

Soft-Collinear Effective Theory (SCET)

Outline: Ch 6 SCET Formalism  
Ch 7 Applications of SCET

Ch 6 &amp; 7

Topics & Refs [more as we go online]Refs I used

- |  |  |
|--|--|
| (i) Intro, Degrees of Freedom, Scales,<br>Expansion of Spinors, Propagators,<br>Power counting see (2), (3)  | (1) hep-ph/0005275 (d.o.f.)<br>(2) hep-ph/0011336 (d.o.f. $\mathcal{L}$ ,<br>W, ...)   |
| (ii) Construction of $\mathcal{L}_{SCET}$ , Currents<br>Multipole Expansion, Labels<br>Zero-bin, $\frac{I.R.}{Div.}$ see (2), (3), (10)  | (3) hep-ph/0107001 (hard-collin<br>fact. $\bar{P}$ )<br>(4) hep-ph/0109045 (Gauge<br>Inv.<br>soft-collin)  |
| (iii) <sup>focus</sup> SCET <sub>I</sub> , Gauge Symmetry, (3), (4), (6)<br>Reparameterization Invariance  | (5) hep-ph/0205289 (power<br>counting)<br>(6) hep-ph/0204229 (RPI)   |
| (iv) Ultrasoft Collinear Factorization<br>Hard-Collinear Factorization,<br>Matching & Running for Hard Fns (4), (1), (2), (3)  | (7) hep-ph/0303156 (Gauge Inv.<br>at $2^2$ )<br>(8) hep-ph/0202088 (Hard<br>scattering)  |
| (v) DIS, how SCET p.c. includes<br>twist expansion, renormalization with<br>convolutions (DGLAP from EFT)  | (9) hep-ph/0107002 ( $B \rightarrow D\pi$ )<br>(10) hep-ph/0605001 (0-bin)   |
| (vi) SCET <sub>II</sub> : Soft-Collinear Interaction<br>use of auxiliary Lagrangians, Power<br>counting formula, (4), (7), (10), (5)<br>$\gamma^* \gamma \rightarrow \pi^0$ (8) $B \rightarrow D\pi$ (9) | (11) hep-ph/0211069 (SCET <sub>I</sub><br>$\rightarrow$ SCET <sub>II</sub> )<br>(12) hep-ph/0409045 ( $B \rightarrow X_s \gamma$<br>subl. order) |
| (vii) Power Corrections, Deriving SCET <sub>II</sub><br>from SCET <sub>I</sub> , $B \rightarrow \pi \ell \bar{\nu}$ (11)<br>$B \rightarrow X_s \gamma$ (12)  |  |

(viii)  $e^+e^- \rightarrow$  dijets, resummation,  
power corrections & soft functions

Refs: see website

(ix)  $e^+e^- \rightarrow$  massive particles

(x) Parton Shower from SCET

(xi)  $pp \rightarrow X l^+ l^-$ ,  $pp \rightarrow H X$   
(Drell-Yan) (Higgs Production)

Inclusive vs. Threshold vs. Isolated  
Factorization. Beam Functions,  
Initial State Radiation

## Section 1 Intro, Degrees of Freedom, Coordinates

- SCET: an EFT for energetic hadrons  $E_H \approx Q \gg \Lambda_{QCD} \sim M_H$   
 an EFT for energetic jets  $E_J \approx Q \gg M_J = \sqrt{P_J^2}$   
 an EFT for massless hard  $\leftrightarrow$  soft  $\leftrightarrow$  collinear interactions

Why? • Our main probe of short distance physics is hard collisions ( $e^+e^- \rightarrow$  stuff,  $pp \rightarrow$  stuff). Disentangling the physics of QCD & other interactions requires a separation of scales  $\rightarrow$  EFT  $\rightarrow$  SCET ("Factorization")

