi}_{n,pe}(pr)$$

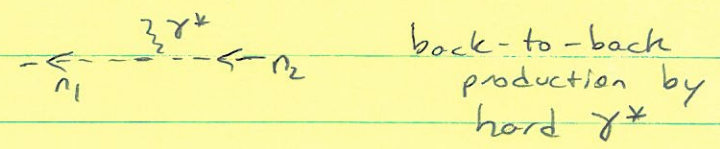
↑  
build action for these fields

• soft gluons leave labels conserved 

• collinear gluons change labels 

• label n for collinear

direction always preserved by soft & collinear gluons only a hard interaction can couple fields with different n's eg



All together

$$\hat{\xi}_n(x) = \int d^4 p e^{-i p \cdot x} \tilde{\xi}_n(p) = \sum_{pe \neq 0} \int d^4 pr e^{-i pe \cdot x} e^{-i pr \cdot x} \tilde{\xi}_{n,pe}(pr)$$

$$= \sum_{pe \neq 0} e^{-i pe \cdot x} \xi_{n,pe}(x)$$

Define two derivative operators:

$$i \partial_\mu \xi_{n,p\epsilon}(x) \sim \lambda^2 \xi_{n,p\epsilon}(x) \quad \text{residual}$$

$$\mathcal{O}P_\mu \xi_{n,p\epsilon}(x) \equiv p_\epsilon^\mu \xi_{n,p\epsilon}(x) \sim (0, 1, \lambda) \xi_{n,p\epsilon}(x)$$

$$\Rightarrow i \vec{n} \cdot \vec{\partial} \ll \mathcal{O}\vec{p} = \vec{n} \cdot \mathcal{O}p, \quad i \partial_\perp^\mu \ll \mathcal{O}p_\perp^\mu$$

implements multipole expansion  
similar structure to expansion for  
gauge fields  $\rightarrow$  gauge symmetry easier

Notation is

friendly: 
$$\hat{\xi}_n(x) = \sum_{p\epsilon \neq 0} e^{-i p_\epsilon \cdot x} \xi_{n,p\epsilon}(x) = e^{-i \mathcal{O}p \cdot x} \sum_{p\epsilon \neq 0} \xi_{n,p\epsilon}(x)$$
  
$$\equiv e^{-i \mathcal{O}p \cdot x} \underbrace{\xi_n(x)}_{\sum_{p\epsilon \neq 0} \xi_{n,p\epsilon}(x)}$$

suppress labels if we don't need them explicitly

Field products

$$\hat{\xi}_n(x) \hat{\xi}_n(x) = e^{-i \mathcal{O}p \cdot x} \xi_n(x) \xi_n(x)$$

$\mathcal{O}$  acts on both fields  $\star$  just gives label conservation

Last Step is to consider anti-quarks & gluons

Mode Expr

$$\psi(x) = \int d^4p \delta(p^2) \Theta(p^0) [u(p) a(p) e^{-ip \cdot x} + v(p) b^\dagger(p) e^{ip \cdot x}]$$

$$= \psi^+ + \psi^- \quad \text{QCD}$$

Write

$$\psi^+(x) = \sum_{p \neq 0} e^{-ip \cdot x} \zeta_{n, p}^+(x)$$

$$\psi^-(x) = \sum_{p \neq 0} e^{ip \cdot x} \zeta_{n, p}^-(x)$$

} both have  $\Theta(p^0) = \Theta(\bar{n} \cdot p)$   
 $\nabla \zeta_{n, p}^\pm = 0$

Define  $\zeta_{n, p}^\pm(x) \equiv \zeta_{n, p}^+(x) + \zeta_{n, -p}^-(x)$  any  $p$  signs

$\bar{n} \cdot p > 0$  particles destroy       $\bar{z}_{n, p}$   $\bar{n} \cdot p > 0$  part. create  
 $\bar{n} \cdot p < 0$  antiparticles create       $\bar{n} \cdot p < 0$  anti, destroy

$p$  carries same sign as mom. flow along fermion #  $\rightarrow$   $\bar{p}$

then  $\hat{\zeta}_n(x) = e^{-i\bar{p} \cdot x} \zeta_{n, p}(x)$  as before

Collinear  
Gluons

$$A_{n, p}^\mu(x), [A_{n, p}^\mu(x)]^* = A_{n, -p}^\mu(x)$$

$p > 0$  destroy  
 $p < 0$  create

$$\tilde{A}_n(x) = e^{-i\bar{p} \cdot x} A_n(x)$$

$\uparrow \sum_{p \in} A_{n, p}^\mu(x)$

General Results

Sign on  $\uparrow$   
fields

$$\text{op}^\mu (\phi_{p_1}^+ \phi_{p_2}^+ \dots \phi_{p_1} \phi_{p_2} \dots) = (p_1^\mu + p_2^\mu + \dots - p_1^\mu - p_2^\mu - \dots) (\phi_{p_1}^+ \phi_{p_2}^+ \dots \phi_{p_1} \phi_{p_2} \dots)$$

eigenvalue eqn

$$i\partial^\mu \sum_p e^{-ip \cdot x} \phi_{n, p}(x) = \sum_p e^{-ip \cdot x} (p^\mu + i\partial^\mu) \phi_{n, p}(x)$$

$$= e^{-i\bar{p} \cdot x} (p^\mu + i\partial^\mu) \phi_n(x)$$

later we'll suppress this & recall that labels are conserved

Step 4 Expand  $\mathcal{L} = \bar{\xi}_n(x) \left[ i n \cdot D + i \not{D}_\perp \frac{1}{i \bar{n} \cdot D} i \not{D}_\perp \right] \frac{\not{x}}{2} \hat{\xi}_n(x)$

$$i D^\mu = \sigma p^\mu + g A_n^\mu + i \partial^\mu + g A_{us}^\mu + \dots$$

$$i n \cdot D = i n \cdot \partial + g n \cdot A_n + g n \cdot A_{us} \quad (\text{exact, all } \sim \lambda^2)$$

$$i D_\perp = \left( \not{p}_\perp + g A_n^\perp \right) + \left( i \not{\partial}_\perp + g A_{us}^\perp \right) + \dots$$

$$i \bar{n} \cdot D = \left( \bar{p} + g \bar{n} \cdot A_n \right) + \left( i \bar{n} \cdot \partial + g \bar{n} \cdot A_{us} \right) + \dots$$

From before  $\hat{\xi}_n(x) \sim \lambda \xrightarrow{\text{so}} \xi_n(x)$

$$d^4x e^{-ix \cdot p} \sim \lambda^{-4}$$

$O(1)$  phases implies  $x^- \sim 1/p^+$ ,  $x^+ \sim 1/p^-$   
 $x^\perp \sim 1/p_\perp$

Leading Order  $\mathcal{L}$  is  $O(\lambda^4)$

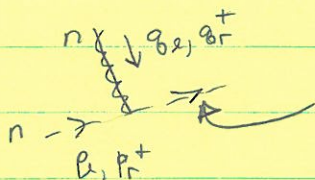
$$\mathcal{L}_{\xi\xi}^{(0)} = e^{-ix \cdot p} \bar{\xi}_n \left[ i n \cdot D + i \not{D}_\perp \frac{1}{i \bar{n} \cdot D_n} i \not{D}_\perp \right] \frac{\not{x}}{2} \xi_n$$

where  $\left. \begin{aligned} i D_\perp^{\mu\nu} &= \sigma p_\perp^{\mu\nu} + g A_n^{\mu\nu} \\ i \bar{n} \cdot D_n &= \sigma \bar{p} + g \bar{n} \cdot A_n \end{aligned} \right\} \text{collinear cov. derivatives}$

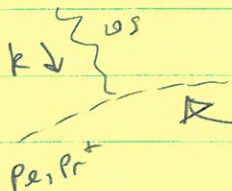
Note:

- both terms  $\sim \lambda \cdot \lambda^2 \cdot \lambda \sim \lambda^4$
- all fields at  $x$ , derivatives  $i \partial \sim \lambda^2$ , action is explicitly local at  $Q \lambda^2$  scale
- also local at  $Q \lambda$  too ( $D_\perp^\mu$  in numerator, <sup>momentum space</sup> version of locality)
- only non-local at  $\sim Q$  from  $\frac{1}{\not{x} \cdot p}$  factors

• Collinear propagators



$$\frac{\bar{n} \cdot (\not{p}_L + \not{p}_R)}{\bar{n} \cdot (\not{p}_L + \not{p}_R) \not{n} \cdot (\not{p}_R + \not{p}_L) + (\not{p}_L^\perp + \not{p}_R^\perp)^2 + i0}$$

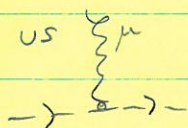


$$\frac{\bar{n} \cdot \not{p}_L}{\bar{n} \cdot \not{p}_L \not{n} \cdot (\not{p}_R + \not{k}) + (\not{p}_L^\perp)^2 + i0}$$

because  $\not{n}$   
 $i\bar{n} \cdot \partial$  or  $i\partial \cdot \bar{n}$   
 in  $\mathcal{L}_{\text{eff}}^{(0)}$

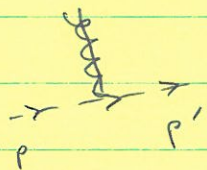
$\mathcal{L}_{\text{eff}}^{(0)}$  knows how to give LO propagator in both situations without further expansions

Feyn. Rules



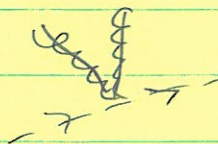
$$= i g \frac{\not{p}}{2} \gamma^\mu T^A$$

only n.Aus gluons



$$= i g T^A \frac{\not{p}}{2} \left[ \not{n}^\mu + \frac{\gamma_\perp^\mu \not{p}_\perp}{\bar{n} \cdot p} + \frac{\not{p}'_\perp \gamma_\perp^\mu}{\bar{n} \cdot p'} - \frac{\not{p}'_\perp \not{p}_\perp}{\bar{n} \cdot p' \bar{n} \cdot p} \not{n}^\mu \right]$$

all 4 components couple



$$= \dots$$

terms with  $\geq 2$  gluons also exist but have at most 2  $\perp$  gluons & rest  $\bar{n} \cdot A$

trade  $\bar{n} \cdot A_n \leftrightarrow W$

### Wilson Line Eqns

$$i\bar{n} \cdot D_x W(x, -\infty) = 0$$

equivalent def'n to

$$i\bar{n} \cdot D_n W_n = 0$$

position space  $W$ -line is  
momentum space  $W_n$

$$(\bar{P} + g\bar{n} \cdot A_n) W_n = 0$$

$$i\bar{n} \cdot D_n W_n \text{ (some operator)} = W_n \bar{P}$$

so

$$i\bar{n} \cdot D_n W_n = W_n \bar{P}$$

as operator equation

and since  $(W(x, -\infty))^{\dagger} W(x, -\infty) = 1$

$$W_n^{\dagger} W_n = 1$$

we have

$$i\bar{n} \cdot D_n = W_n \bar{P} W_n^{\dagger}$$

$$\bar{P} = W_n^{\dagger} i\bar{n} \cdot D_n W_n$$

$$\frac{1}{\bar{P}} = W_n^{\dagger} \frac{1}{i\bar{n} \cdot D} W_n, \quad \frac{1}{i\bar{n} \cdot D} = W_n \frac{1}{\bar{P}} W_n^{\dagger}$$

(easy to check that these are inverses)

$$\psi^{(2)}_{\xi\xi} = e^{-ix \cdot \varphi} \bar{\xi}_n \frac{\not{x}}{2} \left[ i\not{n} \cdot D + i\not{n}_{\perp} W_n \frac{1}{\bar{P}} W_n^{\dagger} i\not{n}_{\perp} \right] \xi_n$$



Collinear Gluon Lagrangian

QCD  $\mathcal{L} = \underbrace{-\frac{1}{2} \text{tr} \{ G^{\mu\nu} G_{\mu\nu} \}}_{\text{standard}} + \underbrace{\tau \text{tr} \{ (i\partial_\mu A^\mu)^2 \}}_{\text{gen. cov. gauge fixing}} + \underbrace{2 \text{tr} \{ \bar{c} i\partial_\mu iD^\mu c \}}_{\text{gen. cov. ghost}}$

$G^{\mu\nu} = G^{\mu\nu}_A T^A = \frac{i}{g} [D^\mu, D^\nu]$

adjoint scalar fermi statistics

SCET: some steps as for quark action

Let  $i\mathcal{D}^\mu = \frac{n^\mu}{2} (\bar{\mathcal{P}} + g \bar{n} \cdot A_n) + (\mathcal{P}_\perp^\mu + g A_{n\perp}^\mu) + \frac{\bar{n}^\mu}{2} (i n \cdot \partial + g n \cdot A_n + g n \cdot A_{us})$

$iD^\mu \rightarrow i\mathcal{D}^\mu$  at LO

$i\mathcal{D}_{us}^\mu = \frac{n^\mu}{2} \bar{\mathcal{P}} + \mathcal{P}_\perp^\mu + \frac{\bar{n}^\mu}{2} (i n \cdot \partial + g n \cdot A_{us})$

recall  $A_{us}^\mu$  behaves like background to  $A_n^\mu$ . Maintaining gauge inv. for the background even in the  $A_n^\mu$  gauge fixing terms requires

$i\partial^\mu \rightarrow i\mathcal{D}_{us}^\mu$  at LO

In SCET this needed so collinear gauge fixing term does not break the usoft gauge inv.

$\mathcal{L}_{cg}^{(0)} = \frac{1}{2g^2} \text{tr} \{ ([i\mathcal{D}^\mu, i\mathcal{D}^\mu])^2 \} + \tau \text{tr} \{ ([i\mathcal{D}_{us}^\mu, A_{n\mu}]^2) \} + 2 \text{tr} \{ \bar{c}_n [i\mathcal{D}_{us}^\mu, [i\mathcal{D}^\mu, c_n]] \}$

$\mathcal{L}_{SCET}^{(0)} = \mathcal{L}_{\bar{q}q}^{(0)} + \mathcal{L}_{cg}^{(0)} + \mathcal{L}_g^{(0)} + \mathcal{L}_A^{(0)}$

full QCD actions for usoft quark  $q_{us}$  and for US gluon  $A_{us}^\mu$ . These have no collinear fields

Analysis so far was tree level. To go further we need symmetries & power counting

- ① Gauge Symmetry  
 ② Reparameterization Invariance  
 ③ Spin Symmetry?
- ] very useful

Lets first consider ③:

revisit spinors  $\psi(x) = e^{-ix \cdot \not{p}} \left( 1 + \frac{1}{i \vec{n} \cdot \not{p}_n} i \not{\sigma}_n \frac{\not{p}}{2} \right) \xi_n(x)$

so  $u(p) = \left( 1 + \frac{1}{\vec{n} \cdot \not{p}} \not{p}_n \frac{\not{p}}{2} \right) u_n$ ,  $u_n = \frac{\not{\alpha} \not{p}}{4} u$   
 $[\not{\alpha} u_n = 0, \frac{\not{\alpha} \not{p}}{4} u_n = u_n]$

• Consider  $\sum_s u_n^s \bar{u}_n^s = \frac{\not{\alpha} \not{p}}{4} \sum_s \bar{u}^s u^s \frac{\not{p}}{4} = \frac{\not{\alpha} \not{p}}{4} \not{p} \frac{\not{p}}{4} = \frac{\not{\alpha}}{2} \vec{n} \cdot \not{p}$

→ quantized  $\xi_n$  field does give collinear propagator, including numerator.

•  $u_n$  is not equal to expanded spinor  $\sqrt{\frac{p^-}{2}} \begin{pmatrix} u \\ \sigma^3 u \end{pmatrix}$ ,  $u = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$   
 even though it obeys the same relations

Instead

$$u_n = \frac{1}{2} \begin{pmatrix} 1 & \sigma^3 \\ \sigma^3 & 1 \end{pmatrix} \sqrt{p^0} \begin{pmatrix} u \\ \frac{\vec{\sigma} \cdot \vec{p}}{p^0} u \end{pmatrix} = \frac{\sqrt{p^0}}{2} \begin{pmatrix} \left( 1 + \frac{p_3}{p^0} - \frac{(i \vec{\sigma} \times \vec{p}_\perp)_3}{p^0} \right) u \\ \sigma^3 \left( 1 + \frac{p_3}{p^0} - \frac{(i \vec{\sigma} \times \vec{p}_\perp)_3}{p^0} \right) u \end{pmatrix}$$

$$= \sqrt{\frac{p^-}{2}} \begin{pmatrix} \tilde{u} \\ \sigma^3 \tilde{u} \end{pmatrix}$$

Here  $\tilde{u} \equiv \sqrt{\frac{p^0}{2p^-}} \left( 1 + \frac{p_3}{p^0} - \frac{(i \vec{\sigma} \times \vec{p}_\perp)_3}{p^0} \right) u$  is two-component spinor

$$\sum_s \tilde{u}^s \tilde{u}^{s\dagger} = \mathbb{1}_{2 \times 2}$$

The extra terms in  $\tilde{u}$  compared to  $u$  ensure proper structure under ② RPI. (In particular projectors  $P_n' = \frac{\not{\alpha} \not{p}}{4} + \frac{\not{\alpha}}{2}$ ,  $P_n' = \frac{\not{\alpha} \not{p}}{4} - \frac{\not{\alpha}}{2}$  would give  $\sqrt{\frac{p^-}{2}} \begin{pmatrix} u \\ \sigma^3 u \end{pmatrix}$  but are not RPI-III invariant.)

Spin Symmetry easiest to analyze in two-component form

$$\xi_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \psi_n \\ \sigma^3 \psi_n \end{pmatrix} \quad \text{where } \dim \xi_n = \dim \psi_n$$

$$\mathcal{L}^{(0)} = \psi_n^\dagger \left\{ i \vec{n} \cdot \vec{D} + i D_{n\perp} \frac{1}{i \vec{n} \cdot \vec{D}} i D_{n\perp}^\dagger (g_{\mu\nu}^\dagger + i \epsilon_{\mu\nu}^\dagger \sigma_3) \right\} \psi_n$$

not SU(2)

just U(1) helicity  $h = \frac{i \epsilon_{\mu\nu}^\dagger}{4} [\gamma_\mu, \gamma_\nu] \sim \sigma_3$  generator, spin along the direction of collinear motion n

- broken by masses
- broken by non-perturbative effects
- useful in perturbation theory
- related to chiral rotation  $\gamma_5 \xi_n = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} \psi_n \\ \sigma^3 \psi_n \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \sigma^3 \psi_n \\ \psi_n \end{pmatrix}$   
ie  $\psi_n \rightarrow \sigma_3 \psi_n$

### 1 Gauge Symmetry $U(x) = \exp [i \alpha^A(x) T^A]$

Need to consider U's which leave us within EFT  
eg.  $i \partial^\mu \alpha^A \sim Q \alpha^A$  then  $\xi'_n = U(x) \xi_n$  would no longer have  $p^2 \lesssim Q^2 \lambda^2$ .

global  $U = e^{i \alpha^A T^A}$

collinear  $U_c(x) \quad i \partial^\mu U_c(x) \sim Q(\lambda^2, 1, \lambda) U_c(x) \leftrightarrow A_n^\mu$

soft  $U_s(x) \quad i \partial^\mu U_s(x) \sim Q(\lambda^2, \lambda^2, \lambda^2) U_s(x) \leftrightarrow A_{us}^\mu$

• two classes of gauge trnsfm for two gauge fields

• in label momentum space we have  $\xi_{n, p_\perp}^{(x)} \rightarrow \sum_{\tilde{q}} (U_c)_{p_\perp - \tilde{q}, p_\perp}^{(x)} \xi_{n, \tilde{q}}^{(x)}$   
(analog of  $\psi(x) \rightarrow U(x) \psi(x)$   
 $\tilde{\Psi}(p) \rightarrow \int d\tilde{q} \tilde{U}(p-\tilde{q}) \tilde{\Psi}(\tilde{q})$ )

Let  $(U_c)_{pe-ge} = \overset{\text{matrix}}{(U_c)_{pe,ge}}$  ie  $\{pe, ge\}$  'th entry is number  $(U_c)_{pe-ge}$

For  $A_n^\mu$  we let its  $U_c$  transformation be that of quantum gauge trnsfm of a quantum field in a  $A_{us}^\mu$  background (in manner homogeneous in p.c.)

$U_c(x)$

\*  $\xi_n^{(x)} \rightarrow U_c^{(x)} \xi_n^{(x)}$  using a matrix notation

\*  $A_n^\mu \rightarrow U_c (A_n^\mu + \frac{i}{g} \underbrace{\sigma_{Dus}^\mu}_{\text{adjoint}}) U_c^\dagger$

\* Also  $\begin{matrix} \varphi_{us} & \xrightarrow{U_c} & \varphi_{us} \\ A_{us}^\mu & \xrightarrow{U_c} & A_{us}^\mu \end{matrix}$  since otherwise we give large momentum to soft field

For  $U_{us}(x)$  the fields  $\xi_n, A_n^\mu$  transform like quantum fields under background gauge trnsfm. That is, they transform like matter fields of appropriate rep.

$U_{us}(x)$

\*  $\xi_n^{(x)} \rightarrow U_{us}^{(x)} \xi_n^{(x)}$ ,  $A_n^\mu \rightarrow U_{us} A_n^\mu U_{us}^\dagger$   
↑ one number for all  $\xi_{n,p}$  "vector" components

\*  $\varphi_{us} \rightarrow U_{us} \varphi_{us}$ ,  $A_{us}^\mu \rightarrow U_{us} (A_{us}^\mu + \frac{i}{g}) U_{us}^\dagger$   
↑ usual gauge transformations

These transformations are fundamental, they are not corrected by power corrections.

$U_c, U_{us}$

Gauge transformations are homogeneous in  $\lambda$   
no mixing of terms of different orders

eg. recall our heavy-to-light current

$$\bar{\chi}_n \Gamma h_v \xrightarrow{U_c} \bar{\chi}_n U_c^\dagger \Gamma h_v^{U_c}$$
 is not gauge inv!

BUT recall offshell propagators generated Wilson line

$$\bar{W}(x, -\infty)$$

In general  $\bar{W}(x, y) \rightarrow U(x) \bar{W}(x, y) U^\dagger(y)$ . To avoid double counting with  $U_{global}$ , we will take  $U_c^\dagger(-\infty) = 1$   
 $\bar{W}(x, -\infty) \rightarrow U_c(x) \bar{W}(x, -\infty)$

Momentum Space  $W = \sum_{m=0}^{\infty} \sum_{perms} \sum_{q_i} \frac{(-g)^m}{m!} \frac{\bar{n} \cdot A_{n, q_1}^{a_1}(x) \dots \bar{n} \cdot A_{n, q_m}^{a_m}(x) T^{a_m} \dots T^{a_1}}{\bar{n} \cdot q_1 \bar{n} \cdot (q_1 + q_2) \dots \bar{n} \cdot (\sum q_i)}$

$$W(x) = \left[ \sum_{perms} \exp \left( \frac{-g}{\bar{p}} \bar{n} \cdot A_n(x) \right) \right]$$

the dependence on  $x$  encodes residual momenta in Wilson line. For  $x=0$  the Fourier transform with  $P_x^-$  gives the line  $\bar{W}(x, -\infty)$  where  $x$  is conjugate  $P_x^-$ .

- \*  $W(x) \xrightarrow{U_c} U_c(x) W(x)$  in label matrix space.
- \*  $W(x) \xrightarrow{U_{us}} U_{us}(x) W(x) U_{us}^\dagger(x)$  from transformation of  $A_n$  directly.
- $\bar{\chi}_n W \Gamma h_v \xrightarrow{U_c} \bar{\chi}_n U_c^\dagger U_c W U_c^\dagger \Gamma h_v = \bar{\chi}_n W \Gamma h_v$  invariant
- $\bar{\chi}_n W \Gamma h_v \xrightarrow{U_{us}} \bar{\chi}_n U_{us}^\dagger U_{us} W U_{us}^\dagger \Gamma U_{us} h_v = \bar{\chi}_n W \Gamma h_v$  " "

- the Wilson line carries n-collinear gluons, which in full QCD combine with attachments to  $\chi_n \rightarrow \dots$  to give gauge invariant answers.
- $U_{soft}$  can be taken to include global, and connects all fields.