- jets, energetic hadrons are very common

eg. Hard Scattering  $e^- p \rightarrow e^- X$  (DIS),  $p\bar{p} \rightarrow X e^+ e^-$  (Drell-Yan)  
 $Q \gg M_H$   
 $Q \gg M_J$   
 $\gamma^* \gamma \rightarrow \pi^0$ ,  $\gamma^* p \rightarrow \gamma^{(*)} p'$  (Deeply Virt. Compton)  
 $e^+e^- \rightarrow$  jets,  $e^+e^- \rightarrow J/\psi X$ ,  $pp \rightarrow HX$ ,  
 ...

eg. B-decays  $B \rightarrow X u e \bar{u}$ ,  $B \rightarrow D\pi$ ,  $B \rightarrow \pi e \bar{u}$ ,  $B \rightarrow X_s \gamma$   
 $B \rightarrow \pi\pi$ , ...

$$M_B = 5.279 \text{ GeV} \gg \Lambda_{QCD}$$

- Need to separate perturbative  $\alpha_s(Q)$  & non-perturbative effects in QCD (eg. hard scattering vs. parton distributions)
- Sum large Sudakov  $\sim (\alpha_s \ln^2)^k$   
 $\text{Log } S$

- SCET involves new EFT tools

Prelude (What makes SCET different from other EFT's?)

- we will have multiple fields for the same particle  
 $\psi_n =$  collinear quark field  
 $\psi_s =$  soft " "
- we will integrate out offshell modes but not entire d.o.f. (like HQET)
- SCET has convolutions  $\sum_i C_i O_i \rightarrow \int d\omega C(\omega) O(\omega)$
- power counting parameter  $\lambda \ll 1$  is not related to mass dimension of fields
- Wilson Lines  $P \exp(i g \int ds n \cdot A(ns))$  everywhere, subtle & interesting gauge symmetry structure
- $\sqrt{E^2}$  divergences at 1-loop that require UV counterterm

Degrees of freedom for SCET:



in B rest frame  $P_\pi^\mu = (2.310 \text{ GeV}, 0, 0, -2.306 \text{ GeV})$   
 $\approx Q n^\mu$  to good approx.

$n^\mu = (1, 0, 0, -1)$  ,  $n^2 = 0$  light like

$\uparrow$   
 0,1,2,3 basis

$Q \gg \Lambda_{QCD}$

Light-cone coordinates Basis vectors  $n^\mu, \bar{n}^\mu$   
 $n^2=0, \bar{n}^2=0, n \cdot \bar{n} = z$

vectors  $p^\mu = \frac{n^\mu}{z} \bar{n} \cdot p + \frac{\bar{n}^\mu}{z} n \cdot p + p_\perp^\mu$

metric  $g^{\mu\nu} = \frac{n^\mu \bar{n}^\nu}{z} + \frac{\bar{n}^\mu n^\nu}{z} + g_\perp^{\mu\nu}$

epsilon  $\epsilon_\perp^{\mu\nu} \equiv \epsilon^{\mu\nu\alpha\beta} \frac{\bar{n}_\alpha n_\beta}{z}$

Notation  
 $p^+ \equiv n \cdot p$   
 $p^- \equiv \bar{n} \cdot p$   
 $p^2 = p^+ p^- + p_\perp^2$   
 $= p^+ p^- - \vec{p}_\perp^2$

- $n^2=0$  requires complementary vector  $\bar{n}^\mu$  for decomposition (dual vector for orthogonality)
- choice  $n^\mu = (1, 0, 0, -1), \bar{n}^\mu = (1, 0, 0, 1)$  works

but other choices do too [eg.  $n = (1, 0, 0, -1), \bar{n} = (3, 2, 2, 1)$ ]  
(more later)

Constituent Quark & Gluons:

In  $B \rightarrow D\pi$  the B, D are soft  $E_H \sim M_H$  (use HQET for their constituents. quarks & gluons with  $p^\mu \sim \Lambda$ )

But pion is "collinear"  $E_\pi \gg M_\pi$   
In rest frame  $\pi$  has quark & gluon constituents  $p^\mu \sim (\Lambda, \Lambda, \Lambda)$

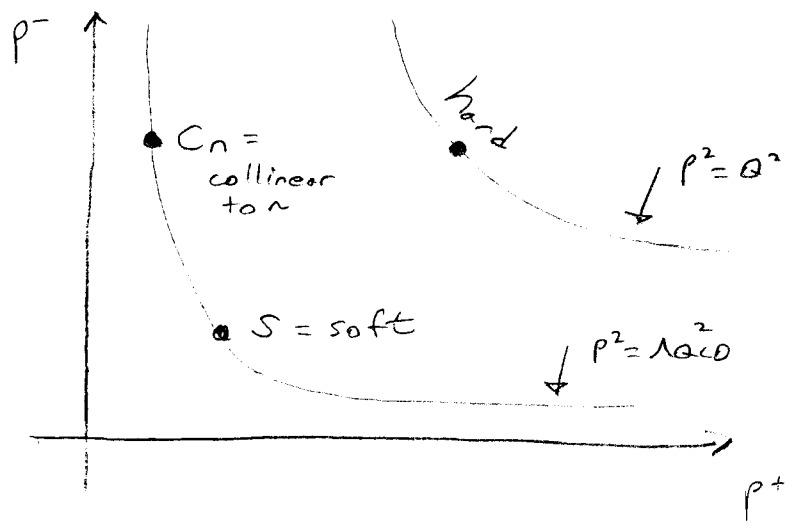
boosting along  $\hat{z}$   
 $p^- \rightarrow K p^-, p^+ \rightarrow \frac{p^+}{K}$  ( $K \gg 1$ )  
 $p_\perp \rightarrow p_\perp$   
 $\pi \rightarrow$  has constituents  $p^\mu \sim (\frac{\Lambda^2}{Q}, Q, \Lambda)$   
relative scaling defines collinear fluctuations about  $(0, Q, 0) = p_\pi^\mu$

Generically  $(p^+, p^-, p^\perp) \sim Q(\lambda^2, 1, \lambda)$  is collinear

Where  $\lambda \ll 1$  is small parameter (our eg. has  $\lambda = \frac{\Lambda}{Q}$ )

Degrees of freedom occupy momentum regions in SCET

SCET<sub>II</sub>



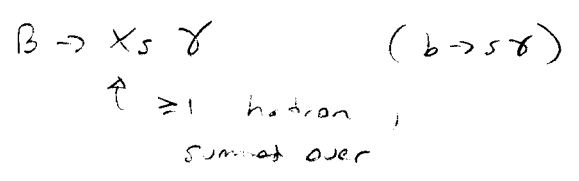
Usual EFT



$p^2 = p^+ p^- - \vec{p}_\perp^2$ ,  
 enough to look at  $\vec{p}_\perp = 0$  plane

the theory with these d.o.f. is known as SCET<sub>II</sub>,  
 it applies for energetic hadron production

eg 2. inclusive decay to a jet

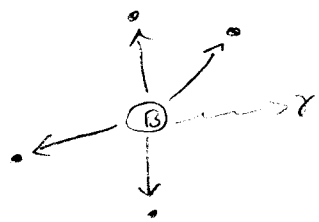


two-body kinematics

$E_\gamma = \frac{m_B^2 - m_X^2}{2m_B} \in \left[ 0, \frac{m_B^2 - m_K^2}{2m_B} \right]$

for  $m_X \in [m_B, m_K^*]$

3 regions (i)  $M_X^2 \sim M_B^2$   
for p.c.



Standard OPE (HQET)

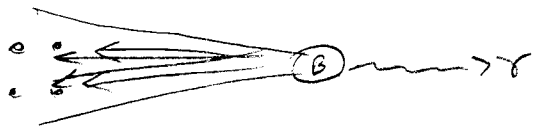
X has hadrons in all directions

(ii)  $M_X^2 \sim \Lambda^2$



exclusive Decay  
(SCET<sub>II</sub>)

(ii)  $\Lambda^2 \ll M_X^2 \ll M_B^2$  (say  $M_X^2 \sim M_B \Lambda$  to be definite)