Gauge Symmetry ties together

$$i n \cdot D = i n \cdot \partial + g n \cdot A_n + g n \cdot A_{us}$$

$$i D_{n\perp}^\mu = \mathcal{P}_\perp^\mu + g A_{n\perp}^\mu$$

$$i \bar{n} \cdot D_n = \bar{P} + g \bar{n} \cdot A_n$$

$$i D_{us}^\mu = i \partial^\mu + g A_{us}^\mu \quad \text{acting on usoft fields}$$

Is Power Counting & Gauge Invariance enough to fix  $\mathcal{L}_{eff}^{(0)}$ ?

$$i n \cdot D \sim \lambda^2, \quad \frac{1}{P} (i D_\perp)^2 \sim \lambda^2 \leftarrow \text{no other } \mathcal{O}(\lambda^2) \text{ operators with correct mass dimension}$$

but so far nothing rules out  $\int_n i D_{n\perp}^\mu \frac{1}{i \bar{n} \cdot D_n} i D_{n\perp\mu} \frac{1}{2} \bar{n} \cdot \not{n}$

### ② Reparameterization Invariance (RPI)

$n, \bar{n}$  break Lorentz Invariance (c.f.  $v^\mu$  in HQET)

generators  $n^\mu M_{\mu\nu}, \bar{n}^\mu M_{\mu\nu}$  (5 total) ( $M_{\mu\nu}$  usual 6 antisymm  $SO(3,1)$  generators)

only  $\epsilon^{\mu\nu} M_{\mu\nu}$ , rotations about  $\vec{n}$  axis are preserved

3 types of RPI that keep  $n^2=0, \bar{n}^2=0, n \cdot \bar{n}=2$

- |                                      |  |   |
|--------------------------------------|--|---|
| <u>inf <math>\Delta_\perp</math></u> | <u>inf <math>\epsilon_\perp</math></u>         | <u>finite <math>\alpha</math> (simpler)</u> |
| I. $n \rightarrow n + \Delta_\perp$  | II. $n \rightarrow n$                          | III. $n \rightarrow e^\alpha n$             |
| $\bar{n} \rightarrow \bar{n}$        | $\bar{n} \rightarrow \bar{n} + \epsilon_\perp$ | $\bar{n} \rightarrow e^{-\alpha} \bar{n}$   |

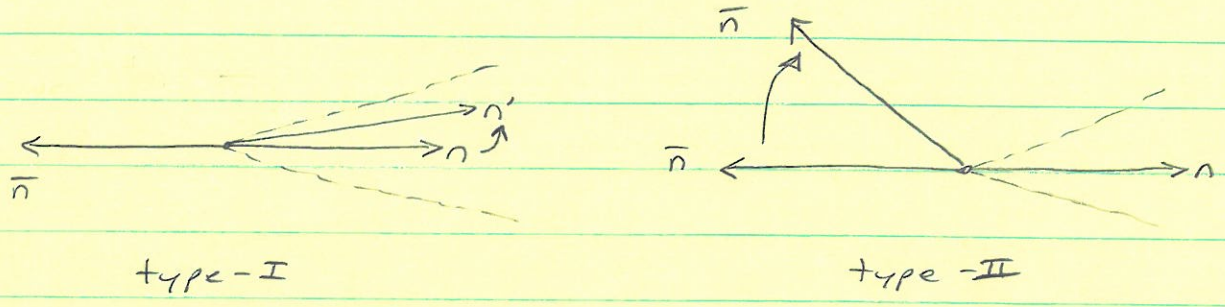
Power counting :

$\Delta_\perp \sim \lambda$	} unconstrained	eg. $n \cdot p \rightarrow n \cdot p + \Delta_\perp \cdot p_\perp \sim \lambda^2$
$\epsilon_\perp \sim \lambda^0$		
$\alpha \sim \lambda^0$		

**type III** simple, just implies for any operator with  $\pi^\mu$  in numerator there must be another  $n^\mu$  in numerator, or  $\bar{n}$  in denominator

eg in  $\mathcal{L}_{\text{eff}}^{(0)}$ : had  $\not{n} \frac{1}{i\bar{n}\cdot D}$ ,  $\not{n} n\cdot D$  ✓  
 [no  $\not{n} \bar{n}\cdot D$ ]

**Type I & II**



We only can care about restoring Lorentz Inv. for the set of fluctuations described by SCET

Vector  $P^\mu = \frac{n^\mu \bar{n}\cdot p}{2} + \frac{\bar{n}^\mu n\cdot p}{2} + P_\perp^\mu$  is invariant to choice for decomposition  
 → implies transformations for  $P_\perp^\mu$  to compensate  $n, \bar{n}$ 's.

Find

type-I

$$n \rightarrow n + \Delta_\perp$$

$$n\cdot D \rightarrow n\cdot D + \Delta_\perp\cdot D_\perp$$

$$D_\perp^\mu \rightarrow D_\perp^\mu - \frac{\Delta_\perp^\mu \bar{n}\cdot D}{2} - \frac{\bar{n}^\mu \Delta_\perp\cdot D}{2}$$

$$\bar{n}\cdot D \rightarrow \bar{n}\cdot D$$

$$\xi_n \rightarrow \left[ 1 + \frac{\not{\Delta}_\perp \not{\bar{n}}}{4} \right] \xi_n$$

type-II

$$\bar{n} \rightarrow \bar{n} + \epsilon_\perp$$

$$n\cdot D \rightarrow n\cdot D$$

$$D_\perp^\mu \rightarrow D_\perp^\mu - \frac{\epsilon_\perp^\mu n\cdot D}{2} - \frac{n^\mu \epsilon_\perp\cdot D}{2}$$

$$\bar{n}\cdot D \rightarrow \bar{n}\cdot D + \epsilon_\perp\cdot D_\perp$$

$$\xi_n \rightarrow \left[ 1 + \frac{\not{\epsilon}_\perp}{2} \frac{1}{i\bar{n}\cdot D} \not{n} \right] \xi_n$$

$W \rightarrow W$

$W \rightarrow \left[ \left( 1 - \frac{1}{i\bar{n}\cdot D} \not{\epsilon}_\perp \not{n} \right) W \right]$

[ I write  $D^\mu$  everywhere, but your free to think of it as  $\not{p}^\mu$  or  $i\partial^\mu$  with appropriate gauging from symmetry ① ]

eg  $\delta^{(I)} \left( \bar{\xi}_n i \not{\partial}_{n\perp} \frac{1}{i\bar{n}\cdot D_n} i \not{\partial}_{n\perp} \frac{\not{n}}{2} \xi_n \right) = - \bar{\xi}_n i \Delta^+ \cdot D^+ \frac{\not{n}}{2} \xi_n$   
 $\delta^{(II)} \left( \bar{\xi}_n i \not{n} \cdot D \frac{\not{n}}{2} \xi_n \right) = + \bar{\xi}_n i \Delta^+ \cdot D^+ \frac{\not{n}}{2} \xi_n$

sum = 0, so connected by RPI, no non-trivial Wilson Coefficient b/w them

type -II rules out the  $\bar{\xi}_n i \not{D}_{n\perp} \frac{1}{i\bar{n}\cdot D_n} i \not{D}_{n\perp} \frac{\not{n}}{2} \xi_n$  operator in  $\mathcal{L}_{\xi\xi}^{(0)}$

So  $\mathcal{L}_{\xi\xi}^{(0)} = \bar{\xi}_n \left[ i \not{n} \cdot D + i \not{\partial}_{n\perp} \frac{1}{i\bar{n}\cdot D_n} i \not{\partial}_{n\perp} \right] \frac{\not{n}}{2} \xi_n$

is unique LO  $\mathcal{L}$  for  $\xi_n$  by p.c., gauge inv, RPI

MORE RPI: Freedom in the label + residual decomposition

$\bar{n} \cdot (p_e + p_r)$  ,  $p_{e\perp}^\mu + p_{r\perp}^\mu$   
 $\mathcal{P}_\mu \rightarrow \mathcal{P}_\mu + \beta_\mu$  ,  $i \partial_\mu \rightarrow i \partial_\mu - \beta_\mu$  with  $\bar{n} \cdot \beta = 0$   
 $\xi_{n,p}(x) \rightarrow e^{i\beta \cdot x} \xi_{n,p+\beta}(x)$

Connects:  $\mathcal{P}^\mu + i \partial^\mu$  ie leading & subleading Wilson coefficients in  $\mathcal{L}^{(0)} + \mathcal{L}^{(1)} + \dots$  and in operators  $C^{(0)} \mathcal{O}^{(0)} + C^{(1)} \mathcal{O}^{(1)} + \dots$

Gauge This  $\bar{n} \cdot \mathcal{P} = 0$  , so just  $i \bar{n} \cdot \partial \rightarrow i \bar{n} \cdot D$

$i \bar{n} \cdot D \rightarrow U_c i \bar{n} \cdot D U_c^\dagger$  ,  $U_u i \bar{n} \cdot D U_u^\dagger$  (with our gauge trnsfms)

Also

$i \not{D}_{n\perp}^\mu \rightarrow U_c i \not{D}_{n\perp}^\mu U_c^\dagger$  or  $U_u i \not{D}_{n\perp}^\mu U_u^\dagger$   
 $i \bar{n} \cdot D_n \rightarrow U_c i \bar{n} \cdot D_n U_c^\dagger$  or  $U_u i \bar{n} \cdot D_n U_u^\dagger$   
 $i \not{D}_{us}^\mu \rightarrow i \not{D}_{us}^\mu$  or  $U_u i \not{D}_{us}^\mu U_c^\dagger$

∴ simplest idea  $\left. \begin{matrix} i \not{D}_{n\perp}^\mu + i \not{D}_{us\perp}^\mu \\ i \bar{n} \cdot D_n + i \bar{n} \cdot D_{us} \end{matrix} \right\}$  doesn't work due to lack of trnsfm of  $i \not{D}_{us}^\mu$  under  $U_c$



The object that can compensate is  $W \rightarrow U c W$ .

The unique result that gauges  $\mathcal{P}^\mu + i\partial^\mu$  (with our strictly LO, homogeneous transverse) is

$$\left. \begin{aligned} i D_{n\perp}^\mu + W i D_{i\perp}^{\mu s} W^\dagger &\equiv i D_\perp^\mu \\ i \bar{n} \cdot D_n + W i \bar{n} \cdot D_{ns} W^\dagger &\equiv i \bar{n} \cdot D \end{aligned} \right\} \text{combined result of RPI \& gauge inv.}$$

↑ the extra terms from  $W, W^\dagger$  induce the  $+$ ... in our earlier  $A^\mu = A_n^\mu + A_{ns}^\mu + \dots$  expression

eg

from the  $\bar{\xi}_n i \not{D}_n \frac{1}{i \bar{n} \cdot D_n} i \not{D}_n \frac{\not{n}}{2} \xi_n$  term in  $\mathcal{L}_{\xi\xi}^{(0)}$  we

$$\text{get } \mathcal{L}_{\xi\xi}^{(1)} = (\bar{\xi}_n W) i \not{D}_n^{\mu s} \frac{1}{\not{p}} (W^\dagger i \not{D}_n \xi_n) + (\bar{\xi}_n i \not{D}_n W) \frac{1}{\not{p}} i \not{D}_n^{\mu s} (W^\dagger \xi_n)$$

which is  $U_c \& U_{cs}$  gauge invariant & has no Wilson Coeff.

Like HQET, RPI also connects Wilson Coeff of leading &  $\lambda$ -suppressed external currents

Extension to more collinear fields for  $>1$  energetic hadron or  $>1$  energetic jet

$$\sum_n \mathcal{L}_n^{(0)} = \sum_n \left[ \mathcal{L}_{\xi_n \xi_n}^{(0)} + \mathcal{L}_{A_n}^{(0)} \right]$$

the sum is over inequivalent RPI equivalence classes

For  $n_1, n_2, n_3, \dots$  the collinear modes are distinct

only if  $n_i \cdot n_j \gg \lambda^2$  for  $i \neq j$

eg.  $p_2 = Q n_2$      $n_1 \cdot p_2 = Q n_1 \cdot n_2 \sim \lambda^2$  if  $n_1 \cdot n_2 \sim \lambda^2$

but then  $p_2$  is  $n_1$ -collinear. So  $n_2$  is within RPI equivalence class defined by  $[n_1]$

All the things we derived with 1-collinear direction get repeated when we have more than one

• Collinear Gauge transfo:  $U_{n_1}, U_{n_2}, \dots$

• RPI: separate invariance for  $\{n_1, \bar{n}_1\}$   
 $\{n_2, \bar{n}_2\}$  etc

here there is no simple connection to overall Lorentz Transfo (more like a type of Lorentz inv in each  $[n_i]$  sector)

• matching calculations generate Wilson lines

eg  $e^+ e^- \rightarrow \gamma^* \rightarrow$  two-jets

$J^\mu = \bar{\psi} \gamma^\mu \psi \rightarrow J_{\text{SCET}}^\mu = \underbrace{(\bar{\xi}_{n_1} W_{n_1})}_{n_1 \text{ gauge inv}} \gamma^\mu \underbrace{(W_{n_2}^+ \xi_{n_2})}_{n_2 \text{ gauge inv}}$   
usoft gauge inv

here  $W_{n_1} = W_{n_1} [\bar{n}_1 \cdot A_{n_1}]$   
 $W_{n_2} = W_{n_2} [\bar{n}_2 \cdot A_{n_2}]$  } generated by integrating out offshell  $p^2 \sim Q^2$  lines

Final Comment on Discrete Symmetries:  $n = (1, 0, 0, 1), \bar{n} = (1, 0, 0, -1)$

$C^{-1} \xi_{n,p} C = - [\bar{\xi}_{\bar{n}, -p} C]^T$      $p = (p^+, p^-, p^\perp)$

$P^{-1} \xi_{n,p} P^{-1} = \gamma_0 \xi_{\bar{n}, \tilde{p}}(x_p)$      $\tilde{p} = (p^-, p^+, -p^\perp)$   
↕ swaps role  $n \leftrightarrow \bar{n}$

$T^{-1} \xi_{n,p} T = \Upsilon \xi_{\bar{n}, \tilde{p}}(x_T)$      $x_p = (x^-, x^+, x^\perp)$   
 $x_T = (-x^-, -x^+, x^\perp)$

Study  $\mathcal{L}_{\text{eff}}^{(0)}$

① Propagator

$$\frac{i\alpha}{2} \frac{\Theta(\bar{n}\cdot p)}{n\cdot p + \frac{P_{\perp}^2}{\bar{n}\cdot p} + i\epsilon} + \frac{i\alpha}{2} \frac{\Theta(-\bar{n}\cdot p)}{+n\cdot p + \frac{P_{\perp}^2}{\bar{n}\cdot p} - i\epsilon} = \frac{i\alpha}{2} \frac{\bar{n}\cdot p}{n\cdot p \bar{n}\cdot p + P_{\perp}^2 + i\epsilon}$$

particles  $\bar{n}\cdot p > 0$

anti  $\bar{n}\cdot p < 0$

✓  
expr. of QCD

② Interactions

• for usoft gluons, only n-Aus at LO

us  $\{k^M, a$

$$= i g T^a n^M \frac{\not{n}}{2}$$

• only sees  $n\cdot k$  usoft momentum (multiple expr.)

$$\frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot(p+k) + P_{\perp}^2 + i\epsilon} = \frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot k + P_{\perp}^2 + i\epsilon}$$

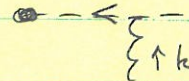
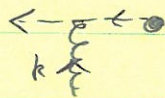
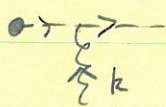
= on-shell  $\frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot k + i\epsilon}$

(Compare Collinear Gluon  $\frac{n\cdot(p+b)}{(p+b)^2 + i\epsilon}$ )

Propagator reduces to eikonal approx when appropriate

$\bar{n}\cdot p > 0$

$\bar{n}\cdot p < 0$



$$\frac{n^M}{n\cdot k + i\epsilon}$$

$$\frac{n^M}{-n\cdot k + i\epsilon}$$

$$\frac{n^M}{-n\cdot k - i\epsilon}$$

$$\frac{n^M}{n\cdot k - i\epsilon}$$

Usoft - Collinear Factorization

Consider

$$= \Gamma \sum_{m \text{ perms}} \sum (-g)^m \frac{n \cdot A^{a_1} \dots n \cdot A^{a_m} T^{a_1} \dots T^{a_m}}{n \cdot k_1 n \cdot (k_1 + k_2) \dots n \cdot (\sum k_i)} * U_n$$

on-shell so  $\frac{1}{n \cdot k + \frac{p^2}{\bar{n} \cdot p}} \rightarrow \frac{1}{n \cdot k}$

Motivates us to consider a field redefinition

$$\psi_{n,p}(x) = Y(x) \psi_{n,p}^{(0)}(x) \quad A_{n,p} = Y A_{n,p}^{(0)} Y^+$$

↑ adjoint version

$$Y(x) = P \exp \left( ig \int_{-\infty}^0 ds n \cdot A_{us}(x+ns) T^a \right)$$

$$n \cdot D Y = 0, \quad Y^+ Y = 1 \quad \text{find } W = Y W^{(0)} Y^+$$

$$\begin{aligned} \mathcal{L}_{\psi\psi}^{(0)} &= \bar{\psi}_{n,p} \frac{\not{n}}{2} [in \cdot D + \dots] \psi_{n,p} \\ &= \bar{\psi}_{n,p}^{(0)} \frac{\not{n}}{2} [Y^+ in \cdot D_{us} Y + Y^+ (Y g n \cdot A_n Y^+) Y + \dots] \psi_{n,p} \\ &= \bar{\psi}_{n,p}^{(0)} \frac{\not{n}}{2} [\underbrace{in \cdot D}_{in \cdot D_c} + g n \cdot A_n + \dots] \psi_{n,p} \end{aligned}$$

↑ all  $n \cdot A_{us}$ 's disappear!

True for gluon action too

$$\mathcal{L}(\psi_{n,p}, A_{n,b}^\mu, n \cdot A_{us}) = \mathcal{L}(\psi_{n,p}^{(0)}, A_{n,b}^{(0)\mu}, 0)$$

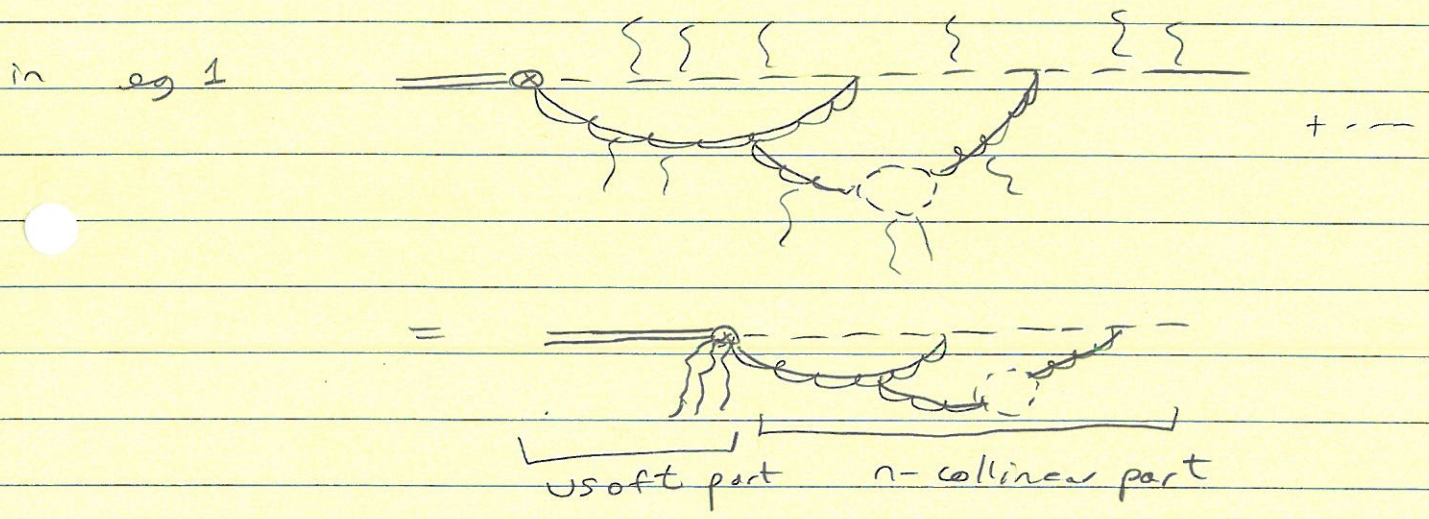
Interactions don't disappear, but are moved out of L.O.  $\mathcal{L}$  and into currents

eg 1  $J_1 = \bar{\xi}_n W \Gamma h_v = \bar{\xi}_n^{(0)} \gamma^+ \gamma W^{(0)} \gamma^+ \Gamma h_v$   
 $= (\bar{\xi}_n^{(0)} W^{(0)}) \Gamma (\gamma^+ h_v)$

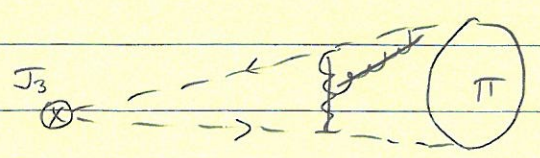
eg 2  $J_2 = (\bar{\xi}_n W_n) \Gamma (W_n^+ \xi_n) = (\bar{\xi}_n^{(0)} W_n^{(0)}) (\gamma_n^+ \gamma_n) \Gamma (W_n^{(0)+} \xi_n^{(0)})$

eg 3 collinear parts are global color singlet <sup>set</sup>  $n_1 = n_2$  above  
 $J_3 = (\bar{\xi}_n W_n) \Gamma (W_n^+ \xi_n) = (\bar{\xi}_n^{(0)} W_n^{(0)}) (\gamma^+ \gamma) \Gamma (W_n^{(0)+} \xi_n^{(0)})$

Quite powerful, this "BPS field redefinition" sums an  $\infty$  class of diagrams



in eg 2 usoft gluons decouple at LO from any graph  
 This "color transparency"



- usoft gluons decouple from energetic partons in a color singlet state
- they just "see" overall color singlet due to the multipole expansion

Uc & Uus transformations post field redefinition

Our logic with the  $\xi_n \rightarrow Y \xi_n$  and  $A_n \rightarrow Y A_n Y^\dagger$  field redefinitions is that they allow us to express in a simple way some of the consequences of dynamics within the EFT

Nevertheless, after the field redefinition we see that all operators become products of collinear & usoft blocks of fields, so its natural to ask about gauge symmetries that act separately within these blocks. They can be derived in the following way:

Original Uc	$\xi_n \rightarrow U_c \xi_n$	Uus	$\xi_n \rightarrow U_{us} \xi_n$
	$A_n^\mu \rightarrow U_c (A_n^\mu + \frac{i}{g} \mathcal{D}_{us}^\mu) U_c$		$A_n \rightarrow U_{us} A_n U_{us}^\dagger$
	$g_{us} \rightarrow g_{us}$		$g_{us} \rightarrow U_{us} g_{us}$
	$A_{us}^\mu \rightarrow A_{us}^\mu$		$A_{us}^\mu \rightarrow U_{us} (A_{us}^\mu + \frac{i}{g} \partial^\mu) U_{us}^\dagger$

Consider  $U_c^{(0)}$  defined by  $U_c^{(0)}(x) = Y^\dagger(x) U_c(x) Y(x)$   
 $U_c = Y(x) U_c^{(0)}(x) Y^\dagger(x)$

•  $\xi_n(x) = Y(x) \xi_n^{(0)}(x) \xrightarrow{U_c} U_c \xi_n = U_c Y(x) \xi_n^{(0)} = Y(x) U_c^{(0)} \xi_n^{(0)}$   
 $\xrightarrow{U_{us}} U_{us} \xi_n = U_{us} Y(x) \xi_n^{(0)}$

taking  $Y(x) \rightarrow U_{us} Y(x)$  (so  $U_{us}(-\infty) = 1$ , distinguished from global)  
 we find  $\xi_n^{(0)} \xrightarrow{U_c^{(0)}} U_c^{(0)} \xi_n^{(0)}$

$\xi_n^{(0)} \xrightarrow{U_{us}} \xi_n^{(0)}$

• similarly

acts in adjoint rep:  $A \mathcal{D}_{us}^\mu Y_{adj} = 0$

$U_c (A_n^\mu + \frac{i}{g} \mathcal{D}_{us}^\mu) U_c^\dagger = Y(x) U_c^{(0)} Y^\dagger(x) [Y(x) A_n^{(0)\mu} Y^\dagger(x) + \frac{i}{g} \mathcal{D}_{us}^\mu] Y(x) U_c^{(0)} Y^\dagger(x)$   
 $= Y(x) [U_c^{(0)} [A_n^{(0)\mu} + \frac{i}{g} \partial^\mu] U_c^{(0)\dagger}] Y^\dagger(x)$

so  $A_n^{(0)\mu} \xrightarrow{U_c^{(0)}} U_c^{(0)} [A_n^{(0)\mu} + \frac{i}{g} \partial^\mu] U_c^{(0)\dagger}$   
 $A_n^{(0)\mu} \xrightarrow{U_{us}} A_n^{(0)\mu}$

But note that this teaches us nothing new

What about Wilson Coefficients?

have  $C(\bar{P}, \mu)$  ie depend on large momenta  
picked out by label operator  $\bar{P} \sim \lambda^0$

eg.  $C(-\bar{P}, \mu) (\bar{\Psi}_n W) \Gamma_{hr} = (\bar{\Psi}_n W) \Gamma_{hr} C(\bar{P}^+)$

must act on product  $(\bar{\Psi}W)$  since only momentum  
of this combination is gauge invariant

Write  $(\bar{\Psi}W) \Gamma_{hr} C(\bar{P}^+) = \int d\omega C(\omega, \mu) [(\bar{\Psi}W) \delta(\omega - \bar{P}^+) \Gamma_{hr}]$

$= \int d\omega C(\omega, \mu) O(\omega, \mu)$

↑ ↑  
convolution (as promised)

Hard-Collinear Factorization of "C" and collinear "O"

Recall defn of  $W$  ,  $i\bar{n} \cdot D_c W = 0$  ,  $W^+ W = 1$

as operator  $i\bar{n} \cdot D_c W = W \bar{P}$   
 $i\bar{n} \cdot D_c = W \bar{P} W^+$   
 $(i\bar{n} \cdot D_c)^k = W \bar{P}^k W^+$

$f(i\bar{n} \cdot D_c) = W f(\bar{P}) W^+$  traces  $\bar{n} \cdot A \rightarrow W$   
↑ ↑ ↑  
hard coefficient Part of collin op.  $p^2 \sim \lambda^2 Q^2$

$= \int d\omega f(\omega) W \delta(\omega - \bar{P}) W^+$

In general we can define a convenient set of (collinear gauge invariant) building blocks for operators:

- $\chi_n \equiv (W_n^+ \xi_n)$  "quark jet-field"
- $\chi_{n,w} \equiv \delta(w-\bar{P}) (W_n^+ \xi_n)$
- operators  $\int dw_1 dw_2 C(w_1, w_2) \bar{\chi}_{n,w_1} \Gamma \chi_{n,w_2}$  etc.