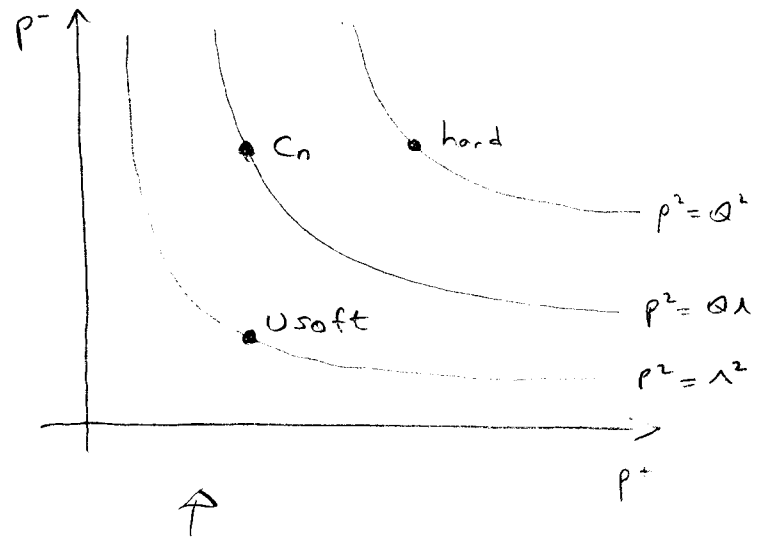


jet of hadrons in X

Jet constituents  $(p^+, p^-, p_\perp) \sim (\Lambda, Q, \sqrt{\Lambda Q}) \sim Q(\lambda^2, 1, \lambda)$   
collinear

here  $\lambda = \sqrt{\Lambda/Q} \ll 1$

Modes

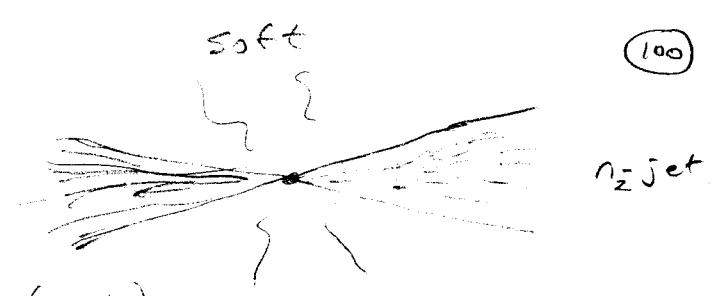


IR degrees of freedom  
with  $p^2 \lesssim Q^2 \lambda^2$

	(+, -, \perp)	$\frac{p^2}{Q^2}$
collinear	$Q(\lambda^2, 1, \lambda)$	$Q^2 \lambda^2$
ultrasoft	$Q(\lambda^2, \lambda^2, \lambda^2)$	$Q^2 \lambda^4$
[soft ↑ recall	$Q(\lambda, \lambda, \lambda)$	$Q^2 \lambda^2$

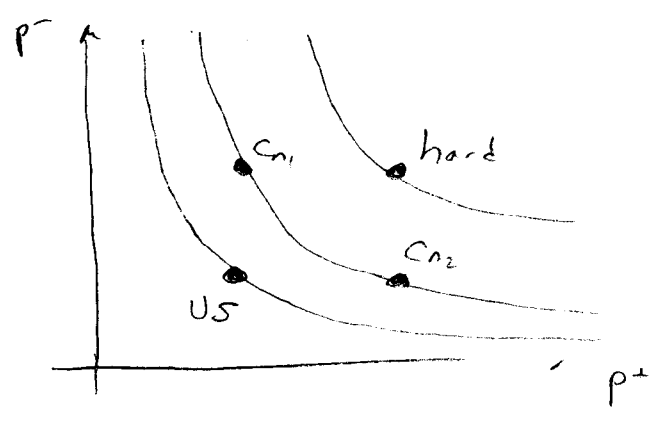
this is SCET<sub>II</sub>, an EFT for energetic jets

eg 3.  $e^+e^- \rightarrow 2 \text{ jets}$   $n_1 \text{-jet}$



$\Lambda^2 \ll M_J^2 \ll Q^2$   $\lambda = \frac{M_J}{Q}$  (again)

$n_1 = n$   
 $n_2 = \bar{n}$  (say)



	$(+, -, \perp)$
$n$ -collin	$(\lambda^2, 1, \lambda) Q$
$\bar{n}$ -collin	$(1, \lambda^2, \lambda) Q$
usoft	$(\lambda^2, \lambda^2, \lambda^2) Q$

- To Discuss :
- i) multiple modes for IR  $\leftrightarrow$  p.c.  $\leftrightarrow$  multiple fields
  - ii) integrate out modes above given hyperbola (invariant mass)
  - iii) frame dependence

The theory "SCET<sub>II</sub>" can be derived from "SCET<sub>I</sub>", so we'll study I first.



Collinear Spinors

$u_n$  labelled by direction  $n$   
(analog of HQET spinor  $u_v$ )

massless QCD spinors  
(Dirac Rep.)

$$u(p) = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ \frac{\vec{\sigma} \cdot \vec{p}}{p^0} u \end{pmatrix}, \quad v(p) = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{\vec{p} \cdot \vec{\sigma}}{p^0} v \\ v \end{pmatrix}$$

let  $n^\mu = (1, 0, 0, 1)$   
 $\bar{n}^\mu = (1, 0, 0, -1)$

expand  $\bar{n} \cdot p = p^0 + p^3 \gg p_\perp \gg n \cdot p = p^0 - p^3$   
 $\frac{\vec{\sigma} \cdot \vec{p}}{p^0} = \sigma^3$

$$u_n = \frac{1}{\sqrt{2}} \begin{pmatrix} u \\ \sigma^3 u \end{pmatrix} = \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \right\} \text{ particles}$$

$$v_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \sigma^3 v \\ v \end{pmatrix} = \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ -1 \\ 0 \\ 1 \end{pmatrix} \right\} \text{ antiparticles}$$

$$\not{n} = \begin{pmatrix} \mathbb{1} & -\sigma^3 \\ \sigma^3 & -\mathbb{1} \end{pmatrix}$$

so

$$\boxed{\not{n} u_n = \not{n} v_n = 0}$$

$$\frac{\not{n} \not{\bar{n}}}{4} = \frac{1}{2} \begin{pmatrix} \mathbb{1} & \sigma^3 \\ \sigma^3 & \mathbb{1} \end{pmatrix}$$

so

$$\boxed{\frac{\not{n} \not{\bar{n}}}{4} u_n = u_n, \quad \frac{\not{n} \not{\bar{n}}}{4} v_n = v_n}$$



Projection Operator

Decompose  $\mathbb{1} = \frac{\not{n} \not{\bar{n}}}{4} + \frac{\not{\bar{n}} \not{n}}{4}$

$$\mathbb{1} \psi^{\text{QCD}} = \psi_n + \psi_{\bar{n}}$$

↑ we'll integrate out "small" components  $\psi_{\bar{n}}$

Collinear Propagators

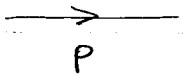
$$p^2 + i0 = \bar{n} \cdot p \, n \cdot p + P_\perp^2 + i0$$

$$\sim \lambda^0 * \lambda^2 + \lambda * \lambda \quad \text{same size}$$

Fermions

$$\frac{i \not{p}}{p^2 + i0} = \frac{i \not{\alpha}}{2} \frac{\bar{n} \cdot p}{p^2 + i0} + \dots$$

↙  $\lambda$  suppressed



$$= \frac{i \not{\alpha}}{2} \frac{1}{n \cdot p + \frac{P_\perp^2}{\bar{n} \cdot p} + i0 \text{ sign}(\bar{n} \cdot p)} + \dots$$