- $g \circ B_{n\perp}^\mu = \left[ \frac{1}{\bar{P}} W_n^+ [i\bar{n} \cdot D_n, iD_{n\perp}^\mu] W_n \right] = g A_{n\perp}^\mu + \dots$   
\* derivatives act only inside [...]  
 "gluon jet-field" for two physical gluon-pol.
- $\circ B_{n\perp}^\mu = [ \circ B_{n\perp}^\mu \delta(w-\bar{P}^+) ]$   
↑ convention/choice, acts left inside [...]

Building Blocks

All operators can be constructed solely from  $\{ \chi_n, \circ B_{n\perp}^\mu, \mathcal{P}_\perp^\mu \} + \text{soft fields} \& D_{us}^\mu$ .

① Let  $i \circ D_n^\mu = W_n^+ i D_n^\mu W_n$  where  $i D_n^\mu$  has  $\left\{ \begin{matrix} \bar{P}_\perp \\ P_\perp \\ i n \cdot \partial \end{matrix} \right\} + g \left\{ \begin{matrix} n \cdot A_n \\ A_n^\perp \\ \bar{n} \cdot A_n \end{matrix} \right\}$

$\bar{n} \cdot i D_n = \bar{P}$

$i \circ D_n^\perp = P_\perp^\mu + g \circ B_{n\perp}^\mu$  ,  $i n \cdot D_n = i n \cdot \partial + g n \cdot \circ B_n$   
↑ analogous to defn  $\circ B_{n\perp}^\mu$

derivatives  $\bar{P} \chi_{n,w} = w \chi_{n,w}$  can be absorbed in coefficients

$i n \cdot \partial \chi_n = - (g n \cdot \circ B_n) \chi_n - i \circ D_{n\perp} \frac{1}{\bar{P}} i \circ D_{n\perp} \chi_n$  equation of motion for  $\chi_n$

↑ remove  $i n \cdot \partial$ 's

$i n \cdot \partial \circ B_{n\perp}^\mu = \dots$  eqtn of motion

Note:  $i \circ D_{n\perp}^\mu = W_n^+ (P_\perp^\mu + g A_{n\perp}^\mu) W_n = P_\perp^\mu + [W_n^+ i D_{n\perp}^\mu W_n] = P_\perp^\mu + \left[ \frac{1}{\bar{P}} \bar{P} W^+ i D_{n\perp}^\mu W \right]$   
 $= P_\perp^\mu + \left[ \frac{1}{\bar{P}} W_n^+ i \bar{n} \cdot D_n i D_{n\perp}^\mu W_n \right] = P_\perp^\mu + \left[ \frac{1}{\bar{P}} W_n^+ [i\bar{n} \cdot D_n, iD_{n\perp}^\mu] W_n \right]$



②  $w(g_n \cdot B_n)_w = 2 P_\perp^\dagger g \cdot B_{\perp,w}^\dagger + \dots$

also part of gluon e.o.m.

All other <sup>collinear</sup> operators,  $W_n^\dagger [i D_n^\mu, i D_n^\nu] W_n, \dots$   
 are reducible to  $\{ \chi_n, B_{\perp}^\mu, P_{\perp}^\nu \}$

③ Do need usoft derivatives, Field strengths,  $g_{us}$ , etc  
 Statement of RPI becomes

$i \not{D}_{n\perp}^\mu + i \not{D}_{us\perp}^\mu, \quad \bar{P}_n + i \bar{n} \cdot D_{us}$

(equiv. to earlier, but  
 w's around  
 collinear  $D^\mu$   
 here, rather  
 than usoft)

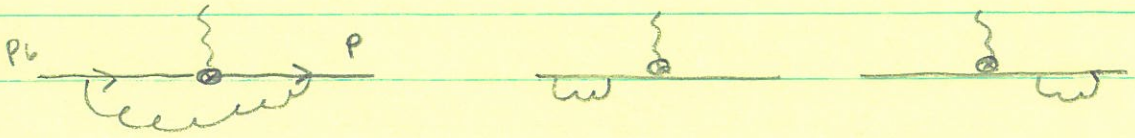
Loops, IR divergences, Matching & Running

Consider heavy-to-light current for  $b \rightarrow s \gamma$

$J^{QCD} = \bar{s} \Gamma b \quad \Gamma = \sigma^{\mu\nu} P_R F_{\mu\nu}$

$J_{LO}^{SCET} = (\bar{s} W) \Gamma h_v C(\bar{P}^+)$  [pre  $\gamma$ -field redefn]

QCD graphs at one-loop, take  $p^2 \neq 0$  to regulate  
 use Feyn. Gauge IR of collin quark



$= - \bar{u}_s \Gamma u_b \frac{d_S G_F}{4\pi} \left[ \ln^2 \left( \frac{-p^2}{m_b^2} \right) + 2 \ln \left( \frac{-p^2}{m_b^2} \right) + \dots \right]$

$Z_{tb} = 1 - \frac{d_S G_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} + \frac{2}{\epsilon_{IR}} + 3 \ln \frac{\mu^2}{m_b^2} + \dots \right]$   $\leftarrow F(p \cdot p_b / m_b^2), \text{ IR finite}$

$Z_{ts} = 1 - \frac{d_S G_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} - \ln \frac{p^2}{\mu^2} \right]$   $\leftarrow$  full  $Z$ 's (not  $\bar{M}\bar{S}$ ) match for  $S$ -matrix

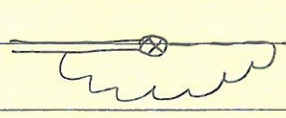
$Z_{tensor} = 1 + \frac{d_S G_F}{4\pi} \frac{1}{\epsilon}$   $\leftarrow$  Tensor current in QCD not conserved

$$\text{Sum} = \bar{u}_s \Gamma u_b \left[ 1 - \frac{\alpha_s C_F}{4\pi} \left\{ \ln^2\left(\frac{-p^2}{m_b^2}\right) + \frac{3}{2} \ln\left(\frac{-p^2}{m_b^2}\right) + \frac{1}{\epsilon_{IR}} + \dots \right\} \right]$$

**SCET I**

Use Feyn. Gauge everywhere

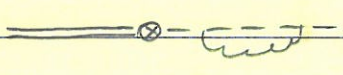
usoft-loops



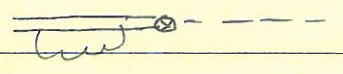
$$\int \frac{d^d k}{(v \cdot k + i0)(k^2 + i0)(n \cdot k + P^2/\bar{n} \cdot p + i0)}$$

$$= -\bar{u}_n \Gamma u_w \frac{\alpha_s C_F}{4\pi} \left[ \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln\left(\frac{\mu \bar{n} \cdot p}{-p^2 - i0}\right) + 2 \ln^2\left(\frac{\mu \bar{n} \cdot p}{-p^2 - i0}\right) + \frac{3\pi^2}{4} \right]$$

Note:  $P^2/\bar{n} \cdot p \sim \lambda^2$  so logs  $\mathcal{O}(1)$  for  $\mu \sim \lambda^2$  usoft scale

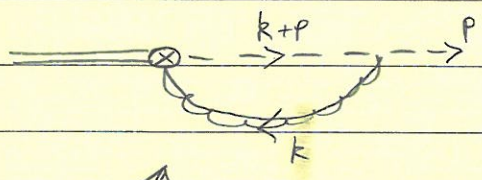


$\alpha n_\mu n^\mu = 0$  in Feyn Gauge,  $Z_{\frac{1}{2}}^{us} = 0$



$$Z_{HQET} = 1 + \frac{\alpha_s C_F}{4\pi} \left[ \frac{2}{\epsilon_{UV}} - \frac{2}{\epsilon_{IR}} \right]$$

collinear graphs



$$= \bar{u}_n \Gamma u_w \sum_{\substack{k_e \neq 0 \\ k_e \neq -p_e}} \int \frac{d^d k_r}{(\bar{n} \cdot k)(k^2)(k+p)^2}$$

each momentum has  $k = (k_e, k_r)$ , label & residual

$\uparrow \uparrow \uparrow$   
 $n \cdot k_r, \bar{n} \cdot k_e, k_e^\perp$   
 $n \cdot p_r, \bar{n} \cdot p_e, p_e^\perp$

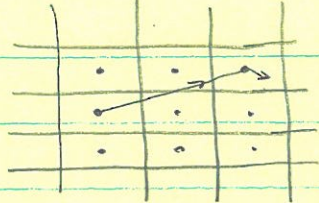
Label & residual ensure we have LO piece (important for mixed collinear & usoft graphs)

• But now we want to turn  $\sum_{k_e} \int d^d k_r$  back into  $\int d^d k_e$  to do loop integration

Claim if we ignore  $k_e \neq 0, k_e \neq -p_e$  restrictions we just get

$$\int \frac{d^d k}{(\bar{n} \cdot k)(k^2)(k+p)^2}$$

$k_r^+$  is only + loop momentum. Worry about:  $k_e^+, k_r^+$  &  $k_e^-, k_r^-$  (132)

call grid  was like Wilsonian EFT (with finite edges)

Continuum EFT: each grid point specifies an  $\infty$ -space of residual momenta ( $k_r^+ \in \mathbb{R}$ ), subject to rules

Ignore  $k_e \neq 0$ ,  $k_e \neq -p_e$ ,

i)  $\sum_{k_e} \int dk_r = \int dk_e$  for  $- \perp$  momenta  
(use 1-dim notation for simplicity)

ii)  $\sum_{k_e} \int dk_r F(k_e) = \sum_{k_e} \int dk_r F(k_e + k_r) = \int dk_e F(k_e)$   
 ↑ constant throughout each box      ↑ continuous dummy var.

• This is the (simplified version of) main rule for obtaining  $\int dk_e$ .

For each label loop momentum  $k_e$ , there will always be a corresponding  $k_r$  that we can absorb in this fashion.

• Recall that grid facilitated multipole expansion. For a purely collinear loop there is often no physical  $P_r^+, P_r^-$  flowing through it. In this case answer must reduce to what we get from considering  $\int d^d k_n$

iii)  $\sum_{k_e} \int dk_r (k_r)^j F(k_e) = 0$  for  $j > 0$  integer  
 dim-reg type rule which maintains Lorentz-Invar in residual space

iv) Ultrasoft external particles or loops give non-trivial  $k_r^\mu$

& hence residual momenta that we can not absorb

eg.  $\sum_{k_e} \int dk_r \int dl_r F(k_e, l_r) = \int dk_e \int dl_r F(k_e, l_r)$   
 ↑ ultrasoft propagator (say)

ignoring restrictions

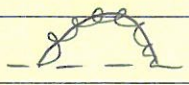


$$\sum_{k_2} \int \frac{d^4 k_r}{\bar{n} \cdot k_e (k_e \bar{k}_r^+ + k_e^2)} \frac{n \cdot \bar{n} \bar{n} \cdot (p_e + k_e)}{((k_e + p_e)(k_r^+ + p_r^+) + (k_e^+ + p_e^+)^2)}$$

$$= \int \frac{d^4 k}{\bar{n} \cdot k k^2 (k+p)^2} \quad \text{do as standard loop integral}$$

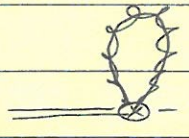
$$= \frac{d_s C_F}{4\pi} \left[ \frac{2}{\epsilon^2} + \frac{2}{\epsilon} + \frac{2}{\epsilon} \ln \frac{\mu^2}{-p^2} + \ln^2 \left( \frac{\mu^2}{-p^2} \right) + 2 \ln \left( \frac{\mu^2}{-p^2} \right) + 4 - \frac{\pi^2}{6} \right]$$

logs minimized for  $\mu^2 \sim p^2$ , collinear scale



collinear w.f.r. renormalization, same as massless QCD

$$Z_g = 1 + \frac{d_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} + \ln \frac{\mu^2}{-p^2} \right]$$



$\propto \bar{n}^2 = 0$  (Feyn.)



scaleless  $\rightarrow 0$   
power divergent

(cancels unphysical sing. for  $\bar{n} \cdot (p+k) \rightarrow 0$ ,  $k_\perp$  fixed in )

Matching Compare QCD & SCET, kinematics in  $b \rightarrow s\gamma$  sets  $p^- = m_b$

$$(\text{sum QCD})^{\text{ren}} = -\frac{d_s C_F}{4\pi} \left[ \ln^2 \left( \frac{-p^2}{m_b^2} \right) + \frac{3}{2} \ln \left( \frac{-p^2}{m_b^2} \right) + \frac{1}{\epsilon_{IR}} + 2 \ln \left( \frac{\mu^2}{m_b^2} \right) + \dots \right]$$

$$(\text{sum SCET})^{\text{bare}} = -\frac{d_s C_F}{4\pi} \left[ \ln^2 \left( \frac{-p^2}{m_b^2} \right) + \frac{3}{2} \ln \left( \frac{-p^2}{m_b^2} \right) + \frac{1}{\epsilon_{IR}} \right]$$

$$-\frac{1}{\epsilon^2} - \frac{5}{2\epsilon} - \frac{2}{\epsilon} \ln \left( \frac{\mu}{m_b} \right) - 2 \ln^2 \left( \frac{\mu^2}{m_b^2} \right) - \frac{3}{2} \ln \frac{\mu}{m_b} + \dots$$

— match these IR divergences

want to take care of this with UV renormalization of SCET,

difference gives matching for one-loop  $C(m_b, \mu)$

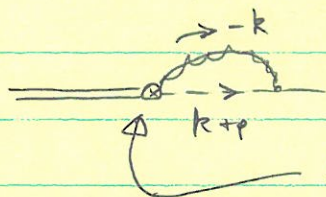
Have to know  $1/\epsilon$ 's are UV.

↓ discuss later

Note ①  $\sum_w C(w, \mu) \overline{\mathcal{K}}_{n,w} \Gamma_{hw}$   
 $(\overline{\mathcal{K}}_n W) S_{w, \bar{p}^+}$  total momentum of  
 $\overline{\mathcal{K}}_n$  &  $W$  fixed as  $w$

so its always  $w = \bar{p}^-$  above

• non-trivial example



$$\text{sum} = \vec{n} \cdot (k+p) + \vec{n} \cdot (-k) = \vec{n} \cdot p$$

② should be careful with  $k_e \neq 0$ ,  $k_e \neq -p_e$  (zero-bin's)

Collinear momenta have non-zero labels

When  $k_e = 0$  gluon is usoft ( $k_e = -p_e$  quark is usoft)

These restrictions avoid double counting in SCET fields and hence also in results for loop integrations

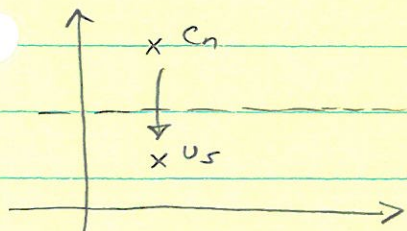
Rule ii) above with restrictions (encoded via propagators) is

$$\begin{aligned} \sum_{k_e \neq 0} \int dk F(k_e) &= \sum_{k_e} \int dk F(k_e) - \int dk F^{k_e \rightarrow 0}(0) \\ &= \sum_{k_e} \int dk F(k_e + k) - \int dk F^{k_e \rightarrow 0}(k) \\ &= \int dk [F(k) - F^{k_e \rightarrow 0}(k)] \end{aligned}$$

↑ zero-bin subtraction term

$F^{k_e \rightarrow 0}(k)$  is defined by taking scaling limit  $k_n^\mu \rightarrow k_{us}^\mu$   
 $re \ k_n^\mu \sim \lambda^2$

and expanding to keep all subtractions that are same order in  $\lambda$  (dropping power suppressed terms, a "minimal subtraction")



subtraction ensures "Cn" has no non-trivial support in ultrasoft "us" region

or eg:



$$\int d^d k \left[ \frac{\bar{n} \cdot \bar{n} \bar{n} \cdot (k+p)}{\bar{n} \cdot k (k+p)^2 k^2} - \frac{\bar{n} \cdot \bar{n} \bar{n} \cdot p}{\bar{n} \cdot k (\bar{n} \cdot p \bar{n} \cdot k + p^2) k^2} \right]$$

↑ scaling limit

$$= \frac{i}{16\pi^2} \left[ \left( \frac{2}{\epsilon_{IR} \epsilon_{UV}} + \frac{2}{\epsilon_{IR}} \ln \frac{\mu^2}{-p^2} + \ln^2 \frac{\mu^2}{-p^2} + \left( \frac{2}{\epsilon_{UV}} - \frac{2}{\epsilon_{IR}} \right) \ln \frac{\mu}{\bar{n} \cdot p} + \dots \right) - \left( \left( \frac{2}{\epsilon_{IR}} - \frac{2}{\epsilon_{UV}} \right) \left( \frac{1}{\epsilon_{UV}} + \ln \frac{\mu^2}{-p^2} - \ln \frac{\mu}{\bar{n} \cdot p} \right) \right) \right]$$

zero in pure-dim reg.

- Subtraction:
- cancels  $\bar{n} \cdot q \rightarrow 0$  IR singularity of first term,
  - UV divergences come from  $\bar{n} \cdot q \rightarrow \infty$  & are indep. of IR regulator
  - here  $\epsilon_{IR} = \epsilon_{UV}$  and ignoring subtraction gives correct answer

- for other less inclusive calculations (eg. jet algorithms) or other regulators (eg.  $\Lambda_+^2 \leq k_+^2 \leq \Lambda_-^2$ ,  $\Lambda_-^2 \leq (k^-)^2 \leq \Lambda_+^2$ ) the subtraction is crucial to avoid double counting (get correct IR structure) & have UV div. indep. of IR regulator.

### Renormalization in SCET & Summing Sudakov Logs

our eg.

$$C^{\text{bare}} = C + (Z_C - 1)C$$

need counter term  $Z_C = 1 - \frac{d_S(\mu)}{4\pi} C_F \left( \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln \frac{\mu}{\omega} + \frac{5}{2\epsilon} \right)$

where current with Wilson Coeff was

$$\int d\omega C(\omega) O(\omega) = \int d\omega C(\omega) \underbrace{\bar{\chi}_{n,\omega} \Gamma_{hv}}_{(\bar{\chi}_n) \delta(\omega - \bar{p}^+)}$$

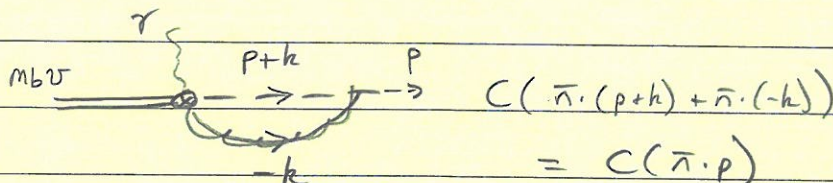
## Running

- In general we must be careful with integral over  $\omega$ , which is the momentum of the product ( $\vec{\omega}$ ).

But in our example  $\omega$  is fixed by external kinematics

- it does not involve loop momenta

non-trivial example



- $m_b v = p_\gamma + p = E_\gamma \bar{n} + p$  so  $\bar{n} \cdot p = m_b = \omega$

Anom dim where  $\mu \frac{d}{d\mu} C^{\text{bare}} = 0 \Rightarrow \mu \frac{d}{d\mu} C(\omega, \mu) = \gamma_C(\omega, \mu) C(\omega, \mu)$

$$\gamma_C = -Z_C^{-1} \mu \frac{d}{d\mu} Z_C = \mu \frac{d}{d\mu} \frac{C_F \alpha_s(\mu)}{4\pi} \left( \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln \frac{\mu}{\omega} + \frac{5}{2\epsilon} \right)$$

$$= \frac{C_F \alpha_s(\mu)}{4\pi} \left( \underbrace{-\frac{2}{\epsilon} - 4 \ln \frac{\mu}{\omega} - 5}_{\text{from } \mu \frac{d}{d\mu} \alpha_s = -2\epsilon \alpha_s + \mathcal{O}(\alpha_s^2)} + \frac{2}{\epsilon} \right)$$

$$= \frac{-\alpha_s(\mu)}{4\pi} \left( \underset{\substack{\uparrow \\ \text{LL from}}}{4 C_F \ln \frac{\mu}{\omega}} + 5 C_F \right)$$

LL from

part of NLL

"cusp anom. dim"

## LL RGE

$$\mu \frac{d}{d\mu} C(\mu, \omega) = -\frac{\alpha_s(\mu) C_F}{\pi} \ln \left( \frac{\mu}{\omega} \right) C(\mu, \omega)$$

$$\text{or } \frac{d \ln C(\mu, \omega)}{d \ln \mu} = -\frac{\alpha_s(\mu) C_F}{\pi} \ln \left( \frac{\mu}{\omega} \right)$$

Soln take boundary condition  $C(\mu=w, w) = 1$

"QED"

$\alpha_s = \text{fixed}, C_F = 1,$

Sudakov

$$C(\mu, w) = \exp \left[ -\frac{\alpha}{2\pi} \ln^2 \left( \frac{\mu}{w} \right) \right]$$

Exponential

related to restrictions we've placed on radiation with our operators (to probability of evolving without branching in a parton shower)

QCD

$$d \ln \mu = \frac{d\alpha_s}{\beta[\alpha_s]} = -\frac{2\pi}{\beta_0} \frac{d\alpha_s}{\alpha_s^2} + \dots$$

$$\ln(\mu/w) = -\frac{2\pi}{\beta_0} \int_{\alpha_s(w)}^{\alpha_s(\mu)} \frac{d\alpha_s}{\alpha_s^2}$$

$$\ln C(\mu, w) = -\frac{C_F}{\pi} \left( \frac{2\pi}{\beta_0} \right)^2 \int_{\alpha_s(w)}^{\alpha_s(\mu)} \frac{d\alpha_s}{\alpha_s^2} \int_{\alpha_s(w)}^{\alpha_s} \frac{d\alpha}{\alpha^2}$$

$$C(\mu, w) = \exp \left[ -\frac{4\pi C_F}{\beta_0^2 \alpha_s(w)} \left( \frac{1}{z} - 1 + \ln z \right) \right], \quad z = \frac{\alpha_s(\mu)}{\alpha_s(w)}$$

↑ running coupling effects

To discuss the order we're working to, look at series in

$$\ln C(\mu, w) \sim \underbrace{\alpha_s^K \ln^{K+1}}_{LL} + \underbrace{\alpha_s^K \ln^K}_{NLL} + \underbrace{\alpha_s^K \ln^{K-1}}_{NNLL} + \dots$$

What Coefficients do we need to compute?

	tree-level	one-loop	2-loop	3-loop
LL	matchj	$\frac{1}{2} \epsilon^2$	-	-
NLL	matchj	$\frac{1}{2} \epsilon$	$\frac{1}{2} \epsilon^2$	-
NNLL		matchj	$\frac{1}{2} \epsilon$	$\frac{1}{2} \epsilon^2$

↑ differs from our earlier single log resummation case

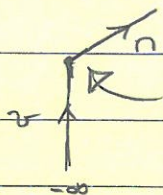


- Where is the "cusp" in "cusp anomalous dimension"?

$$J_{\text{SCET}} = (\bar{\chi}_n W_n) \Gamma (Y_n^\dagger h_v)$$

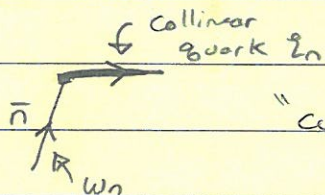
Here  $h_v$  has  $Z_{\text{HQET}} = \bar{h}_v i v \cdot D h_v$  and coincides with a timelike Wilson line,  $h_v = Y_v h_v^{(0)}$ ,  $Z_{\text{HQET}} = \bar{h}_v^{(0)} i v \cdot \partial h_v^{(0)}$

$Y_n^\dagger Y_v$  is



cusp is kink in Wilson line path  
With light-like particles give a single  $\ln(M/w)$  in anom. dimensions

Also

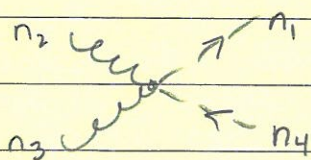


"cusp" where one part is not a Wilson line

- When will  $w$ 's be fixed by external kinematics?