↖ both particles  $\bar{n} \cdot p > 0$   
 ‡ antiparticle  $\bar{n} \cdot p < 0$

from  $T \{ \psi_n(x), \bar{\psi}_n(0) \}$

Power counting of fields from free kinetic term

$$\mathcal{L} = \int d^4x \quad \bar{\psi}_n \frac{\not{\partial}}{2} [i \not{\partial} + \dots] \psi_n$$

$$\lambda^{-4} \quad \lambda^a \quad [\lambda^2 + \dots] \quad \lambda^a = \lambda^{2a-2}$$

set  $\mathcal{L} \sim \lambda^0$ , normalize kinetic term so so  $\lambda^0$   
 then  $\psi_n \sim \lambda$

Note: mass dimension  $[\psi_n] = 3/2$   
 $\lambda$  dimension  $[\psi_n]^\lambda = 1$

**Collinear Gluons**

consider general covariant gauge

$$\int d^4x e^{ik \cdot x} \langle 0 | T A_n^\mu(x) A_n^\nu(0) | 0 \rangle = \frac{-i}{k^2} \left( g^{\mu\nu} - \gamma \frac{k^\mu k^\nu}{k^2} \right)$$

↑ gauge param.

as above  $k^2 = k^+k^- + k_\perp^2 \sim \lambda^2$ , no expansion

Also  $g^{\mu\nu} - \frac{k^\mu k^\nu}{k^2}$  has two terms of same size

eg.  $g_\perp^{\mu\nu} \sim \lambda^0 \sim \frac{k_\perp^\mu k_\perp^\nu}{k^2} \sim \frac{\lambda^2}{\lambda^2}$ ,  $g^{+-} \sim \lambda^0 \sim \frac{k^+k^-}{k^2} \sim \frac{\lambda^2 \lambda^0}{\lambda^2}$

dot  $n_\mu n_\nu$ :  $g^{++} = 0$ ,  $\frac{(n \cdot k)^2}{k^2} \sim \frac{\lambda^4}{\lambda^2} = \lambda^2$

$d^4x \sim \lambda^{-4} \sim \frac{1}{(k^2)^2}$  so  $A_n^\mu \sim k^\mu \sim (\lambda^2, 1, \lambda)$

$A_n^\mu = (A_n^+, A_n^-, A_n^\perp) \sim (\lambda^2, 1, \lambda)$

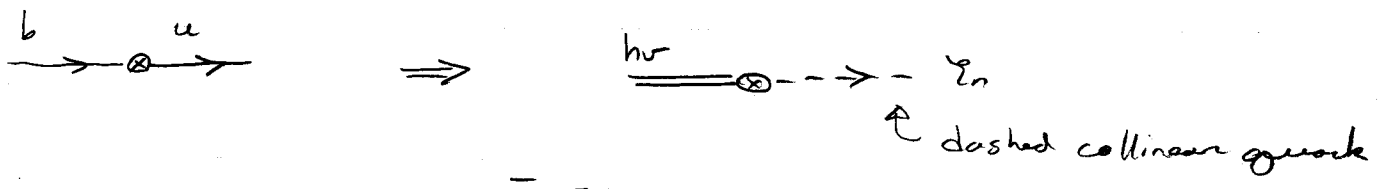
ie  $k^\mu + g A^\mu = \epsilon D^\mu$  homogeneous covariant derivative

Note:  $A_n^- \sim \lambda^0$  no suppression to add  $A_n^-$  fields

To see how this has an impact, consider an external weak **current**

eg.  $b \rightarrow u e \bar{\nu}$  QCD  $J = \bar{u} \Gamma b$   $\Gamma = \gamma^\mu (1 - \gamma_5)$

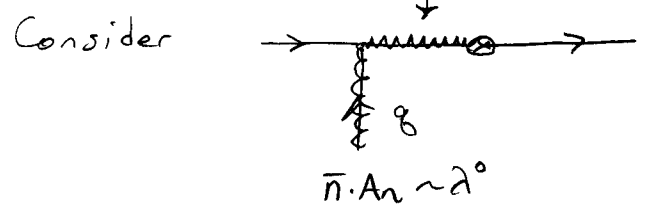
consider heavy  $b$  (HQET), energetic  $u$  (SCET)



$J_{\text{eff}} = \bar{\psi}_n \Gamma h_v$

QCD  $\rightarrow$   $= ig T^A \gamma^\mu$   
 sign convention

$k^\mu$  this is far-offshell



$$k^\mu = m_b v^\mu + \frac{n^\mu}{2} \bar{n} \cdot g + \dots$$

$$k^2 = m_b^2 + n \cdot v m_b \bar{n} \cdot g + \dots$$

$$k^2 - m_b^2 \sim m_b^2 \text{ for } \bar{n} \cdot g \sim \lambda^0 \sim m_b$$

no power suppression for these gluons

Find

$$A_{n\mu} \bar{\psi}_n \Gamma \frac{i(k+m_b)}{k^2-m_b^2} ig T^A \gamma^\mu \psi_n = -g A_n^A \bar{\psi}_n \Gamma \left[ \cancel{m_b(1+\cancel{\alpha})} + \frac{\cancel{\alpha}}{2} \bar{n} \cdot g \right] \frac{\cancel{\alpha}}{2} \bar{n}_\mu T^A \psi_n$$

$\alpha = \alpha^2$

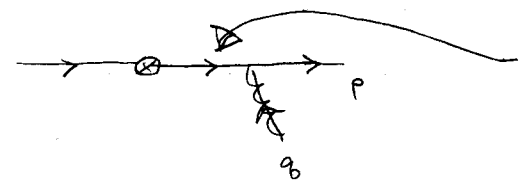
$$= \frac{-g \bar{n} \cdot A^A}{\bar{n} \cdot g} \bar{\psi}_n \Gamma T^A \left[ \frac{\cancel{\alpha}}{2} (1-\cancel{\alpha}) + \cancel{\alpha} v \right] \psi_n$$

$\cancel{\alpha} \psi_n = \psi_n$

$$= \frac{-g}{\bar{n} \cdot g} \bar{\psi}_n \Gamma \bar{n} \cdot A \psi_n = \text{Diagram}$$

same order in  $\alpha$ .

Consider

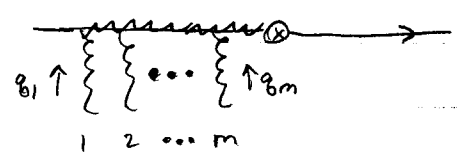


$p-g$  = collinear for  $p \neq g$  both collinear, so not offshell

$\leftrightarrow$  Lagrangian interaction

QCD graph

Consider



+ crossed gluon graphs  $\rightarrow$

SCET graph



$$= (-g)^m \Gamma \sum_{\text{perms } \{1, \dots, m\}} \frac{\bar{n}^{\mu_m} T^{A_m} \dots \bar{n}^{\mu_1} T^{A_1}}{[\bar{n} \cdot g_1] [\bar{n} \cdot (g_1 + g_2)] \dots [\bar{n} \cdot \sum_{i=1}^m g_i]}$$

when we write fields for external lines we must be a bit careful

Since SCET vertex is localized with m identical fields

$$\rightarrow \frac{(\bar{n} \cdot A)^m}{m!}$$

Complete tree level matching is  
 $\bar{u} \Gamma b \rightarrow \bar{u}_n W \Gamma h_n$

where  $W = \sum_k \sum_{\text{perms}} \frac{(-g)^k}{k!} \left( \frac{\bar{n} \cdot A_{\mathcal{O}_1} \dots \bar{n} \cdot A_{\mathcal{O}_k}}{[\bar{n} \cdot \mathcal{O}_1] [\bar{n} \cdot (\mathcal{O}_1 + \mathcal{O}_2)] \dots [\bar{n} \cdot \sum_{i=1}^k \mathcal{O}_i]} \right)$

is momentum space Wilson Line

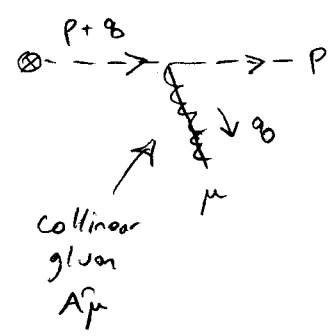
position space Wilson line is

$$W(0, -\infty) = P \exp \left( ig \int_{-\infty}^0 ds \bar{n} \cdot A_n(\bar{n}s) \right)$$