If our operator only involves one building block ( $\chi_n$  or  $B_n^M$ ) for each collinear direction

eg  $\int dw_1 dw_2 dw_3 dw_4 \bar{\chi}_{n_1, w_1} \Gamma B_{n_2, w_2}^M B_{n_3, w_3}^\dagger \chi_{n_4, w_4} C(w_1, \dots, w_4)$



again  $w_i$ 's only involve momenta external to collinear loops

eg. where its not true, same  $n$  in two  $\chi_n$ 's

$$\int dw_1 dw_2 \bar{\chi}_{n, w_1} \frac{\not{w}}{2} \chi_{n, w_2} C(w_1, w_2)$$

Here the  $w_i$ 's will involve loop momenta [one combination is not fixed by momentum conservation]

and we'll get anom. dimension equations with integrals

$$\mu \frac{d}{d\mu} C(\mu, w) = \int d\omega' \gamma(\mu, w, \omega') C(\mu, \omega')$$

Indeed, the above operator is responsible for several classic evolution equations

- |                                    |  |
|------------------------------------|--|
| DIS                                | Altarelli-Parisi (DGLAP) evolution for PDF |
| $\gamma^* \pi^0 \rightarrow \pi^0$ | Brodsky-Lepage                             |
| $\gamma^* p \rightarrow \gamma p'$ | Deeply Virtual Compton Scattering          |

Lets see how this works for the parton dist'n

First we'll prove its the right operator by studying DIS factorization

( there is no page 139 in my notes )

**DIS**

A rich subject, only aspects related to QCD factorization are covered here using SCET

Refs:

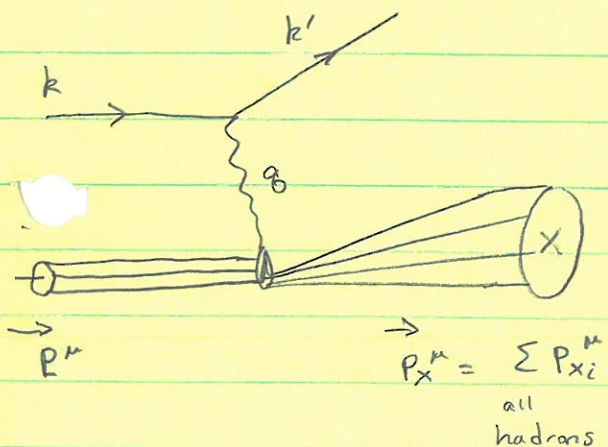
§ 1.8 of Heavy Quark Physics

Aneesh M.'s review: hep-ph/9204208

Bob J.'s review: hep-ph/9602236

paper: hep-ph/0202088 (for material below)

$e^- p \rightarrow e^- X$



$Q^2 \gg \Lambda^2$

$q^2 = -Q^2, \quad x = \frac{Q^2}{2P \cdot q}$

$P_X^\mu = P^\mu + q^\mu$

$P_X^2 = \frac{Q^2}{x} (1-x) + M_p^2$

regions

$\frac{P_X^2}{Q^2}$	$\frac{(1-x)}{x}$	
$\sim 1$	$\sim 1$	inclusive OPE
$\sim Q\Lambda$	$\sim 1/Q$	endpt. region
$\sim \Lambda^2$	$\sim \Lambda^2/Q^2$	resonance region

Parton Variables



Struck quark carries some fraction  $\xi$  of proton momentum

$\bar{n} \cdot p = \xi \bar{n} \cdot P$

$p'^2 \approx Q^2 \left( \frac{\xi}{x} - 1 \right)$

$e^- p \rightarrow e^- p'$   
 ↑  
 eg. excited state

← we'll see how to formulate  $\xi$  in QCD

Frames

Breit Frame

$$q^\mu = \frac{Q}{2} (\bar{n}^\mu - n^\mu)$$

$$P^\mu = \frac{n^\mu}{2} \bar{n} \cdot P + \frac{\bar{n}^\mu m_p^2}{2 \bar{n} \cdot P} = \frac{n^\mu}{2} \frac{Q}{x} + \dots \text{collinear}$$

$$P_x^\mu = \frac{\bar{n}^\mu}{2} Q + \frac{n^\mu}{2} \frac{Q(1-x)}{x} + \dots \text{hard}$$

Proton is made of collinear quarks and gluons

Rest Frame

$$P^\mu = \frac{m_p}{2} (n^\mu + \bar{n}^\mu) \quad \text{soft}$$

$$q^\mu = \frac{\bar{n}^\mu}{2} \frac{Q^2}{m_p x} - \frac{n^\mu}{2} m_p x + \dots$$

$$P_x^\mu = \text{sum} \quad \text{"collinear" } P_x^2 \sim Q^2$$

Like  $B \rightarrow X c e \nu$  we can write cross-section in terms of leptonic & hadronic tensors

$$d\sigma = \frac{d^3 k'}{2 |k'|} \frac{e^4}{s Q^4} L^{\mu\nu}(k, k') W_{\mu\nu}(P, q)$$

we'll look at  
spin-avg. case

$$W_{\mu\nu} = \frac{1}{\pi} \text{Im} T_{\mu\nu}$$

$$T_{\mu\nu} = \frac{1}{2} \sum_{\text{spin}} \langle p | \hat{T}_{\mu\nu}(q) | p \rangle$$

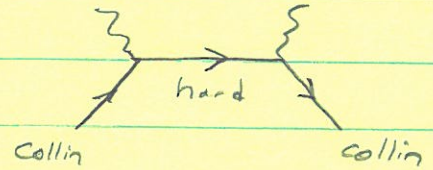
$$\hat{T}_{\mu\nu} = i \int d^4 x e^{i q \cdot x} T [J_\mu(x) J_\nu(0)]$$

↑  
em. currents

$$T_{\mu\nu} = \left( -g_{\mu\nu} + \frac{g_{\mu} g_{\nu}}{Q^2} \right) T_1(x, Q^2) + \left( \frac{P_{\mu} + \frac{g_{\mu}}{2x} \right) \left( \frac{P_{\nu} + \frac{g_{\nu}}{2x} \right)}{2x} T_2(x, Q^2)$$

satisfies current conservation, P, C, T, etc.

Want imaginary part of forward scattering



First match onto SCET ops.  
at L.O.:



↑ gluon initiates

$$\hat{T}^{\mu\nu} = \frac{g_{\perp}^{\mu\nu}}{Q} \left( O_1^{(i)} + \frac{O_1^{(3)}}{Q} \right) + \frac{(n^{\mu} + \bar{n}^{\mu})(n^{\nu} + \bar{n}^{\nu})}{Q} \left( O_2^{(i)} + \frac{O_2^{(3)}}{Q} \right)$$

$O(\lambda^2)$  operators

↓ flavor = u, d, ...

$$O_j^{(i)} = \bar{\psi}_{n,p}^{(i)} \not{W} \frac{\not{n}}{2} C_j^{(i)} (\bar{P}_+, \bar{P}_-) W^{\dagger} \psi_{n,p}^{(i)}$$

$$O_j^{(3)} = \text{tr} [ W^{\dagger} B_{\perp}^{\dagger} W C_j^{(3)} (\bar{P}_+, \bar{P}_-) W^{\dagger} B_{\perp} W ]$$

where  $i\partial B_{\perp}^{\dagger} \equiv [i\bar{n} \cdot D_{\perp}, iD_{\perp}^{\dagger}] \sim \lambda \sim \psi_n$

$$\bar{P}_{\pm} = \bar{P}^{\dagger} \pm \bar{P}$$

$O_j^{(i)}$  will lead to quark, anti-quark p.d.f.'s

$O_j^{(3)}$  " " " gluon p.d.f.'s

Quark contribution in detail:

$$O_j^{(i)} = \int d\omega_1 d\omega_2 C_j^{(i)}(\omega_+, \omega_-) \left[ (\bar{\psi}_n(\omega)_{\omega_1} \frac{\not{n}}{2} (W^{\dagger} \psi_n)_{\omega_2} \right]$$

$\uparrow$   
 $S(\omega_1 - \bar{P}^+)$

$\uparrow$   
 $S(\omega_2 - \bar{P})$

$$\omega_{\pm} = \omega_1 \pm \omega_2$$

coord space  $f_{i/p}(z) = \int dy e^{-i2z\bar{n}\cdot Py} \langle p | \bar{\psi}(y) W(y, -y) \psi(y) | p \rangle$   
 parton distn for quark  $i$  in proton  $p$

$\bar{f}_{i/p}(z) = -f_{i/p}(-z)$  for anti-quark

mom. space  $\langle P_n | (\bar{\psi}_n W)_{w_1} \psi (W^\dagger \psi_n)_{w_2} | P_n \rangle = 4\bar{n}\cdot P \int_0^1 dz \delta(w_-)$

\*  $\left[ \delta(w_+ - 2z\bar{n}\cdot P) f_{i/p}(z) - \delta(w_+ + 2z\bar{n}\cdot P) \bar{f}_{i/p}(z) \right]$

recall  $\begin{matrix} \uparrow & & \uparrow \\ \text{positive } w_1 = w_2 & \text{gives} & \text{negative } w_1 = w_2 \\ \text{particles} & & \text{gives anti-particles} \end{matrix}$

$(\bar{\psi}_n W)_w \psi (W^\dagger \psi_n)$  is a number operator for collinear quarks with momentum  $w$   
 a parton

[ If we tried to couple usoft or soft gluons to this op. its a singlet so they decouple, more later ]

Charge Conjugation

$C_j^{(i)}(-w_+, w_-) = -C_j^{(i)}(w_+, w_-)$

$w_1 \leftrightarrow -w_2$

- relates Wilson-Coeff for quarks & anti-quarks at operator level
- Only need matching for quarks

$\delta$ -functions set  $w_- = 0, w_+ = 2z\bar{n}\cdot P = 2Q \frac{z}{x}$

Relate basis

$$\frac{1}{\pi} \text{Im } T_1 = \int [d\omega] \frac{-1}{Q} \left( \frac{1}{\pi} \text{Im } G_1(\omega) \right) \langle O^{(i)}(\omega) \rangle$$

$$\frac{1}{\pi} \text{Im } T_2 = \int [d\omega] \left( \frac{4x}{Q} \right)^2 \frac{1}{Q} \frac{1}{\pi} \text{Im} \left( C_2(\omega) - \frac{C_1(\omega)}{4} \right) \langle O^{(i)}(\omega) \rangle$$

Define  $H_j(z) = \frac{\text{Im}}{\pi} C_j(2Qz, 0, Q^2, \mu^2)$   $z > 0$

(use charge conj for  $H_j(z < 0)$ ) do  $u_{\pm}$  with  $\delta$ -functions

$$T_1(x, Q^2) = \frac{-1}{x} \int_0^1 d\xi H_1^{(i)}\left(\frac{\xi}{x}\right) [f_{i/p}(\xi) + \bar{f}_{i/p}(\xi)]$$

$$T_2(x, Q^2) = \frac{4x}{Q^2} \int_0^1 d\xi \left( 4H_2^{(i)}\left(\frac{\xi}{x}\right) - H_1^{(i)}\left(\frac{\xi}{x}\right) \right) [f_{i/p}(\xi) + \bar{f}_{i/p}(\xi)]$$

this is factorization for DIS (to all orders in  $d_s$ ) into computable coefficients  $H_i$

universal non-pert. functions  $f_{i/p}, \bar{f}_{i/p}$   
(show up in many processes)

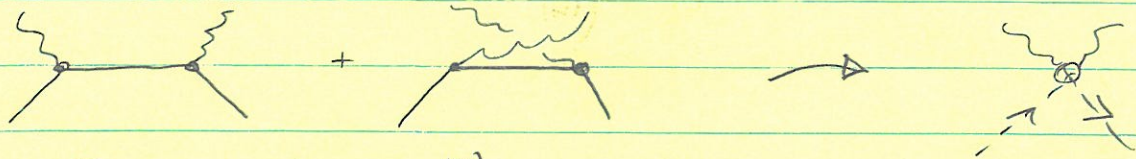
- Coefficients  $C_j$  were dimensionless and can only have  $d_s(\mu) \ln(\mu/Q)$  dependence on  $Q$   
→ Bjorken scaling

[Analysis valid to LO in  $\frac{\Lambda^2}{Q^2}$ ]

- $H_i(\mu) f_{i/p}(\mu)$  traditionally, this  $\mu$ -dependence is called the "factorization-scale"  $\mu = \mu_F$  & one also has "renorm. scale"  $d_s(\mu = \mu_R)$

In SCET the  $\mu$  is just the ren. scale in SCET. We have new UV divergences associated with running of p.d.f., along with running for  $d_s(\mu)$ .

• Tree Level Matching  
 (upon which a lot of intuition is based)



find just  $g_{\pm}^{\mu\nu}$  ie  $C_2 = 0$

↳ Callan-Gross relation

that  $w_1/w_2 = Q^2/4x^2$

$$C_1(w_+) = 2e^2 Q_i^2 \left[ \frac{Q}{(w_+ - 2Q) + i\epsilon} - \frac{Q}{-(w_+ + 2Q) + i\epsilon} \right]$$

↑  
charges

$$H_1\left(\frac{q}{x}\right) = -e^2 Q_i^2 \delta\left(\frac{q}{x} - 1\right) \quad \text{gives parton-model interpretation}$$

$\frac{q}{x} = x$



# One-Loop Renormalization of PDF

one  $\delta$ -function  $\rightarrow$  proton state, momentum  $P_n^-$

$$f_g(z) = \langle P_n | \bar{\chi}_n(0) \frac{\not{x}}{2} \chi_{n,w}(0) | P_n \rangle \quad \text{where } z = \frac{w}{P_n^-}$$

mass dimension  $-1 + \frac{3}{2} + \frac{3}{2} - 1 - 1 = 0$

$\lambda$  dimension  $-1 + 1 + 1 - 1 = 0$

$$\frac{d^3 p}{2E_p} = \frac{dP^-}{2P^-} d^2 P_\perp$$

states:  $\langle P_n(p) | P_n(p') \rangle = \underbrace{2P^-}_{\lambda^0} \delta(P^- - P'^-) \underbrace{\delta^2(P_\perp - P'_\perp)}_{\lambda^{-2}}$

$$P^- = \underbrace{(P_\perp^2 + P_z^2)^{1/2}}_{E_p} + P_z$$

Loops can change  $w$  (or  $z$ ).  $f_g(z)$  mixes with  $f_g(z')$  which in general is what we expect for operators with same quantum #'s. Loops also mix parton types  $i = q, g$

$$f_i^{\text{bare}}(z) = \int d^2 z' Z_{ij}(z, z') f_j(z', \mu)$$

$\uparrow$   
 $\mu$  independent

$\uparrow$   
L &  $d_s(\mu)$   
Eur  
in  $\overline{MS}$

$\uparrow$  UV finite, but IR div.  
encodes  $\Lambda_{QCD}$  effects

gives

$$\mu \frac{d}{d\mu} f_i(z, \mu) = \int d^2 z' \gamma_{ij}(z, z') f_j(z', \mu)$$

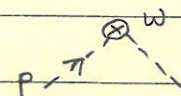
$$\gamma_{ij} \equiv - \int d^2 z'' Z_{ii'}^{-1}(z, z'') \mu \frac{d}{d\mu} Z_{i'j}(z'', z')$$

like matrix product in  $z$  vars. too.

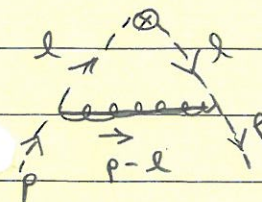
1-loop:  $Z_{ii'}^{-1}(z, z'') = \delta_{ii'} \delta(z - z'')$

$$\gamma_{ij}^{1\text{-loop}} = - \mu \frac{d}{d\mu} [Z_{ij}(z, z')]^{1\text{-loop}}$$

## Calculations

tree level   $= \sum_{\text{spin}} \bar{u}_n \frac{\not{x}}{2} u_n \delta(w - p^-) = p^- \delta(w - p^-) = \delta(1 - w/p^-)$

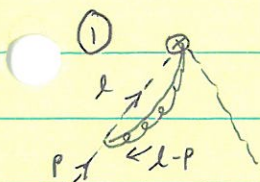
one-loop, use offshellness  $p^2 = p^+ p^- \neq 0$  to regulate IR

(a)   $= -i g^2 C_F \int d^d l \frac{p^- (d-2) l_\perp^2 \delta(l^- - w)}{[l^2 + i0]^2 [(l-p)^2 + i0]} \mu^{2\epsilon} \frac{e^{\epsilon\gamma_E}}{(4\pi)^\epsilon}$   $\leftarrow$  after simplification numerator

$$= \frac{2 g^2 C_F}{(4\pi)^2} (1-\epsilon)^2 \Gamma(\epsilon) e^{\epsilon\gamma_E} (1-z) \theta(z) \theta(1-z) \left(\frac{A}{\mu^2}\right)^{-\epsilon}$$

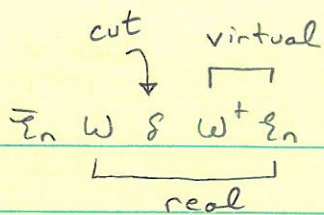
$$= \frac{d_s C_F}{\pi} (1-z) \theta(z) \theta(1-z) \left[ \frac{1}{2\epsilon} - 1 - \frac{1}{2} \ln \frac{A}{\mu^2} \right], \quad A \equiv -p^+ p^- z (1-z)$$

$z = w/p^-$



+ symmetric graph

two contractions



real virtual

$$= 2 i g^2 C_F \int \frac{d^d l}{(2\pi)^d} \frac{\bar{u}_n \not{l} \not{p} \not{l} u_n}{(l-p)(l^2)(l-p)^2} [\delta(l-w) - \delta(p-w)]$$

$$= \frac{C_F d_S(p)}{\pi} e^{\epsilon \gamma_E} \Gamma(\epsilon) \left[ \frac{z \Theta(z) \Theta(1-z)}{(1-z)^{1+\epsilon}} \left( \frac{-p^+ z - i0}{\mu^2} \right)^{-\epsilon} - \delta(1-z) \left( \frac{-p^+ p^- - i0}{\mu^2} \right)^{-\epsilon} \frac{\Gamma(2-\epsilon) \Gamma(-\epsilon)}{\Gamma(2-2\epsilon)} \right]$$

Distribution Identity

$$\frac{\Theta(1-z)}{(1-z)^{1+\epsilon}} = -\frac{\delta(1-z)}{\epsilon} + \mathcal{L}_0(1-z) - \epsilon \mathcal{L}_1(1-z) + \dots$$

plus-functions  $\mathcal{L}_n(x) = \left[ \frac{\Theta(x) \ln^n x}{x} \right]_+$

$$\int_0^1 dx \mathcal{L}_n(x) = 0, \quad \int_0^1 dx \mathcal{L}_n(x) g(x) = \int_0^1 dx \frac{\ln^n x}{x} [g(x) - g(0)]$$

- $\mathcal{V}_\epsilon^2$  terms in real & virtual terms cancel
- remaining  $\mathcal{V}_\epsilon$  is UV

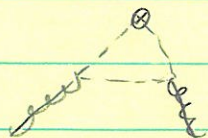
$$= \frac{C_F d_S(p)}{\pi} \left[ \left\{ \delta(1-z) + z \Theta(z) \mathcal{L}_0(1-z) \right\} \left( \frac{1}{\epsilon} + \ln \frac{\mu^2}{-p^+ p^- z - i0} \right) - z \mathcal{L}_1(1-z) \Theta(z) + \delta(1-z) \left( 2 - \frac{\pi^2}{6} \right) \right]$$



+ symm.

$$= \delta(1-z) (z\psi - 1) = \frac{d_S C_F}{\pi} \left[ \frac{-1}{4\epsilon} - \frac{1}{4} - \frac{1}{4} \ln \left( \frac{\mu^2}{-p^+ p^- - i0} \right) \right] \delta(1-z)$$

We'll ignore



which mixes  $\mathcal{O}_{glu}^f$  &  $\mathcal{O}_{quark}^f$   
 this mixing is needed if  $\mathcal{O}_{quark}$  is flavor singlet, but not for non-singlet like  $\bar{u}_n(\dots) d_n$

Sum of SCET graphs =  $f_{q/q}^{\text{bare}}(z)$  <sup>up to 1-loop</sup> =  $\delta(1-z)$

+  $\frac{C_F \alpha_s(\mu)}{\pi} \left[ \left\{ \frac{3}{4} \delta(1-z) + z \theta(z) \gamma_0(1-z) + \frac{(1-z)}{2} \theta(z) \theta(1-z) \right\} \left( \frac{1}{\epsilon} + \ln\left(\frac{\mu^2}{-p^+p^-}\right) \right) + \text{finite function of } z \right]$

=  $\delta(1-z) + \frac{C_F \alpha_s(\mu)}{\pi} \left[ \frac{1}{2} \left( \frac{1+z^2}{1-z} \right)_+ + \frac{1}{\epsilon} + \dots \right]$

=  $\int d\zeta' \gamma_{qq}(z, \zeta') f_j(\zeta', \mu)$

=  $\int d\zeta' \underbrace{\frac{1}{\zeta'} \gamma_{qq}\left(\frac{\zeta}{\zeta'}\right)}_{\text{RPI-III invariant (ratios)}} f_j(\zeta', \mu)$   $\zeta = z$  for quark state

RPI-III invariant (ratios)

& indep of proton momentum  $p^-$  (renormalization indep. of state)

=  $\delta(1-z) + \int \frac{d\zeta'}{\zeta'} \left[ \gamma_{qq}^{(1)}\left(\frac{\zeta}{\zeta'}\right) f_j^{(0)}(\zeta', \mu) + \gamma_{qq}^{(0)}\left(\frac{\zeta}{\zeta'}\right) f_j^{(1)}(\zeta', \mu) \right]$

=  $\delta(1-z) + \underbrace{\gamma_{qq}^{(1)}(z)}_{\text{1/}\epsilon \text{ part}} + \underbrace{f_q^{(1)}(z, \mu)}_{\text{rest}}$

$\gamma_{qq}(z, \zeta') = -\mu \frac{d}{d\mu} \frac{1}{\zeta'} \frac{C_F \alpha_s(\mu)}{2\pi} \left( \frac{1+z^2}{1-z} \right)_+ \quad , \quad \mu \frac{d}{d\mu} \alpha_s = -2\epsilon \alpha_s + \dots$

=  $\frac{C_F \alpha_s(\mu)}{\pi} \frac{\theta(\zeta' - z) \theta(1 - \zeta')}{\zeta'} \left( \frac{1+z^2}{1-z} \right)_+ \quad z = \frac{\zeta}{\zeta'}$

Quark Splitting Function, One-loop PDF anom. dim.

SCET I

hard  $p^{\mu} \sim (Q, Q, Q)$   
 collin  $(Q\lambda^2, Q, Q\lambda)$   
 usoft  $(Q\lambda^2, Q\lambda^2, Q\lambda^2)$

↑ non-trivial communication between sectors

SCET II

(still to come)

hard  $(Q, Q, Q)$   
 hard-collin  $(Q\lambda^2, Q, \sqrt{Q\lambda})$   
 collin  $(Q\lambda^2, Q, Q\lambda)$   
 soft  $(Q\lambda^2, Q\lambda, Q\lambda)$

Results for observables which tie d.o.f. together are "Factorization Theorems"

They can involve convolutions between objects defined by different degrees of freedom (hard, soft, jet, hadron dist'n functions) as long as they have same power counting for the convoluted momenta

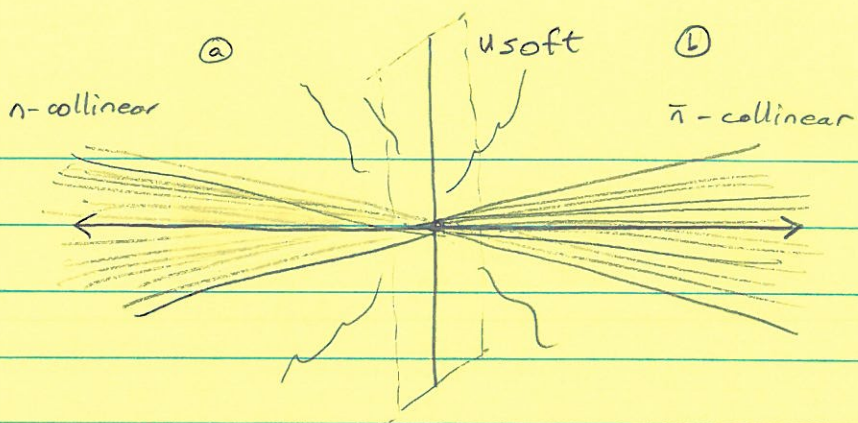
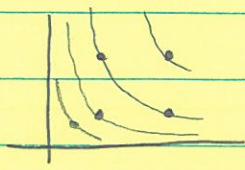
# Processes

- $\gamma^* \gamma \rightarrow \pi^0$   $\pi$ - $\gamma$  form factor at  $Q^2 \gg \Lambda^2$  for  $\gamma^*$   
 Breit frame  $q^\mu = \frac{Q}{2} (n^\mu - \bar{n}^\mu)$ ,  $p_\gamma^\mu = E \bar{n}^\mu$   
 $p_\pi^\mu = \frac{Q}{2} n^\mu + \underbrace{\frac{(E-Q)}{2}}_{m_\pi^2/2Q} \bar{n}^\mu$   
 pion = collinear in  $n$ -direction (SCET<sub>II</sub>)
- $\gamma^* M \rightarrow M'$   $m$ - $m'$  (meson) form factor  $Q^2 \gg \Lambda^2$  for  $\gamma^*$   
 $M =$  collinear in  $n$   
 $M' =$  " "  $\bar{n}$  (say) (SCET<sub>I</sub>)
- $B \rightarrow D \pi$  Matrix E.H. of 4-quark operators  
 $Q = \{m_b, m_c, E_\pi\} \gg \Lambda$   
 $B, D$  are soft  $p^2 \ll \Lambda^2$ ,  $\pi$ -collinear (SCET<sub>II</sub>)
- DIS Structure Functions at  $Q^2 \gg \Lambda^2$   
 $e^- p \rightarrow e^- X$  and  $1-x \gg \Lambda/Q$  (ie not near endpts in Bjorken  $x$ )  
 Breit frame: proton  $n$ -collinear,  $X$ -hard (SCET<sub>II</sub> or SCET<sub>I</sub>)
- Drell-Yan  $\frac{d\sigma}{dQ^2}$   $Q^2 =$  inv. mass of  $l^+ l^- \gg \Lambda^2$   
 $p \bar{p} \rightarrow l^+ l^- X$   
 $p$  -  $n$ -collin,  $\bar{p}$  -  $\bar{n}$ -collin,  $X$ -hard
- $e^+ e^- \rightarrow$  jets  
 $\bar{p} \rightarrow$  jets  
 $pp \rightarrow$  jets  
 • depends on observable we formulate  
 eg two jets  $n$ -collin jet  
 $\bar{n}$ -collin jet

etc.

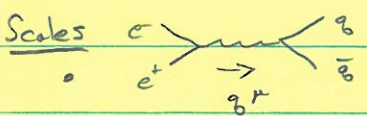
$e^+e^- \rightarrow \text{dijets}$

SCET<sub>I</sub>



$e^+e^- \rightarrow \gamma^* \text{ or } Z^* \rightarrow X_n X_{\bar{n}} X_{\text{usoft}}$

( $e^+e^-$ ) CM frame



$q^2 = Q^2$

hard

$\mu_h \sim Q$

• Hemisphere invariant mass divide

$P_X^\mu = P_{Xa}^\mu + P_{Xb}^\mu$

$M^2 \equiv (P_{Xa}^\mu)^2 = \left( \sum_{i \in a} p_i^\mu \right)^2$

$\bar{M}^2 = \left( \sum_{i \in b} p_i^\mu \right)^2$

jet  $\rightarrow M^2 \ll Q^2$

n-collinear

$Q(\lambda^2, \lambda, \lambda)$

$\mu_J \sim M$

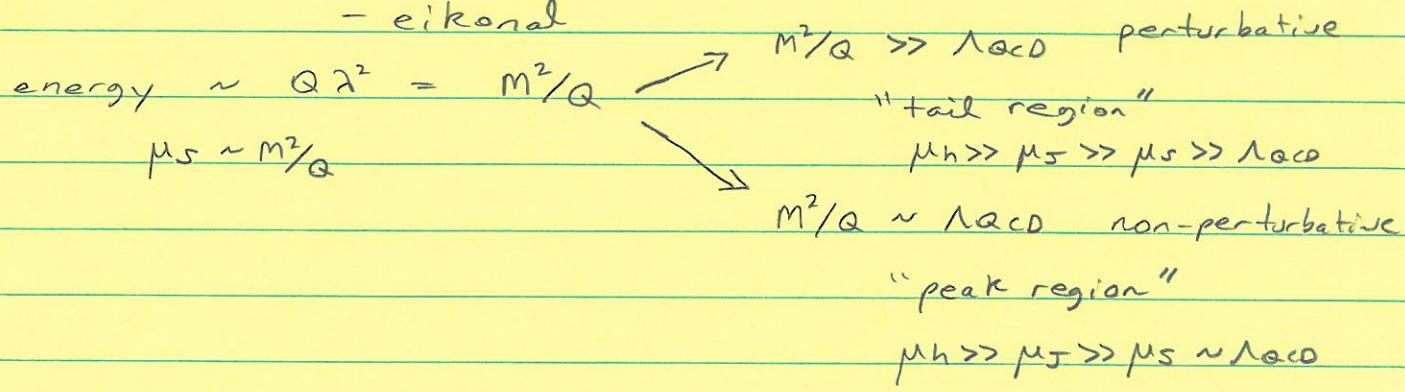
n-bar-collinear

$Q(1, \lambda^2, \lambda)$

$\lambda = M/Q$

• Usoft Radiation

- uniform in space
- communication btwn jets
- eikonal



In tail region we have power corrections

$\left( \frac{\Lambda_{\text{QCD}}}{\mu_S} \right)^k \ll 1$ . Leading order cross-section perturbative.

In peak region  $\left( \frac{\Lambda_{\text{QCD}}}{\mu_S} \right)^k \sim 1$  (any k)  $\rightarrow$  non-pert. soft function

Other Power Corrections

- $\mu_s/\mu_f$  "Kinematic" expansion of kinematic variables
- $\Lambda_{QCD}/\mu_h$  hard power corr. (Hwk)
- $\Lambda_{QCD}/\mu_f = \frac{\Lambda_{QCD}}{\mu_s} \frac{\mu_s}{\mu_f}$  not independent

QCD

Current  $J^\mu = \bar{\Psi} \Gamma^\mu \Psi \rightarrow (\bar{\chi}_n W_n)_w \Gamma^\mu (W_n^\dagger \chi_n)_{\bar{w}}$   
 $= (\bar{\chi}_n W_n)_w \Gamma^\mu (\chi_n^\dagger \chi_n)_{\bar{w}} (W_n^\dagger \xi_n)$  field redefn

color singlet



Kinematics  $q^\mu = p_{Xn}^\mu + p_{X\bar{n}}^\mu + p_S^\mu$

large  $\bar{n} \cdot q = Q = \bar{n} \cdot p_{Xn} + \dots$   $\omega = Q$   
 $n \cdot q = Q = n \cdot p_{X\bar{n}} + \dots$   $\bar{\omega} = Q$

momentum conservation is strong enough that there are no convolutions in  $\omega, \bar{\omega}$

Factorize the Cross-Section

QCD  $\sigma = \sum_X^{res} (2\pi)^4 \delta^4(q_0 - p_X) L_{\mu\nu} \langle 0 | J^{\mu\dagger}(0) | X \rangle \langle X | J^\nu(0) | 0 \rangle$

↑ restricted to dijet X states

SCET allows us to move restrictions into operators

$|X\rangle = |X_n\rangle |X_{\bar{n}}\rangle |X_S\rangle$

$\bar{3}$  rep  $3$ -rep  
 $\downarrow \downarrow$

$\sigma = N_0 \sum_{\bar{n}}^{res'} \sum_{X_n, X_S, X_{\bar{n}}} (2\pi)^4 \delta^4(q_0 - p_{X_n} - p_{X_{\bar{n}}} - p_S) \langle 0 | \bar{\chi}_{\bar{n}} \chi_n | X_S \rangle \langle X_S | \chi_n^\dagger \bar{\chi}_{\bar{n}}^\dagger | 0 \rangle$

\*  $|C(Q)|^2 \langle 0 | \bar{\chi}_{n,a} | X_n \rangle \langle X_n | \bar{\chi}_n | 0 \rangle$   
 $\langle 0 | \bar{\chi}_{\bar{n},a} | X_{\bar{n}} \rangle \langle X_{\bar{n}} | \bar{\chi}_{\bar{n}} | 0 \rangle$

all orders in  $\alpha_s$

+ ... ← "other" power corr.

res' : we must still measure enough things about  $X$  to ensure its a dijet state

Measure hemisphere masses  $M^2, \bar{M}^2$

$$1 = \int dM^2 d\bar{M}^2 \delta(M^2 - (P_n^+ + k_s^a)^2) \delta(\bar{M}^2 - (P_{\bar{n}} + k_s^b)^2)$$

↑ ↑ soft momenta  
n-collinear total mom. in hemisphere @.

expand  $\delta(M^2 - P_n^2 - P_n^- (k_s^a)^+ + \dots) = \delta(M^2 - Q(P_n^+ + k_s^a)^+)$  + ...

$\frac{d\sigma}{dM^2 d\bar{M}^2}$  has these  $\delta$ 's under  $\sum_x$

- factor measurements:

eg.  $\delta(M^2 - Q(P_n^+ + k_s^a)^+) = \int dk^+ dl^+ \delta(M^2 - Q(k^+ + l^+)) \underbrace{\delta(k^+ - P_n^+)}_{\text{with n-collinear matrix elt}} \underbrace{\delta(l^+ - k_s^a)^+}_{\text{with soft}}$

- factor  $\delta^4(Q - P_{X_n} - P_{X_{\bar{n}}} - P_S)$  too

- write  $\delta$ 's in Fourier space  $\delta(k^+ - P_n^+) = \int \frac{dx^-}{2} e^{ix^- k^+/2} \underbrace{e^{-ix^- P_n^+/2}}_{\text{shifts field to } X_{n,Q}(x^-)}$   
etc

After some work we get factorized result

$$\frac{d\sigma}{dM^2 d\bar{M}^2} = \sigma_0 |C(\theta)|^2 \int dk^+ dl^+ dk^- dl^- \delta(M^2 - Q(k^+ + l^+)) \delta(\bar{M}^2 - Q(k^- + l^-))$$

$$* \sum_{X_n} \frac{1}{2\pi} \int d^4x e^{ik^+ x^-/2} \text{tr} \langle 0 | \not{x} X_{n,Q}(x) | X_n \rangle \langle X_n | \not{x} X_n(0) | 0 \rangle_{4N_c}$$

$$* \sum_{X_{\bar{n}}} \frac{1}{2\pi} \int d^4y e^{ik^- y^+/2} \text{tr} \langle 0 | \not{y} X_{\bar{n},Q}(y) | X_{\bar{n}} \rangle \langle X_{\bar{n}} | \not{y} X_{\bar{n}}(0) | 0 \rangle_{4N_c}$$

$$* \sum_{X_S} \frac{1}{N_c} \delta(l^+ - k_s^a)^+ \delta(l^- - k_s^b)^- + \text{tr} \langle 0 | \not{Y}_{\bar{n}} Y_n | X_S \rangle \langle X_S | Y_n^+ \not{Y}_{\bar{n}}^+ | 0 \rangle$$

Matrix Elements

•  $\text{Shemi}(l^+, l^-)$  soft function

encodes both momentum scales  
 $l^\pm \sim \frac{m^2}{Q}$  and  $l^\pm \sim \Lambda_{QCD}$



•  $\sum_{X_n} \text{tr} \langle 0 | \frac{\not{x}}{4Nc} \chi_{n,0}(x) | X_n \rangle \langle X_n | \bar{\chi}_n(0) | 0 \rangle = Q \int \frac{d^4 r}{(2\pi)^3} e^{-i r \cdot x} J(Q r^+)$

$= \underbrace{\delta(x^+) \delta^2(x_\perp)}_{\text{due to collinear multi-pole expan}} \int d r^+ e^{-i r^+ x^- / 2} \underbrace{J(Q r^+)}_{\text{jet function}}$

• Same for  $\sum_{X_n} \text{tr} \dots$

•  $H(Q) \equiv |C(Q)|^2$   
hard function

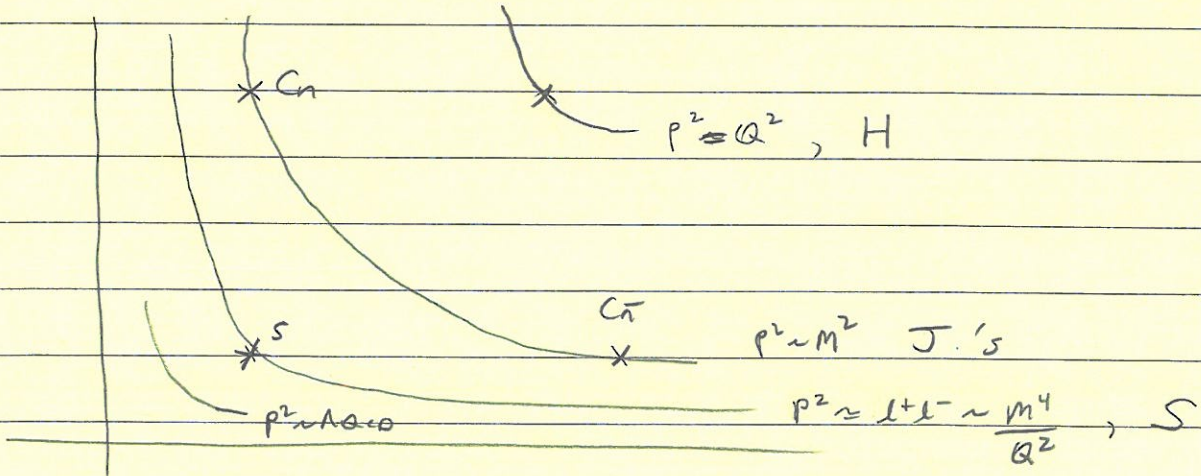
All Together

$\frac{d\sigma}{d m^+ d m^-} = \sigma_0 H(Q) \int d l^+ d l^- J(m^2 - Q l^+) J(\bar{m}^2 - Q l^-) S(l^+, l^-)$

using renormalized objects on RHS ( $c_i^{\text{bare}} O^{\text{bare}} = c(\mu) O(\mu)$ )  
 $= \sigma_0 H(Q, \mu) \int d l^+ d l^- J(m^2 - Q l^+, \mu) J(\bar{m}^2 - Q l^-, \mu) S(l^+, l^-, \mu)$

dijet factorization theorem for hemisphere masses

Note

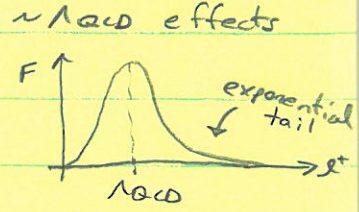


The functions H, J, S have ds expansions without large logs only if each is evaluated at different scale  $\mu$

Soft Function OPE

$$S_{\text{hem}}(l^+, l^-) = \int dl'^{\pm} S_{\text{hem}}^{\text{pert}}(l^+ - l'^+, l^- - l'^-) F(l'^+, l'^-)$$

↑  
power tail  
 $\frac{(\ln l^+/\mu)^k}{l^+}$



Thrust  $T = \frac{\max_{\hat{n}} \sum_i |\vec{p}_i \cdot \hat{n}|}{\sum_i |\vec{p}_i|}$   $\frac{1}{2} \leq T \leq 1$   
 $0 \leq \gamma \leq \frac{1}{2}$   
 $\gamma = 1 - T$

for dijets  $\gamma = \frac{M^2 + \bar{M}^2}{Q^2} \leftarrow \text{symmetric projection}$

$$\frac{d\sigma}{d\tau} = \sigma_0 H(Q, \mu) Q \int dl J_{\tau}(Q^2 \tau - Ql, \mu) S_{\tau}(l, \mu)$$

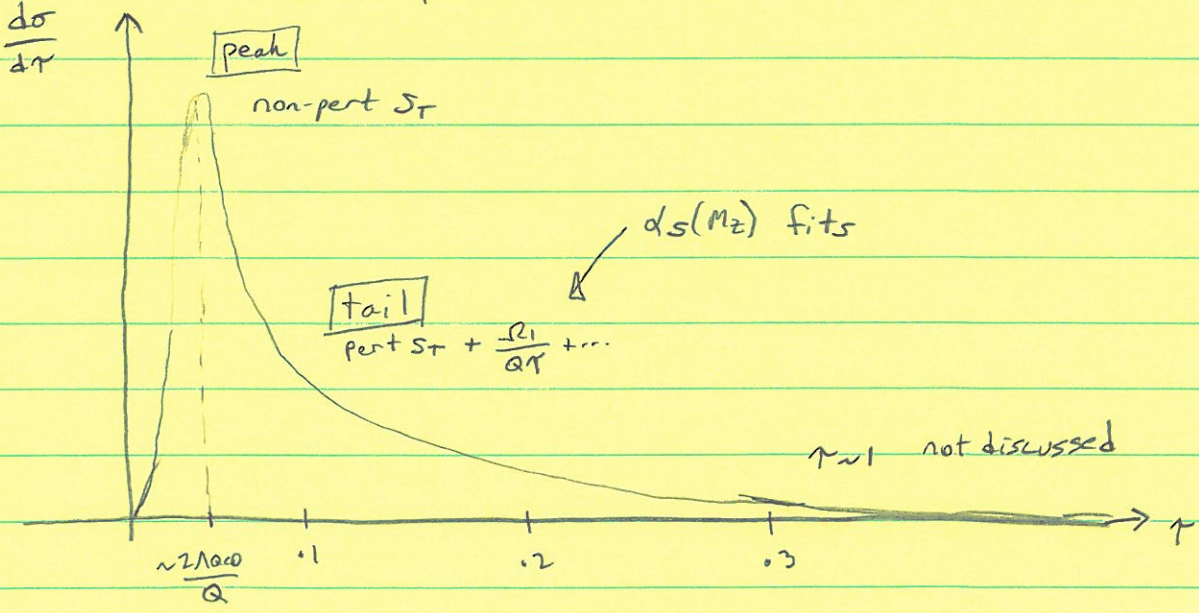
$p^2 \sim Q^2$       jet  $p^2 \sim Q^2 \tau$       soft  $p^2 \sim Q^2 \tau^2$

$$Q^2 \gg Q^2 \tau \gg Q^2 \tau^2$$

$$\mu_h^2 \gg \mu_J^2 \gg \mu_S^2 \sim \Lambda_{\text{QCD}}^2$$

schematically:  $\frac{d\sigma}{d\tau} \sim \sum_{n,m} \frac{\alpha_s^n \ln^m \tau}{\tau} + \text{non-perturbative effects in } F$

+ power corrections



Pert. Results

- match quark form factor



$$C(Q, \mu) = 1 + \frac{C_F d_S(\mu)}{4\pi} \left[ 3 \ln^2\left(-\frac{Q^2}{\mu^2}\right) - \ln\left(-\frac{Q^2}{\mu^2}\right) - 8 + \frac{\pi^2}{6} \right]$$

$$H = |C|^2$$

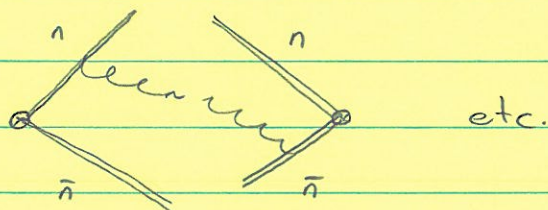
(Renormalized)

- Jet Function



$$J_n(s, \mu) = \delta(s) + \frac{d_S(\mu) C_F}{4\pi} \left[ \# \delta(s) + \# \left[ \frac{\mu^2 \theta(s)}{s} \right]_+ + \# \left[ \frac{\mu^2 \ln(\mu^2/s)}{s} \theta(s) \right]_+ \right]$$

- Pert. Soft Fn



$$S^{pert}(l^+, l^-) = \left\{ \delta(l^+) + \frac{d_S C_F}{4\pi} \left[ \# \delta(l^+) + \# \left[ \frac{\mu}{l^+} \theta(l^+) \right] + \# \left[ \frac{\mu}{l^+} \ln\left(\frac{l^+}{\mu}\right) \right] \right]_+ \right\} \times \left\{ \delta(l^-) + \frac{d_S C_F}{4\pi} \left[ \text{ditto } l^+ \rightarrow l^- \right] \right\}$$

C renormalizes multiplicatively

$$C^{bare} = Z_C C = C + (Z_C - 1) C$$

$$\mu^d/d\mu C(Q, \mu) = \gamma_C(Q, \mu) C(Q, \mu)$$

J, S renormalize like PDF, with convolutions

eg.  $J_n^{bare}(s) = \int ds' Z_J(s-s') J_n(s', \mu)$

$$\mu^d/d\mu J_n(s, \mu) = \int ds' \gamma_J(s-s') J_n(s', \mu)$$

↑ invariant mass evolution

Coefficient Renormalization = (Operator Renormalization)<sup>-1</sup> "consistency conditions"

$$|Z_c|^2 \delta(s) \delta(\bar{s}) = \int ds' d\bar{s}' Z_J^{-1}(s-s') Z_J^{-1}(\bar{s}-\bar{s}') Z_S^{-1}\left(\frac{s'}{Q}, \frac{\bar{s}'}{Q}\right)$$

**RGE**

$$\gamma_J(s, \mu) = -2 \Gamma^{\text{cusp}}[\alpha_s] \frac{1}{\mu^2} \left[ \frac{\mu^2 \mathcal{O}(s)}{s} \right]_+ + \gamma[\alpha_s] \delta(s)$$

all order structure

( $\gamma_S$  similar, two variables factorize)

Fourier Transform  $y = y - i0$

$$\gamma_f(y) = \int ds e^{-isy} \gamma_f(s)$$

$$J(y) = \int ds e^{-isy} J(s)$$

$$\mu \frac{d}{d\mu} J(y, \mu) = \gamma_J(y, \mu) J(y, \mu)$$

simple

$$\gamma_J(y, \mu) = 2 \Gamma^{\text{cusp}}[\alpha_s] \ln(iy \mu^2 e^{\gamma_E}) + \gamma[\alpha_s]$$

$$\left[ \frac{\ln^k(s/\mu)}{s} \right]_+ \leftrightarrow \ln^{k+1}(iy \mu^2 e^{\gamma_E})$$

$$d \ln \mu = \frac{d\alpha_s}{\beta[\alpha_s]}$$

$$\ln \mu/\mu_0 = \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} \frac{d\alpha_s}{\beta[\alpha_s]}$$

All order solution

$$\ln \left[ \frac{J(s, \mu)}{J(s, \mu_0)} \right] = w(\mu, \mu_0) \ln(iy \mu_0^2 e^{\gamma_E}) + K(\mu, \mu_0)$$

$$w = \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} d\alpha_s \frac{2 \Gamma^{\text{cusp}}[\alpha_s]}{\beta[\alpha_s]}$$

same structure for  $H, J, S$

$$K = \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} \frac{d\alpha_s}{\beta[\alpha_s]} \gamma[\alpha_s] + \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} \frac{d\alpha}{\beta[\alpha]} 2 \Gamma^{\text{cusp}}[\alpha] \int_{\alpha_s(\mu_0)}^{\alpha} \frac{d\alpha'}{\beta[\alpha']}$$

determine  $w, K$  order by order

$y \leftrightarrow \tau$ 

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$$\ln \frac{d\sigma}{dy} = \underbrace{(\ln y) (\alpha_s \ln)^k}_{LL} + \underbrace{(\alpha_s \ln)^k}_{NLL} + \alpha_s (\alpha_s \ln)^k + \dots$$

LL
NLL
NNLL

Momentum Space Answer with resummation

$$\frac{1}{\sigma_0} \frac{d\sigma}{d\tau} = H(Q, \mu_Q) U_H(Q, \mu_Q, \mu_J) J_T(Q^2 \tau - s') \otimes U_J(s' - Q^2, \mu_s, \mu_J) \otimes S_T^{\text{pert}}(Q - Q', \mu_s) \otimes F(Q')$$

where  $\mu_Q \sim Q$ ,  $\mu_J \sim Q\sqrt{\tau}$ ,  $\mu_s \sim Q\tau$ 

$$U_J(s, \mu, \mu_0) = \frac{e^k (e^{\gamma_E})^w}{\mu^2 \Gamma(-w)} \left[ \frac{(\mu_0^2)^{1+w} \alpha(s)}{s^{1+w}} \right]_+$$

$\uparrow$  boundary at  $\infty$   
rather than 1

Consistency says  $\gamma_J[\alpha_s] + \gamma_s[\alpha_s] = -\frac{1}{2} \gamma_H[\alpha_s]$

# Soft-Collinear Interactions (SCET<sub>II</sub>)

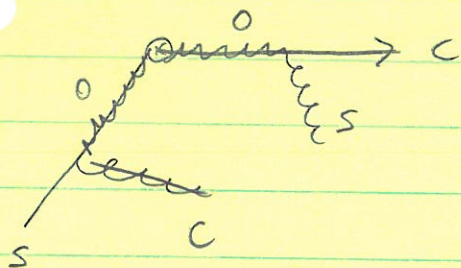
Recall  $g = g_s + g_c \sim Q(\lambda, 1, \lambda)$

$g^2 = Q^2 \lambda \gg (Q\lambda)^2$   
offshell w.r.t s, c

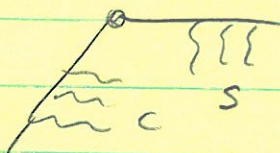
On-shell modes  $g^{\mu} \sim Q(\lambda, 1, \sqrt{\lambda})$  are hard-collinear compared to collinear  $g^{\mu} \sim Q(\lambda^2, 1, \lambda)$

Integrating out these fluctuations builds up a soft Wilson line  $S_n$  (analogous to  $Y(n \cdot A_{us})$  but with soft fields)

Toy eg. heavy-to-light soft-collin current  $\bar{\chi}_n \Gamma h_v$   
s = soft, c = collinear

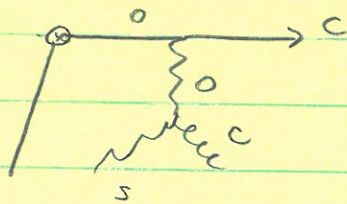
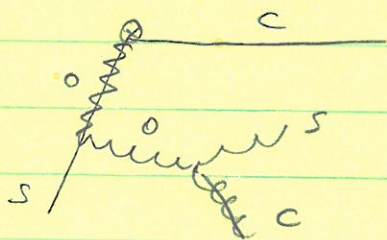


adding more gives



$\bar{\chi}_n S_n^+ \Gamma W h_v$   
 $S_n^+ [n \cdot A_{us}]$   
 $W [\bar{n} \cdot A_c]$

In QCD need 3-gluon, 4-gluon vertices too; these flip order of  $s^+ \nabla W$



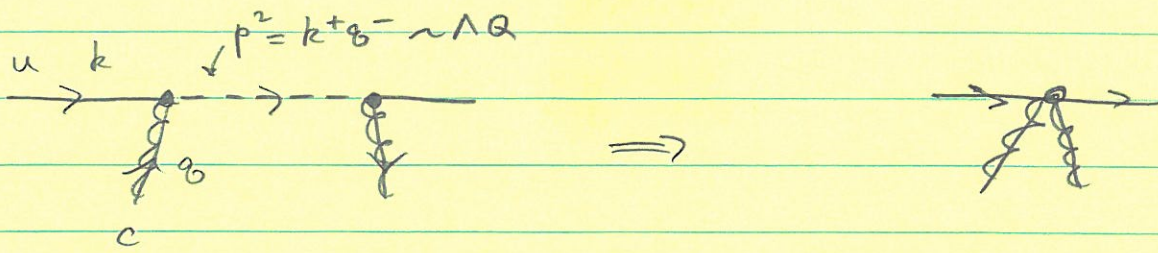
$(\bar{\chi}_n W) \Gamma (S_n^+ h_v)$   
collinear soft  
gauge gauge  
invariant invariant

[can be extended to all orders]

this is soft-collinear factorization



eg. two operators  $\overset{c}{\text{---}} \text{---} \overset{\text{Usoft}}{\text{---}}$



When we lower offshells of ext. collin fields the intermediate line still has  $p^2 \sim \Lambda Q$  and must really be integrated out

P.C.  $T^{\text{I}} \sim \lambda^{2k} \Rightarrow O^{\text{II}} \sim \eta^{k+E}$

where  $\lambda^2 = \eta = \frac{\Lambda}{Q}$

factor  $E > 0$  from changing the scalin of ext. fields

eg.  $\zeta_{\text{I}} \sim \lambda$   
 $\zeta_{\text{II}} \sim \eta = \lambda^2$

$\Rightarrow$  No mixed soft-collin  $\mathcal{L}$  at leading order  
 - after field redefn no mixed  $\mathcal{L}_{\text{I}}$  ops at LO

- mixed  $\mathcal{L}_{\text{I}}^{(1)}$  gives  $T\{\mathcal{L}_{\text{I}}^{(1)}, \mathcal{L}_{\text{I}}^{(1)}\} \sim \lambda^2$   
 matches onto  $O_{\text{II}} \sim \eta$  or higher

SCET<sub>I</sub>  $\lambda^{\delta}$

$$\delta = 4 + 4u + \sum_k (k-4) V_k^c + (k-8) V_k^u$$

$\uparrow$   $u=1$  no c., else  $u=0$ 
 $\uparrow$  rest
 $\uparrow$  pure usoft

$V_k^i = \#$  vertices that are  $\mathcal{O}(\lambda^k)$  and type- $i$



SCET<sub>I</sub>

$$\delta = 4 + \sum_k (k-4) (V_k^c + V_k^s + V_k^{sc}) + L^{sc}$$

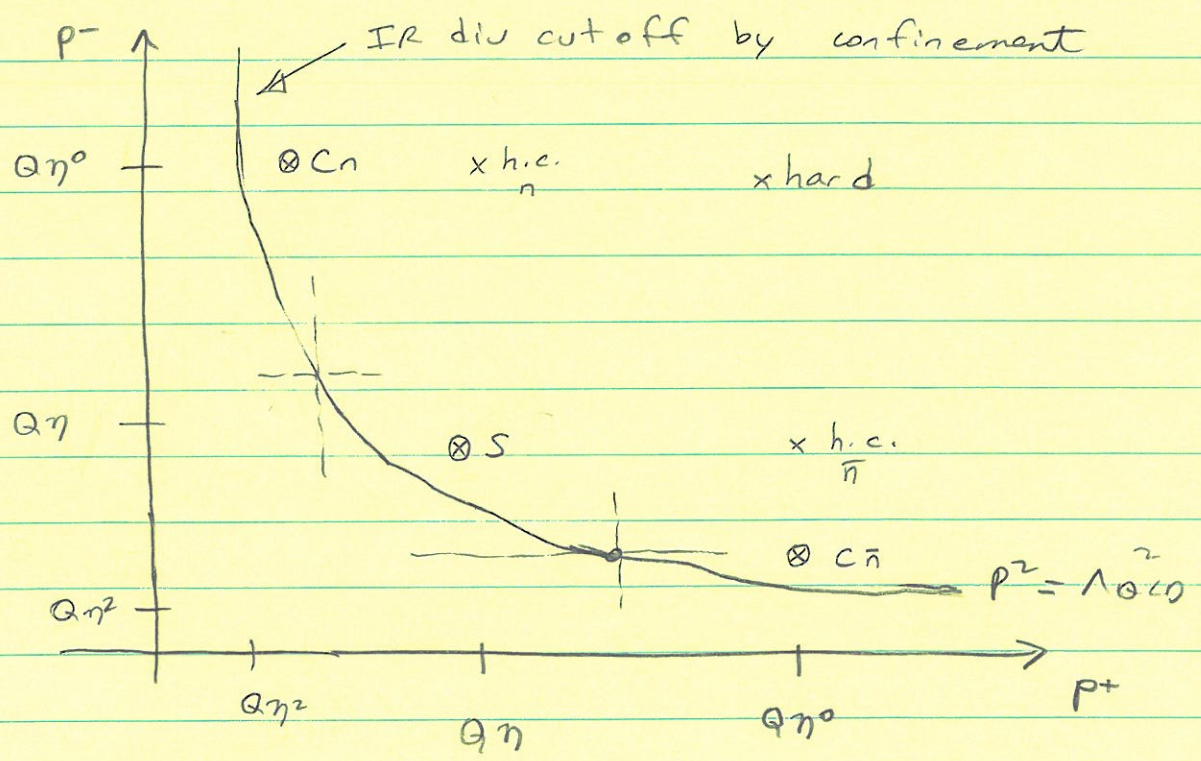
$\uparrow$  pure c       $\uparrow$  pure s       $\uparrow$  mixed       $\uparrow$  p ~ (n<sup>2</sup>, n, n) loops

$$\delta = 5 - N_c - N_s + \sum_k (k-4) (V_k^s + V_k^c) + (k-3) V_k^{sc}$$

$\uparrow$     $\uparrow$   
 # connected soft, collin components

[ in eq. SCET<sub>I</sub>     $\lambda^3 \lambda \frac{1}{\lambda^2} \lambda^3 \lambda \sim \lambda^{6-4} \sim \lambda^2$      $\Rightarrow$      $(\eta^{3/2} \eta)^2 \frac{1}{\eta} = \eta^{4-3} = \eta$  ]  
 or     $\lambda * \lambda \sim \lambda^2$

$$\mathcal{L}_{SCET^I} = \mathcal{L}_{soft}^{(0)} [B_s, A_s] + \mathcal{L}_{collin-n}^{(0)} [B_n, A_n] + \mathcal{L}_{collin-\bar{n}}^{(0)} [B_{\bar{n}}, A_{\bar{n}}]$$



Non-pert d.o.f in different sectors

B → ππ



Exclusive

eg.  $\gamma^* \gamma \rightarrow \pi^0$

hard-collin factorization

[Breit frame: soft modes have no active role so this does not really probe differences between SCET<sub>I</sub> & SCET<sub>II</sub>]

QCD has

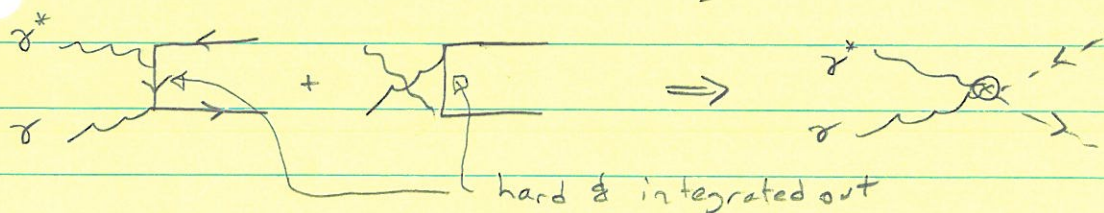
$$\begin{aligned} \langle \pi^0(p_\pi) | J_\mu(Q) | \gamma(p_\gamma, \epsilon) \rangle &= i e E^3 \int d^4z e^{-i p_\gamma \cdot z} \langle \pi^0(p_\pi) | T J_\mu(0) J_0(z) | 0 \rangle \\ &= -i e F_{\pi\gamma}(Q^2) \epsilon_{\mu\nu\alpha\beta} p_\pi^\nu \epsilon^\alpha z^\beta \end{aligned}$$

e.m. current  $J^\mu = \bar{\Psi} \hat{Q} \gamma^\mu \Psi$ ,  $\hat{Q} = \frac{\tau_3}{2} + \frac{1}{6} = \left( \frac{2}{3} \quad -\frac{1}{3} \right)$

For  $Q^2 \gg \Lambda^2$   $F_{\pi\gamma}$  simplifies (ala Brodsky-Lepage)

frame  $q^\mu = \frac{Q}{2} (n^\mu - \bar{n}^\mu)$ ,  $p_\gamma^\mu = E \bar{n}^\mu$

$p_\pi^\mu = p + p_\gamma = \frac{Q}{2} n^\mu + (E - \frac{Q}{2}) \bar{n}^\mu$



SCET Operator at Leading-order (for T-product) is

$$O = \frac{i \epsilon_{\mu\nu}^+}{Q} [\bar{\Psi}_{n,p} w] \Gamma C(\bar{p}, \bar{p}^+, \mu) [w^+ \Psi_{\bar{n}, p'}]$$

order  $\lambda^2$  ("twist-2")

- obeys current conservation
- dim analysis fixes  $\frac{1}{Q}$  pre-factor for C dimless
- Charge Conj:  $T \{J, J\}$  even so O even  
so  $C(\mu, \bar{p}, \bar{p}^+) = C(\mu, -\bar{p}^+, -\bar{p})$

• flavor & spin structure

$$\Gamma = \underbrace{\bar{\psi} \gamma_5}_{\text{for pion}} \underbrace{3\sqrt{2}}_{\text{2nd order e.m.}} \hat{Q}$$

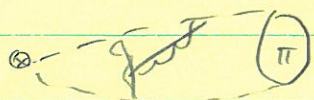
• color singlet, purely collinear (again) so soft gluons decouple

SCET II

equate  $\frac{Q^2}{2} F_{\pi\gamma} = \frac{i}{Q} \langle \pi^0 | (\bar{\psi} \omega) \Gamma C (\omega^+ \psi) | 0 \rangle$

write  $\bar{P}_\pm = \bar{P}^+ \pm \bar{P}$

now  $\bar{P}_-$  gives total mom of  $(\bar{\psi} \omega) \Gamma (\omega^+ \psi)$  operator ie momentum of pion



$$\bar{P}_- = \bar{n} \cdot P_\pi = Q$$

→ total mom

$$F_{\pi\gamma}(Q^2) = \frac{2i}{Q^2} \int d\omega C(\omega, \mu) \langle \pi^0 | (\bar{\psi} \omega) \Gamma \delta(\omega - \bar{P}_+) (\omega^+ \psi) | 0 \rangle$$

Non-perturbative Matrix Element

position space

$$\langle \pi^0(p) | \bar{\psi}_n(y) \frac{\bar{\psi} \gamma_5 \tau^3}{\sqrt{2}} \omega(y,x) \psi_n(x) | 0 \rangle$$

finite Wilson line (Perrenig  $\int_x^y ds \dots$ )

Fourier Transform of  $\bar{n} \cdot p$  label

$$= -i f_\pi \bar{n} \cdot p \int_0^1 dz e^{i \bar{n} \cdot p (zy + (1-z)x)} \phi_\pi(\mu, z)$$

$$\int_0^1 dz \phi_\pi(z) = 1$$

momentum space

$$\langle \pi^0(p) | (\bar{\psi}_{n,p} \omega) \frac{\bar{\psi} \gamma_5 \tau^3}{\sqrt{2}} \delta(\omega - \bar{P}_+) (\omega^+ \psi_{n,p}) | 0 \rangle$$

$$= -i f_\pi \bar{n} \cdot p \int_0^1 dz \delta(\omega - (2z-1)\bar{n} \cdot p) \phi_\pi(\mu, z)$$

Plug it into  $F_{\pi\gamma}(Q^2)$  and do integral over  $\omega$

$$F_{\pi\gamma}(Q^2) = \frac{2 f_{\pi}}{Q^2} \int_0^1 dz C((2z-1)Q, Q, \mu) \phi_{\pi}(z, \mu)$$

- $\phi_{\pi}$  is universal light-cone dist'n for pions
- $C$  is process dependent (all orders factorization in  $\alpha_s$ )
- one-dim convolution again

### Tree Level Matching

expand

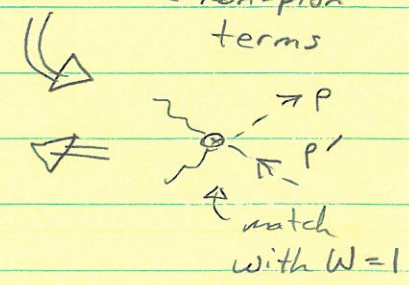
$$i \left( \overline{u} \overleftrightarrow{\not{D}}_P + \overline{u} \overleftrightarrow{\not{D}}_{P'} \right) = \frac{ie}{2} \epsilon_{\mu\nu\rho\sigma} \epsilon^{\nu} \bar{n}^{\rho} n^{\sigma} \left( \frac{\not{x}}{2} \gamma_5 \right) \hat{Q}^2$$

$$\times \left( \frac{1}{\bar{n} \cdot p} - \frac{1}{\bar{n} \cdot p'} \right) + \dots$$

↑ non-pion terms

$$\text{so } C = \frac{1}{6\sqrt{2}} \left( \frac{Q}{\bar{p}^+} - \frac{Q}{\bar{p}^-} \right)$$

$$C(w = (2x-1)Q) = \frac{1}{6\sqrt{2}} \left( \frac{1}{x} + \frac{1}{1-x} \right)$$



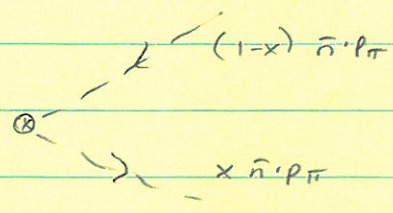
Charge conj +1 for  $|\pi^0\rangle$  gives  $\phi_{\pi}(x) = \phi_{\pi}(1-x)$

So only  $\int_0^1 dx \frac{\phi_{\pi}(x, \mu)}{x}$  appears in our prediction

↑ integrate over all  $x$ , much different than DIS  $\delta(1-z/x) \Rightarrow f_{1/p}(x, \mu)$

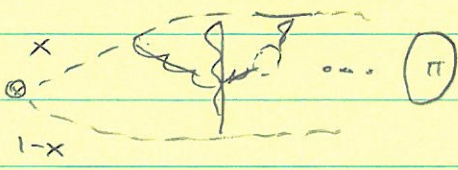
Interpretation:

Naively



mom fraction of quarks in pion

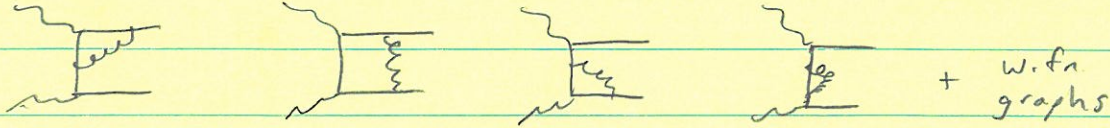
Really



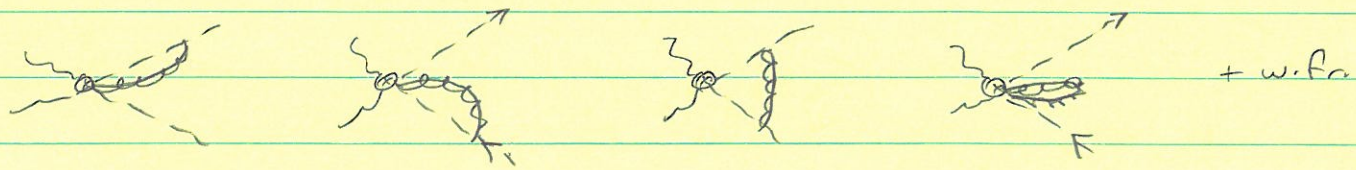
mom. fractions at point where quarks are produced. Hadronization process changes "x" carried by valence quarks which is encoded in  $\phi_\pi(x)$

Higher Order Matching

full



SCET



Difference will be IR finite, and gives C at one-loop

Another Exclusive Example

(hep-ph/0107002)

$B \rightarrow D \pi$

$m_b, m_c, E_\pi \gg \Lambda_{QCD}$   
 $\underbrace{\hspace{10em}}_Q$

QCD operators at  $\mu \approx m_b$

$H_W = \frac{4G_F}{\sqrt{2}} V_{ud}^* V_{cb} [C_0^F O_0 + C_8^F O_8]$

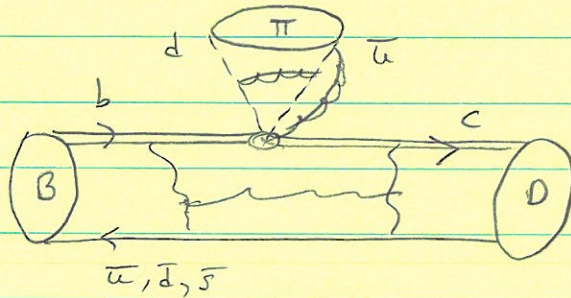
$\frac{P_L = 1 - \gamma_5}{2}$

Where  $O_0 = [\bar{c} \gamma^\mu P_L b] [\bar{d} \gamma_\mu P_L u]$

$O_8 = [\bar{c} \gamma^\mu P_L T^a b] [\bar{d} \gamma_\mu P_L T^a u]$

Want to Factorize  $\langle D \pi | O_{0,8} | B \rangle$

ie show at LO



no gluons btwn B, D and quarks in pion

expect  $B \rightarrow D$  form factor  $\int \delta\pi(x)$  distn for pion Issur-Wise

B, D soft  $p^2 \sim \Lambda^2$   
 $\pi$  collinear  $p^2 \sim \Lambda^2$  } SCET II

Use SCET I as intermediate step

1) Match at  $\mu^2 \approx Q^2$

$O_0 \} \Rightarrow \left\{ \begin{aligned} Q_0^{1,5} &= [\bar{h}^{(c)} \Gamma_h^{1,5} h^{(b)}] [(\bar{\chi}^{(d)} \not{n} w) \Gamma_e C_0(\bar{P}_+) W^{\dagger} \chi_0^{(u)}] \\ O_8^{1,5} &= [ \quad T^A \quad ] [ \quad \quad C_8(\bar{P}_+) T^A \quad ] \end{aligned} \right.$

$\uparrow$  soft SCET I

$\uparrow$  collinear  $p^2 \sim Q\Lambda$

$\Gamma_h^{1,5} = \frac{\not{n}}{2} \{1, \gamma_5\}$   
 $\Gamma_e = \frac{\not{n}}{4} (1 - \gamma_5)$

② Field redefinitions  $\xi_{n,p} = Y \xi_{n,p}^{(0)}, \dots$

in  $Q_0^{1,5}$  get  $\bar{\xi}_n^{(0)} W^{(0)} \cancel{Y^\dagger} \cancel{Y} W^{+(0)} \xi_n^{(0)}$   
 $Q_8^{1,5}$  get  $\bar{\xi}_n^{(0)} W^{(0)} Y^\dagger T^a Y W^{+(0)} \xi_n^{(0)}$

$Y T^a Y^\dagger = Y^{ba} T^b$        $Y^\dagger T^a Y = Y^{ab} T^b$

↑ adjoint Wilson line

$T^a \otimes Y^\dagger T^a Y = Y T^a Y^\dagger \otimes T^a$

↑ moves usoft Wilson lines next to h<sub>v</sub> fields

③ Match SCET<sub>I</sub> onto SCET<sub>II</sub> (trivial here again)

$Y \rightarrow S$

$\xi_n^{(0)} \rightarrow \xi_n$  in II etc.

$Q_0^{1,5} = [\bar{h}_v^{(c)} \Gamma_h h_v^{(b)}] [\bar{\xi}_n^{(d)} W \Gamma_a C_0(\bar{P}_+) W^\dagger \xi_{n,p}^{(u)}]$   
 $Q_8^{1,5} = [\bar{h}_v^{(c)} \Gamma_h S T^a S^\dagger h_v^{(b)}] [\xi_n^{(d)} W \Gamma_a C_0(\bar{P}_+) T^a W^\dagger \xi_{n,p}^{(d)}]$

④ Take Matrix Elements

$\langle \pi_n^- | \bar{\xi}_n W \Gamma C_0(\bar{P}_+) W^\dagger \xi_n | 0 \rangle = \frac{i}{2} f_\pi E_\pi \int_0^1 dx C(2E_\pi(2x-1)) \phi_\pi(x)$   
 $\langle D_{v'} | \bar{h}_{v'} \Gamma h_v | B \rangle = N' \xi(\omega_0, \mu)$   
 ↑  $\omega_0 = v \cdot v'$

B, D purely soft → no contractions with collinear fields  
 π " collinear → no " " soft fields  
 which is why it factors into two matrix elements

Ex. Q8:

$\langle D_{v'} | \bar{h}_{v'} \underbrace{Y T^a Y^\dagger}_{\text{color octet operator}} h_v | B_{v'} \rangle = 0$   
 color octet operator between color singlet states

Find

## Factorization Formula

$$\langle \pi D | H_w | B \rangle = i N \underbrace{\xi(\omega_0, \mu)}_{\text{pre factors}} \int_0^1 dx C(2E_\pi(2x-1), \mu) \phi_\pi(x, \mu) + O(1/Q)$$

- $\xi(\omega_0, \mu)$  is Isgur-Wise function at max. recoil  
 $\omega_0 = \frac{m_B^2 - m_D^2}{2m_B}$  (measured in  $B \rightarrow \rho e$  recoil)

- This applies to type-I (I & II) decays

$$\bar{B}^0 \rightarrow D^+ \pi^- \quad \bar{B}^0 \rightarrow D^{*+} \pi^- \quad , \quad \bar{B}^0 \rightarrow D^+ e^- \quad , \quad \dots$$

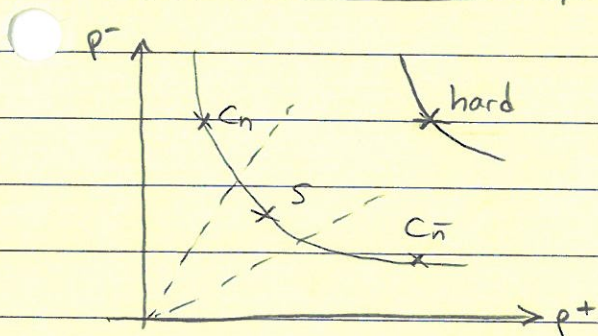
$$B^- \rightarrow D^0 \pi^- \quad B^- \rightarrow D^{*0} \pi^- \quad B^- \rightarrow D^0 e^- \quad , \quad \dots$$

predicts type-II decays are suppressed by  $1/Q$

$$\bar{B}^0 \rightarrow D^0 \pi^0 \quad , \quad \dots \quad (\text{we could derive fact. thm. for these too})$$



# SCET<sub>II</sub> & Rapidity Divergences



In SCET<sub>I</sub> we had to worry about double counting:  $C_n = C_n - C_0$ ,  $C_0$  is zero bin

So far in SCET<sub>II</sub> we have not had to because the overlaps did not generate log divergences

In general SCET<sub>II</sub> also has 0-bin's:  $C_n - C_{nS}$   
 $k^\mu \sim (\lambda^2, 1, \lambda)$   $\leftarrow$  take  $k^\mu \sim (\lambda, \lambda, \lambda)$  in collinear integrand & expand

But unlike SCET<sub>I</sub> there is another issue. The variable that distinguishes modes is a rapidity  $y$ ,

$$e^{2y} = \frac{p^-}{p^+}$$

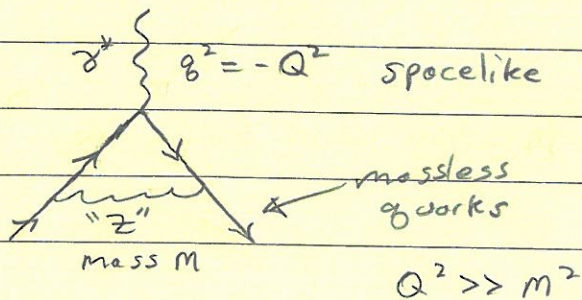
$$e^{2y} \sim \lambda^{-2}, \lambda^0, \lambda^2$$

$C_n \quad S \quad C_{\bar{n}}$

Since all modes live on the same mass hyperbola  $p^2$ , divergences that occur when separating modes can not be regulated by dim. reg! (which is a Lorentz Inv. regulator,  $P_E^{-2\epsilon}$ , which distinguishes hyperbola's)

Lets explore this with a simple example.

## Massive Sudakov Form Factor



$$J^\mu = \bar{\Psi} \gamma^\mu \Psi$$

$$\langle q(P) | J^\mu | q(P) \rangle = F(Q^2, m^2) \bar{u}(\bar{P}) \gamma^\mu u(P)$$

$\lambda = \frac{m}{Q}$	$Z$ could be	$C_n$	$Q(\lambda^2, 1, \lambda)$	$\leftarrow q(P)$
		$C_{\bar{n}}$	$Q(1, \lambda^2, \lambda)$	$\leftarrow q(\bar{P})$
		$S$	$Q(\lambda, \lambda, \lambda)$	

$$p^\mu = p^- \frac{n^\mu}{2}, \quad \bar{p}^\mu = \bar{p}^+ \frac{\bar{n}^\mu}{2}$$

$$Q^2 = -(\bar{p}-p)^2 = p^- \bar{p}^+ = Q \cdot Q$$

↑  
frame choice

Factorize  $J^\mu = (\bar{\xi}_n W_n) S_n^+ S_n \gamma^\mu (W_n^+ \xi_n)$

$$F(Q^2, m^2) = \mathcal{H} C_{\bar{n}} S C_n$$

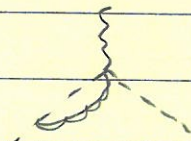
Consider (Scalar) Loop Integral

$$I_{full} = \int d^d k \frac{1}{(k^2 - m^2)(k^2 + k^+ p^-)(k^2 + k^- \bar{p}^+)}$$

← terms with most logs have no  $k$ 's in numerator

UV & IR finite

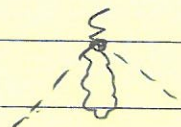
$$I_{C_n} = \int d^d k \frac{1}{(k^2 - m^2)(k^2 + k^+ p^-)(k^-) \bar{p}^+} \frac{W^2 \bar{v}^2}{|k^-|^2}$$



$$I_{C_{\bar{n}}} = \int d^d k \frac{1}{(k^2 - m^2)(k^+)(p^-)(k^2 + k^- \bar{p}^+)} \frac{W^2 v^2}{|k^+|^2}$$



$$I_S = \int d^d k \frac{1}{(k^2 - m^2)(k^+)(k^-)(\bar{p}^+ p^-)} \frac{W^2 \bar{v}^2}{|2P_z|^2}$$



do  $d^n k_\perp$  in  $I_S \propto \int dk^+ dk^- \frac{(k^+ k^- - m^2)^{-2\epsilon}}{(k^+)(k^-)} \frac{1}{Q^2}$

diverges as  $\frac{k^-}{k^+} \rightarrow 0$  (towards  $C_{\bar{n}}$ )  
 $\rightarrow \infty$  (towards  $C_n$ )

Need another regulator. One dim-reg like choice is to regulate Wilson lines

$$S_n = \sum_{\text{perms}} \exp \left[ \frac{-g}{n \cdot p} \frac{W \bar{v}^{n/2}}{|2P_z|^{n/2}} n \cdot A_S \right]$$

add red factors above

$P_z = P_- - P_+$  because it does not involve  $p^0$ .

(Regulators with  $p^0$  can mess up unitarity/causality)

For collinear  $W_n$ ,  $|2P_z| = |P^-|$  up to power corrections

use 
$$W_n = \sum_{\text{perms}} \exp \left[ \frac{-g}{\bar{n} \cdot p} \frac{W^2 \bar{v}^2}{|\bar{n} \cdot p|^2} \bar{n} \cdot A_n \right]$$

$$w^{bare} = w(\eta, \nu) \nu^{\eta}, \quad \nu \frac{d}{d\nu} w(\eta, \nu) = -\frac{\eta}{2} w(\eta, \nu)$$

$$w(0, \nu) \equiv 1$$

$\gamma_n$  like  $\gamma_E$   $w(\eta, \nu)$  is dummy coupling to facilitate RGE in  $\nu$   
 $\ln \nu$  like  $\ln \mu$

Note: •  $\gamma_n$  &  $\eta^o$  terms are gauge invariant. eg. At one-loop replacing  $g^{\mu\nu} \rightarrow (g^{\mu\nu} + \frac{2}{\epsilon} \frac{k^\mu k^\nu}{k^2})$ , the  $k^\mu k^\nu$  term has no rapidity divergences.

- For any fixed inv. mass we have  $\gamma_n$  divergences. Proper renormalization procedure is  $\eta \rightarrow 0$ , add  $\frac{f(\epsilon)}{\eta}$  counterterm, then  $\epsilon \rightarrow 0$ , find  $\frac{1}{\epsilon}$  c.t.'s

For fermion case, including prefactors

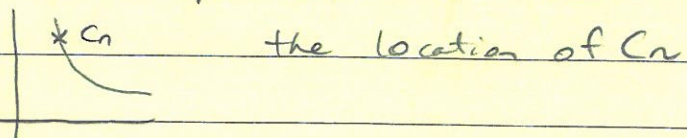
$$I_{Cn} = \frac{dS_F}{\pi} \left[ \frac{e^{\epsilon\gamma_E} \Gamma(\epsilon) \left(\frac{\mu}{m}\right)^{2\epsilon}}{2\eta} + \ln\left(\frac{\nu}{p^-}\right) \ln\left(\frac{\mu}{m}\right) + \frac{1}{2\epsilon} \ln\left(\frac{\nu}{p^-}\right) + \frac{1}{2\epsilon} + \ln\left(\frac{\mu}{m}\right) + \text{constant} \right]$$

$$I_{C\bar{n}} = \text{same } p^- \rightarrow \bar{p}^+$$

$$I_S = \frac{dS_F}{\pi} \left[ -\frac{e^{\epsilon\gamma_E} \Gamma(\epsilon) \left(\frac{\mu}{m}\right)^{2\epsilon}}{\eta} - 2 \ln\left(\frac{\nu}{m}\right) \ln\left(\frac{\mu}{m}\right) + \frac{1}{\epsilon} \ln\left(\frac{\mu}{\nu}\right) + \frac{1}{2\epsilon^2} + \frac{\ln^2 \mu}{m} + \text{constant} \right]$$

$$I_{Cn} + I_{C\bar{n}} + I_S = \frac{dS_F}{\pi} \left[ \frac{1}{2\epsilon^2} + \frac{1}{\epsilon} \ln \frac{\mu}{Q} + \frac{1}{\epsilon} + \frac{\ln^2 \mu}{m} + 2 \frac{\ln \mu}{m} \ln \frac{M}{Q} + 2 \frac{\ln \mu}{m} + \text{const.} \right]$$

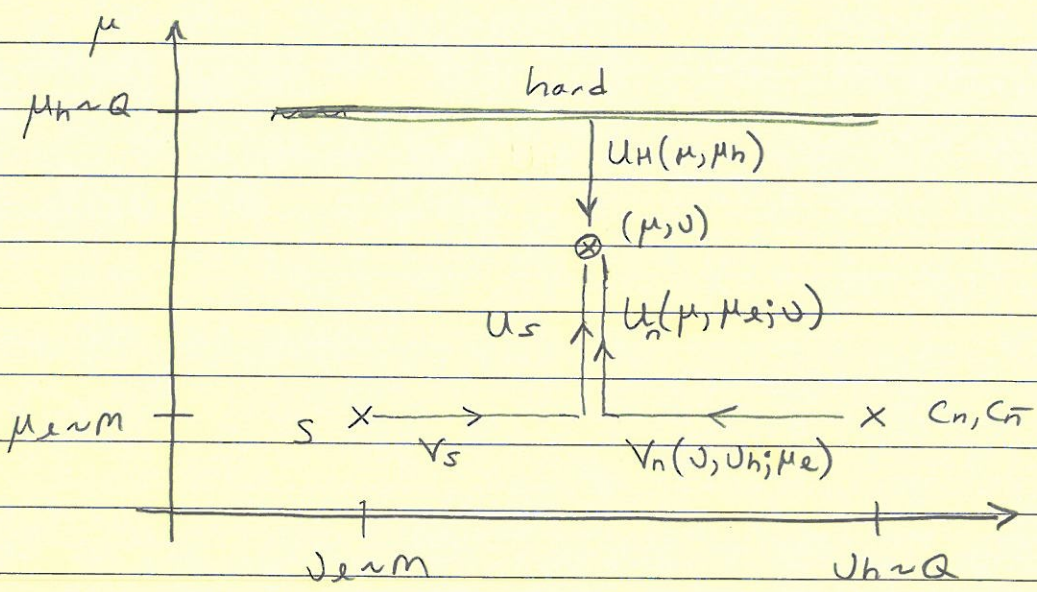
- rapidity divergence cancel between sectors (as expected)
- overall counterterm has only  $\ln M/Q$ , hard scale, same for hard match  $\mathcal{H}(\mu, Q)$
- logs in  $I_{Cn}$  minimized for  $\mu \sim M, \nu \sim p^- = Q$  which is precisely  $\mu = Q$  the location of  $C_n$



- likewise need  $\mu \sim M, \nu \sim \bar{p}^+ = Q$  for  $C_n$
- need  $\mu \sim \nu \sim M$  for  $S$

$F(Q^2, M^2) = \mathcal{H}(Q^2, \mu) C_n(M, \mu, \nu/Q) C_{\bar{n}}(M, \mu, \nu/Q) S(M, \mu, \nu/\mu)$   
 renormalized fact. thm. with 2-cutoffs  $\mu$  &  $\nu$

- Will have a  $\mu$ -RGE and  $\nu$ -RGE to sum logs



choice of  $(\mu, \nu)$  arbitrary (just freedom to run coeffs or operators)  
 eg. pick  $(\mu, \nu) = (\mu_e, \nu_h)$  then just evolution kernels  
 $U_h(\mu_e, \mu_h) V_S(\nu_h, \nu_e; \mu_e)$

- Path Independence.  $\mu$  &  $\nu$  parameters are independent

$$\mu \frac{d}{d\mu} \nu \frac{\partial}{\partial \nu} = \nu \frac{\partial}{\partial \nu} \mu \frac{d}{d\mu}$$

- Counter terms
- ≠

$$C_n(M, \mu, \nu/Q) = Z_{q_n}^{-1/2} Z_n^{-1} C_n^{bare}$$

$$S(M, \mu, \nu/\mu) = Z_S^{-1} S^{bare}$$

$$Z_{q_n} = 1 + \frac{d_S C_F}{4\pi E}$$

Anom. Dims.

$$Z_S = 1 - \frac{d_S(\epsilon) \omega^2}{\pi} \left[ \frac{e^{\epsilon \gamma_\epsilon} \Gamma(\epsilon) (\mu/m)^{2\epsilon}}{\eta} - \frac{1}{2\epsilon^2} - \frac{1}{\epsilon} \ln \frac{\mu}{J} \right]$$

$$Z_n = 1 + \frac{d_S(\epsilon) \omega^2}{\pi} \left[ \frac{e^{\epsilon \gamma_\epsilon} \Gamma(\epsilon) (\mu/m)^{2\epsilon}}{2\eta} + \frac{3}{8\epsilon} + \frac{1}{2\epsilon} \ln \frac{J}{\mu} \right]$$

$\mu$ -Anom. Dim

$$\gamma_\mu^S = -Z_S^{-1} \mu \frac{d}{d\mu} Z_S = \frac{d_S(\mu) \epsilon}{\pi} 2 \ln \frac{\mu}{J} \quad \mu \frac{d}{d\mu} S = \gamma_\mu^S S \text{ etc.}$$

$$\gamma_\mu^n = -Z_n^{-1} \mu \frac{d}{d\mu} Z_n = \frac{d_S(\mu) \epsilon}{\pi} \left[ \ln \frac{J}{\mu} + \frac{3}{4} \right]$$

$$\gamma_\mu^{\bar{n}} = \frac{d_S(\mu) \epsilon}{\pi} \left[ \ln \frac{J}{\mu} + \frac{3}{4} \right]$$

gives  $U_S, U_n$  kernels

$$\text{consistency} \quad \gamma_\mu^S + \gamma_\mu^n + \gamma_\mu^{\bar{n}} = -\gamma_H = \frac{d_S(\mu) \epsilon}{\pi} \left( 2 \ln \frac{\mu}{J} + \frac{3}{2} \right)$$

$J$  Anom-Dim

$$\gamma_J^S = -Z_S^{-1} J \frac{d}{dJ} Z_S = -\frac{d_S(\mu) \epsilon}{\pi} 2 \ln \frac{\mu}{m}$$

$$\gamma_J^n = -Z_n^{-1} J \frac{d}{dJ} Z_n = \frac{d_S(\mu) \epsilon}{\pi} \ln \frac{\mu}{m} = \gamma_J^{\bar{n}}$$

$$J \frac{d}{dJ} S = \gamma_J^S S \text{ etc.} \quad \text{gives } V_S, V_n \text{ kernels}$$

$$\text{Path Independence:} \quad Z^{-1} \left[ \mu \frac{d}{d\mu}, J \frac{d}{dJ} \right] Z = 0$$

$$\text{so} \quad \mu \frac{d}{d\mu} \gamma_J^S = J \frac{d}{dJ} \gamma_\mu^S \quad \checkmark$$

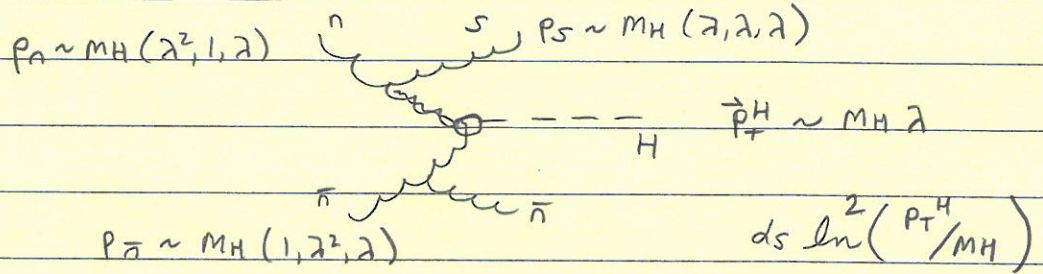
$$\mu \frac{d}{d\mu} \gamma_J^n = J \frac{d}{dJ} \gamma_\mu^{\bar{n}} \quad \checkmark$$

$$\text{eg.} \quad U_S(\mu, \mu_S; U_S) = \exp \left[ -\frac{8\pi C_F}{\beta_0^2} \left( \frac{1}{d_S(\mu)} - \frac{1}{d_S(\mu_S)} - \frac{1}{d_S(U_S)} \ln \frac{d_S(\mu)}{d_S(\mu_S)} \right) \right]$$

$$V_S(J, U_S; \mu) = \exp \left[ \frac{2C_F}{\beta_0} \ln \left( \frac{d_S(\mu)}{d_S(m)} \right) \ln \left( \frac{J^2}{U_S^2} \right) \right]$$

SCET<sub>II</sub> examples with rapidity RGE

gg → Higgs p<sub>T</sub> distribution



Since we only measure  $p_T^H \sim \lambda$  we can have soft radiation

Factorize cross-section ( $|Amp|^2$ )

$$J_{full} = h G^{\mu\nu} G_{\mu\nu}$$

$h =$  Higgs field

$$\langle J_{full}(x) J_{full}(0) \rangle = H(M_H) \langle p_n | \mathcal{B}_{n\perp} \mathcal{B}_{n\perp} | p_n \rangle \leftarrow \text{gluon pdfs}$$

$$\langle p_{\bar{n}} | \mathcal{B}_{\bar{n}\perp} \mathcal{B}_{\bar{n}\perp} | p_{\bar{n}} \rangle$$

$$\langle 0 | S_n S_{\bar{n}} S_n^\dagger S_{\bar{n}}^\dagger | 0 \rangle$$

↑ adjoint rep for soft Wilson lines

$$\frac{d\sigma}{d p_T^2 dy} = N_0 H(M_H, \mu) \int d^2 p_{1\perp} d^2 p_{2\perp} d^2 p_{s\perp} \delta(p_T^2 - |\vec{p}_{1\perp} + \vec{p}_{2\perp} + \vec{p}_{s\perp}|^2)$$

$$\times f_{g/p}^{\mu\nu} \left( \frac{M_H}{E_{cm}} e^{-y}, \vec{p}_{1\perp}, \mu, \frac{y}{M_H e^{-y}} \right)$$

$$\times f_{g/p}^{\mu\nu} \left( \frac{M_H}{E_{cm}} e^y, \vec{p}_{2\perp}, \frac{y}{M_H e^y} \right) S(\vec{p}_{s\perp}^2, \mu, \frac{y}{\mu})$$

↑ p<sub>T</sub> dependant soft fac.

↑ transverse momentum dependent PDF

which had rapidity divergences (prior to  $\gamma_n$  renormalization)

Jet Broadening $e^+e^- \xrightarrow{Q^2}$  dijetshere only measure  $\vec{P}_\perp$  (relative to thrust axis)

$$\text{Broadening} = B = \sum_i \frac{|\vec{P}_{i\perp}|}{Q} = B_L + B_R = \sum_{i \in L} (\ ) + \sum_{i \in R} (\ )$$

Again we only measure  $\perp$ -momenta,  $P_\perp \sim \lambda$ ,  $B \sim \lambda$ so have SCET<sub>II</sub> :  $C_n, C_{\bar{n}}, J$ 

$$\frac{1}{\sigma_0} \frac{d\sigma}{dB_L dB_R} = H(Q^2, \mu) \int d\epsilon_n d\epsilon_{\bar{n}} d\epsilon_s^L d\epsilon_s^R \int d^2\vec{k}_{1\perp} d^2\vec{k}_{2\perp}$$

$$\delta(B_R - \epsilon_n - \epsilon_s^R) \delta(B_L - \epsilon_{\bar{n}} - \epsilon_s^L)$$

$$J_n(Q, \epsilon_n, \vec{k}_{1\perp}, \mu, \frac{\nu}{Q}) J_{\bar{n}}(Q, \epsilon_{\bar{n}}, \vec{k}_{2\perp}, \mu, \frac{\nu}{Q})$$

$$* S(\epsilon_s^R, \epsilon_s^L, \vec{k}_{1\perp}, \vec{k}_{2\perp}, \mu, \frac{\nu}{\mu})$$

~~3/6~~

Another inclusive example:  $B \rightarrow X_s \gamma$  [ case where  $u_{soft}$  modes matter ]

Here we will need both  $u_{soft}$  & collinear d.o.f. in SCET<sub>I</sub>

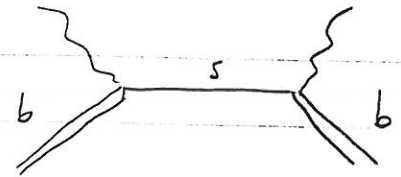
$$H_{eff} = \frac{-4G_F}{\sqrt{2}} V_{cb} V_{cs}^* C_7 \mathcal{O}_7, \quad \mathcal{O}_7 = \frac{e}{16\pi^2} m_b \bar{s} \sigma^{\mu\nu} F_{\mu\nu} P_R b$$

photon  $g^\mu = E_\gamma \bar{n}^\mu$

$$\frac{1}{\Gamma_0} \frac{d\Gamma}{dE_\gamma} = \frac{4E_\gamma}{m_b^3} \left( \frac{-1}{\pi} \right) \text{Im } T$$

$$T = \frac{i}{m_B} \int d^4x e^{-i g \cdot x} \langle \bar{B} | T J_\mu^+(x) J^\mu(0) | \bar{B} \rangle$$

$$J^\mu = \bar{s} i \sigma^{\mu\nu} g_\nu P_R b$$



looks like DIS

Consider endpoint region

$$m_B/2 - E_\gamma \lesssim \Lambda_{QCD}$$

$$p_x^2 \approx m_B \Lambda$$



B rest frame  $p_B = \frac{m_B}{2} (n^\mu + \bar{n}^\mu) = p_x + g$

$$p_x = \frac{m_B}{2} n^\mu + \frac{\bar{n}^\mu}{2} (m_B - 2E_\gamma)$$

collinear

so quarks and gluons in X are collinear with  $p_c^2 \sim m_B \Lambda$

B has u<sub>soft</sub> light d.o.f.



~~1/2~~

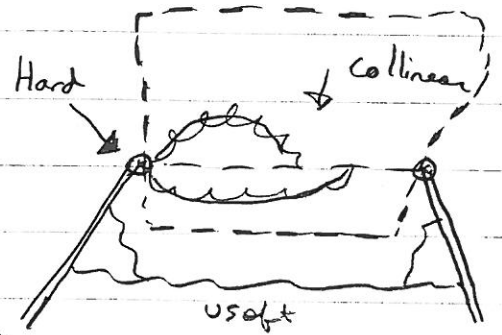
$$J_\mu = -E_\gamma e^{i(\bar{P}\frac{n}{2} - m_b v) \cdot x} \bar{\psi} W \gamma_\mu^\perp P_L h_v C(\bar{P}^+, \mu)$$

$\uparrow$  our heavy-to-light current from earlier  
 $\equiv J_{\text{eff}}^\mu$

The coefficient  $C(\bar{P}^+)$  has  $\bar{P}^+ = M_b$  since this is total momentum of  $s$ -quark jet in  $\bar{n} \cdot P_x$

Factor with Field redefn

$$J_{\text{eff}}^\mu = \bar{\psi}^{(0)} W^{(0)} \gamma_\mu^\perp P_L \psi^{(0)}$$



$$T_{\text{eff}} = i \int d^4x e^{i(m_b \frac{\bar{n}}{2} - \not{v}) \cdot x} \langle \bar{B} | T J_{\text{eff}}^{+\mu}(x) J_{\text{eff}, \mu}^{(0)} | \bar{B} \rangle$$

factored

$$= i \int d^4x e^{iC} \langle \bar{B} | T (\bar{h}_v \psi)(x) (\psi h_v)(0) | \bar{B} \rangle$$

$$\times \langle 0 | T (W^{(0)} \psi^{(0)})(x) (\bar{\psi}^{(0)} W)(0) | 0 \rangle$$

$\curvearrowright$  spin & color indices & structures  $\gamma_\mu^\perp P_L$  suppressed

$$= \frac{1}{2} \int d^4x \int d^4k e^{i(m_b \frac{\bar{n}}{2} - \not{v} - k) \cdot x} \langle \bar{B} | T (\bar{h}_v \psi)(x) (\psi h_v)(0) | \bar{B} \rangle$$

$$\times J_P(k)$$

$$\langle 0 | T (W^{(0)} \psi^{(0)})(x) (\bar{\psi}^{(0)} W)(0) | 0 \rangle = \frac{i}{P^-} \int d^4k e^{-ik \cdot x} J_P(k) \frac{\not{x}}{2}$$

$\uparrow$  minus labels  
 $\uparrow$

in  $T_{\text{eff}}$  we then

$$\text{get } \rightarrow S(x^+) S^2(x_\perp) \rightarrow$$

only depends on  $k^+$ !  
so do  $k^-, k^\perp$  integrals

$$S(x^+) = \frac{1}{2} \int \frac{dx^-}{4\pi} e^{-i/2 x^+ x^-} \langle \bar{B} | T [\bar{h}_v \psi)(\frac{x^-}{2}) (\psi h_v)(0) | \bar{B} \rangle$$

$\uparrow$   
 $\psi(\frac{x^-}{2}, 0)$

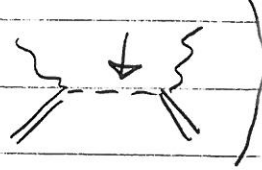
$$= \frac{1}{2} \langle \bar{B}_v | \bar{h}_v S(i n \cdot \not{D} - k^+) h_v | \bar{B}_v \rangle$$

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imaginary part is in jet function

$$\text{let } J(k^+) = -\frac{1}{\pi} \text{Im } J_p(k^+)$$

( tree level  $J(k^+) = \delta(k^+)$  from



All order's factorization

$$\frac{1}{P_0} \frac{dP}{dE_\gamma} = N C(m_b, \mu) \int_{2E_\gamma - m_b}^{\Lambda} dl^+ S(l^+) J(l^+ + m_b - 2E_\gamma)$$

$\uparrow$   $\uparrow$   $\uparrow$   
 $P^2 \sim m_b^2$   $P^2 \sim \Lambda^2$   $P^2 \sim m_b \Lambda$

$\uparrow$   
 shape function  
 is seen in the  
 data

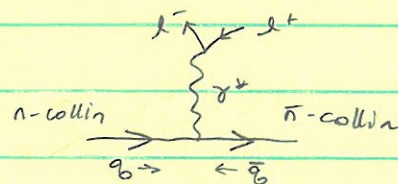
Final Example: Drell-Yan  $pp \rightarrow X e^+ e^-$

- prototype LHC process (pp in, measure leptons, ~~also~~ replace  $e^+ e^-$  by jets, ..., etc)

Kinematics

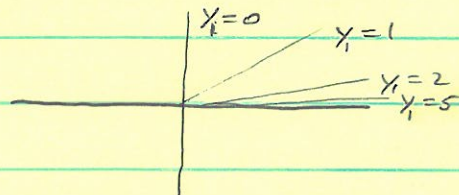
$pp \rightarrow X (e^+ e^-)$  CM frame  
 $P_A + P_B = P_X + q$

$E_{cm}^2 = (P_A + P_B)^2$  collision energy  
 $q^2$  hard scale of partonic collision  
 $\tau \equiv q^2 / E_{cm}^2 \leq 1$



$Y = \frac{1}{2} \ln \left( \frac{P_b \cdot q}{P_a \cdot q} \right)$  total lepton rapidity (angular variable)

$X_a \equiv \sqrt{\tau} e^Y$   
 $X_b \equiv \sqrt{\tau} e^{-Y}$  } analogous to Bjorken Var in DIS



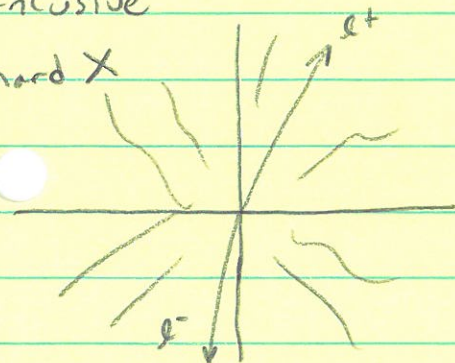
$\tau \leq X_{a,b} \leq 1$

$P_X^2 \leq E_{cm}^2 (1 - \sqrt{\tau})^2$

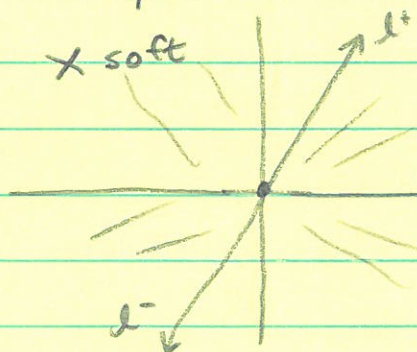
parton fractions  $X_a \leq \xi_a \leq 1$   
 $(\xi_a = X_a \text{ tree level})$   $X_b \leq \xi_b \leq 1$

Cases:	Inclusive	$\tau \sim 1$	$P_X^2 \sim q^2 \sim E_{cm}^2$	$X_{a,b} \sim 1$	$\xi_{a,b} \sim 1$
	Endpoint	$\tau \rightarrow 1$	$P_X^2 \ll q^2 \rightarrow E_{cm}^2$	$X_{a,b} \rightarrow 1$	$\xi_{a,b} \rightarrow 1$
			$\uparrow$ usoft		
	(Small X)	$\tau \rightarrow 0$	take $\xi_a, \xi_b \rightarrow 0$		
	"Isolated"	$\tau \sim 1$	$P_X^2 \rightarrow$ two ISR jets	$X_{a,b} \sim \xi_{a,b} \sim 1$	

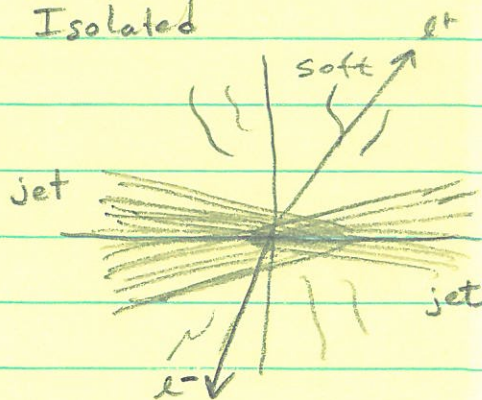
Inclusive  
hard X



Endpoint  
X soft



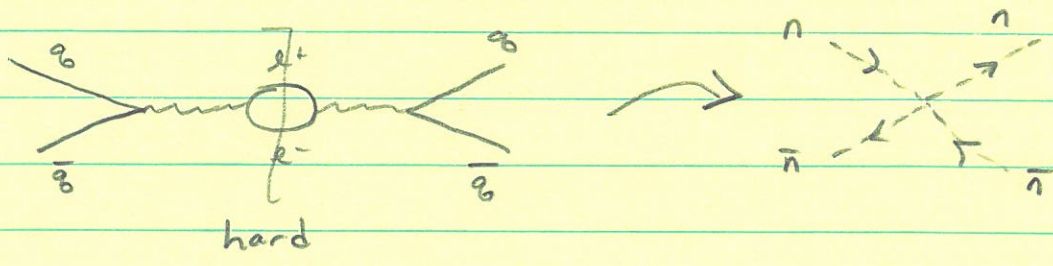
Isolated



Inclusive

$$p_n p_{\bar{n}} \rightarrow X_{\text{hard}}(l^+ l^-)$$

Factorization: SCET<sub>I</sub> problem (hard-collinear Factorization)

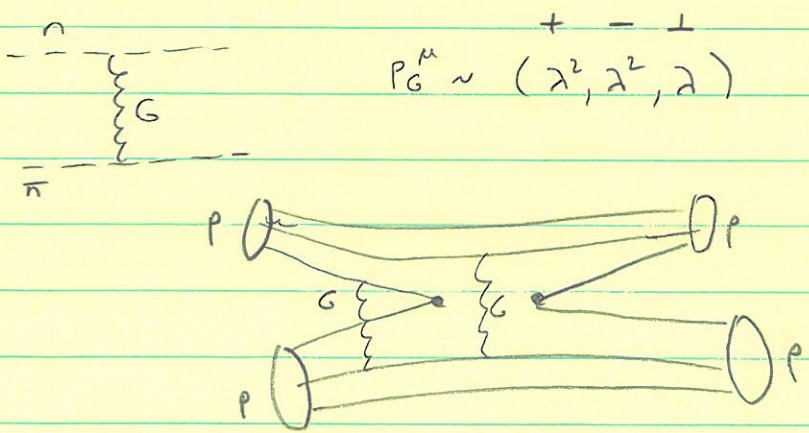


4-quark operator in SCET, which after Fierzing is  $[ (\bar{\psi}_n W_n) \frac{\not{x}}{2} (W_n^+ \psi_n) ] [ (\bar{\psi}_{\bar{n}} W_{\bar{n}}) \frac{\not{x}}{2} (W_{\bar{n}}^+ \psi_{\bar{n}}) ]$

- $T^A \otimes T^A$  octet structure vanishes under  $\langle p_n | \dots | p_n \rangle$
- $\psi_n \rightarrow \gamma_n \psi_n, \bar{\psi}_n \rightarrow \gamma_n \bar{\psi}_n$  etc, no coupling to soft gluons, they cancel out
- $\langle p_n | \bar{\chi}_{n,\mu} \frac{\not{x}}{2} \chi_{n,\mu'} | p_n \rangle$  gives PDF  
 $\langle p_{\bar{n}} | \bar{\chi}_{\bar{n},\bar{\mu}} \frac{\not{x}}{2} \chi_{\bar{n},\bar{\mu}'} | p_{\bar{n}} \rangle$  " " "

$$\frac{1}{\sigma_0} \frac{d\sigma}{d\beta^2 dY} = \sum_{i,j} \int_{x_a}^1 \frac{d\gamma_a}{\gamma_a} \int_{x_b}^1 \frac{d\gamma_b}{\gamma_b} H_{ij}^{\text{incl}} \left( \frac{x_a}{\gamma_a}, \frac{x_b}{\gamma_b}, \beta^2, \mu \right) f_i(\gamma_a, \mu) f_j(\gamma_b, \mu) * \left[ 1 + \mathcal{O} \left( \frac{\Lambda_{\text{QCD}}}{\sqrt{\beta^2}} \right) \right]$$

- One more (important) caveat, "Glauber Gluons"



$$P_G^M \sim (\lambda^2, \lambda^2, \lambda)$$

These gluons cancel out at leading order (Proving this would take us too far afield)

Threshold Limit

only certain terms in  $H_{ij}^{incl}$  contribute  
(most singular in  $1-\tau$ )

$$H_{ij}^{incl} \rightarrow \int_{g_0}^{+thr} [\sqrt{g^2} (1-\tau)_{\tau_{a,b}}] H_{ij}(g^2, \mu) [1 + \mathcal{O}(1-\tau)^0]$$

↑  $ij = u\bar{u}, d\bar{d}, \dots$  quarks  
no glue

$\tau_{a,b} \rightarrow 1$  so one parton in each proton carries all the momentum (not the dominant LHC region) but pdf's may enhance the importance of these terms

Isolated PY

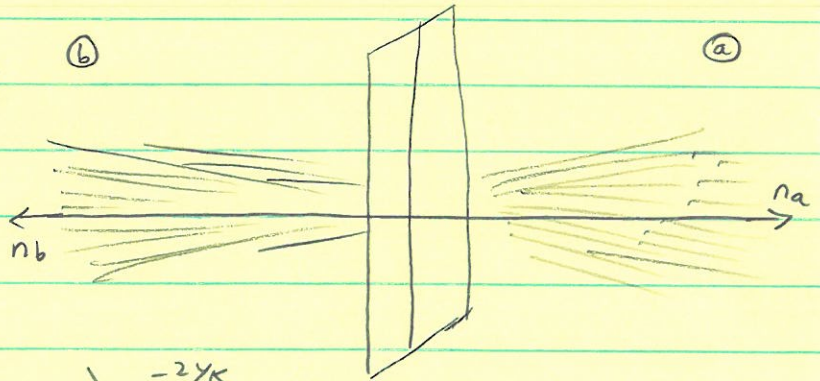
- allow forward jets to carry away part of  $E_{cm}$ , so  $\tau_{a,b} \rightarrow 1$
- restrict central region to still only have soft radiation (signal region is bkgnd free, no jets, ie jet veto)

need to observe something to guarantee this.

Observable

$$P_x = B_a + B_b \quad \textcircled{b}$$

- two hemispheres,  $\perp$  to the beam axis



$$B_a^+ = n_a \cdot B_a = \sum_{k \in a} n_a \cdot p_k = \sum_{k \in a} E_k (1 + \tanh \eta_k) e^{-2\eta_k}$$

plus momenta for n-collinear radiation should be small

Take  $B_a^+ \leq Q e^{-2\eta_{cut}} \ll Q$   $Q = \sqrt{s}$

$B_b^+ \equiv n_b \cdot B_b \leq \ll Q$

does the trick

(inclusive variable for jet veto)

n-collinear: proton @ and jet @

we do not simply get a PDF from the hard-collinear-soft factorization

[Glauber's again cancel]

$$\frac{1}{\sigma_0} \frac{d\sigma}{dq^+ dY dB_a^+ dB_b^+} = \sum_{ij} H_{ij}(q^2, \mu) \int dk_a^+ dk_b^+ Q^2 B_i[w_a(B_a^+ - k_a^+), x_a, \mu] \\ * B_j[w_b(B_b^+ - k_b^+), x_b, \mu] \\ * S_{ihemi}(k_a^+, k_b^+, \mu) \\ * \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{QCD}}{Q}, \frac{\sqrt{B_{a,i} w_{a,b}}}{Q}\right) \right]$$

where  $w_{a,b} = x_{a,b} E_{cm}$

$B_i =$  "beam function"

$$B_q(w_b^+, w/p^-, \mu) = \frac{\mathcal{O}(w)}{w} \int \frac{dy^-}{4\pi} e^{ib^+ y^- / 2} \langle P_n(L^-) | \bar{\chi}_n(y^-/2) \not{n} \chi_n(0) | P_n(L^-) \rangle$$

recall jet fn  $\langle 0 | \bar{\chi}_n(y^-/2) \not{n} \chi_n(0) | 0 \rangle$

PDF

$\langle p | \bar{\chi}_n(w^-) \not{n} \chi_n(0) | p \rangle$

beam function is mix of both

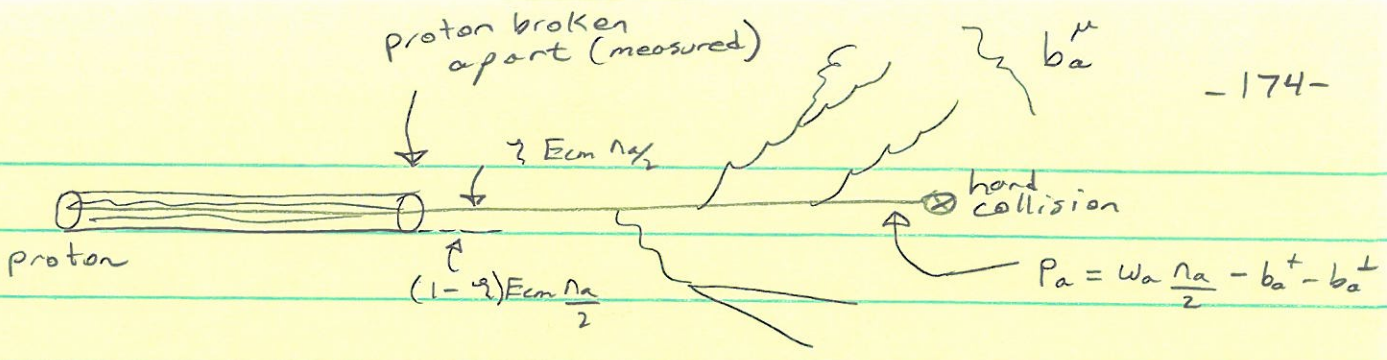
proton = SCET<sub>I</sub> collinear

jet = SCET<sub>I</sub> collinear ( $B_q$  is in SCET<sub>I</sub>)

Match SCET<sub>I</sub> → SCET<sub>II</sub>:

$$B_i(t, x, \mu) = \sum_j \int_x^1 \frac{dz}{z} \mathcal{I}_{ij}(t, \frac{x}{z}, \mu) f_j(z, \mu) \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{QCD}}{t}\right) \right]$$

↑  
 $f_g \& F_g$   
 contribute to  $B_g$  ( $B_g$ )

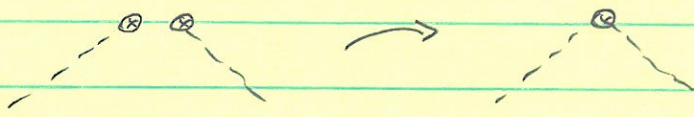


$$b_a^\mu = (2-x) E_{cm} \frac{n_a}{2} + b_a^+ \frac{\bar{n}_a}{2} + b_{a\perp}$$

$$P_a^2 = \underbrace{-W_a b_a^+}_{t_a \gg \Lambda_{QCD}} - \vec{b}_{1a}^2 \leq 0$$

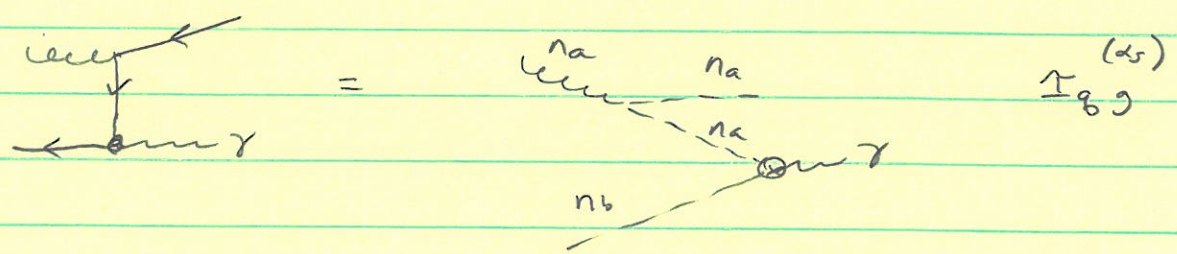
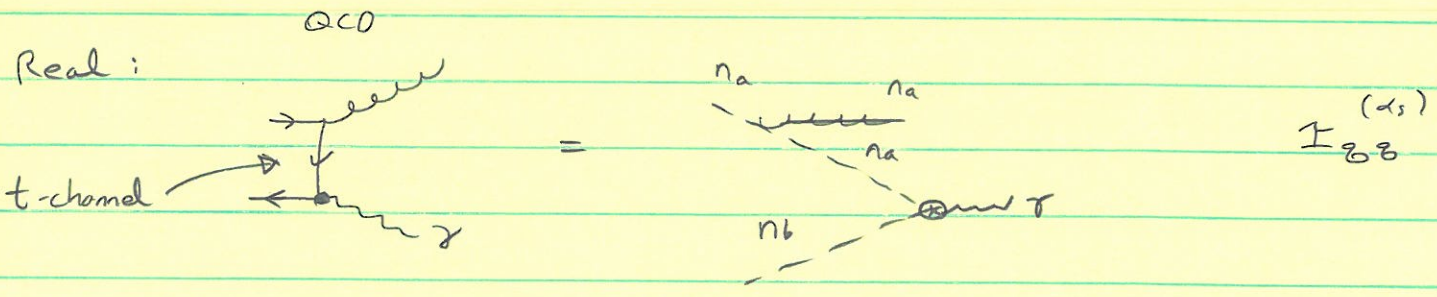
Spacelike active parton participates in hard collision

Tree-Level



$$B_i(t, x, \mu) = \delta(t) f_i(x, \mu)$$

Order ds Real & Virtual Contractions



power correction  $\sim \frac{t}{s} \sim \frac{W B_a^+}{Q^2}$

(would be  $\sim 1$  for inclusive)

RGE

$$\mu \frac{d}{d\mu} B_i(t, x, \mu) = \int dt' \gamma_i(t-t', \mu) B_i(t', x, \mu)$$

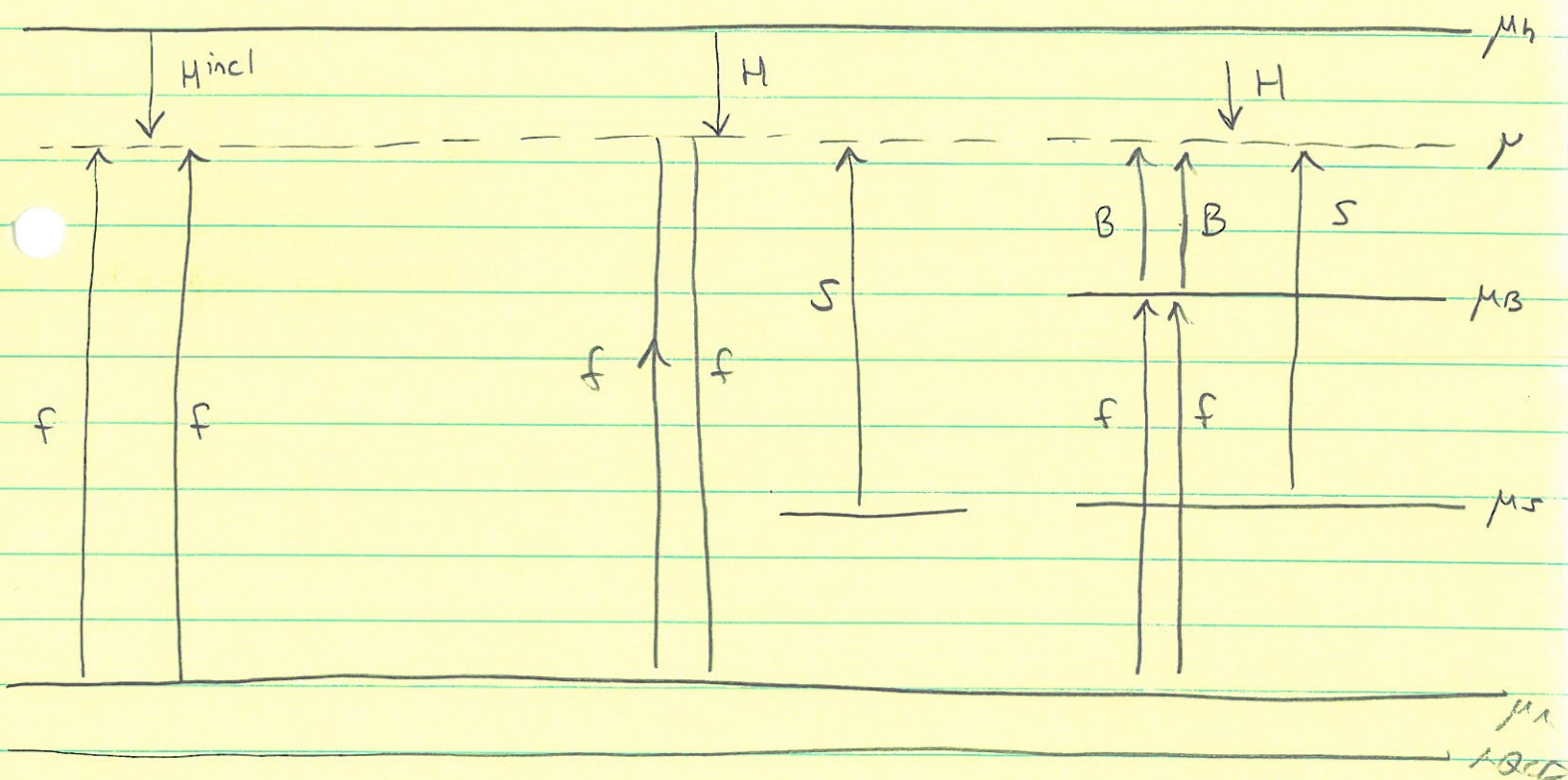
like the jet function  
(invariant mass evolution)

- sums  $\ln^2(t/\mu)$
- indep of  $x$  & no mixing

Inclusive

Threshold

Isolated



consistency of

RGE for isolated case requires B's since  
H and S have double logs, but f's do not