↑ path ordering puts fields with larger argument to the left  $\bar{n} \cdot A_n(\bar{n}s) \bar{n} \cdot A_n(\bar{n}s')$  for  $s > s'$

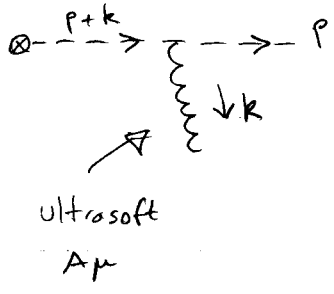
Effectively:  $\bar{n} \cdot A$  field gets traded for  $W[\bar{n} \cdot A]$

Consider SCET<sub>I</sub>, collinear & usoft  
 $(\lambda^2, 1, \lambda)$        $(\lambda^2, \lambda^2, \lambda^2)$



propagator =  $\frac{\bar{n} \cdot (q+p)}{n \cdot (q+p) \bar{n} \cdot (q+p) + (q_\perp + p_\perp)^2 + i0}$

$q^\mu \sim p^\mu$  so nothing dropped in denominator



here  $k^\mu \sim \lambda^2$        $\bar{n} \cdot k \ll \bar{n} \cdot p \sim \lambda^0$   
 $k_\perp^\mu \ll p_\perp^\mu \sim \lambda$   
 $n \cdot k \sim n \cdot p$

propagator =  $\frac{\bar{n} \cdot p}{n \cdot (k+p) \bar{n} \cdot p + p_\perp^2 + i0} + \dots$  higher order terms

## SCET Collinear Quark Lagrangian

Should:

- yield proper spin structure of propagator
- have interactions with collinear gluons & soft gluons
- have both quarks & antiquarks
- yield correct LO propagator for different situations (without requiring an additional expansion)

(We'll meet (& resolve) some technical hurdles along the way.)

Step 1: Start with  $\mathcal{L}_{\text{QCD}} = \bar{\Psi} i \not{D} \Psi$

Write  $\Psi = \xi_n + \Upsilon_{\bar{n}}$  where

$$\begin{aligned} \xi_n &= \frac{\not{n} \not{D}}{4} \Psi, & \frac{\not{n} \not{D}}{4} \xi_n &= \xi_n \\ \Upsilon_{\bar{n}} &= \frac{\not{\bar{n}} \not{D}}{4} \Psi, & \frac{\not{\bar{n}} \not{D}}{4} \Upsilon_{\bar{n}} &= \Upsilon_{\bar{n}} \end{aligned}$$

$$\begin{aligned} \mathcal{L} &= (\bar{\Upsilon}_{\bar{n}} + \bar{\xi}_n) \left( i \frac{\not{D}}{2} n \cdot D + i \frac{\not{D}}{2} \bar{n} \cdot D + i \not{D}_{\perp} \right) (\xi_n + \Upsilon_{\bar{n}}) \\ &= \bar{\xi}_n \frac{\not{D}}{2} i n \cdot D \xi_n + \bar{\Upsilon}_{\bar{n}} i \not{D}_{\perp} \xi_n + \bar{\xi}_n i \not{D}_{\perp} \Upsilon_{\bar{n}} + \bar{\Upsilon}_{\bar{n}} \frac{\not{D}}{2} i \bar{n} \cdot D \Upsilon_{\bar{n}} \end{aligned}$$

other terms are zero eg.  $\bar{\xi}_n i \not{D}_{\perp} \xi_n = \bar{\xi}_n i \not{D}_{\perp} \frac{\not{n} \not{D}}{4} \xi_n = \underbrace{\bar{\xi}_n \frac{\not{n} \not{D}}{4} i \not{D}_{\perp} \xi_n}_0$

so for this is just QCD written in terms of  $\Upsilon_{\bar{n}}, \xi_n$  vars.

- $\Upsilon_{\bar{n}}$  corresponds to subleading spinor components. We will not consider a source for  $\Upsilon_{\bar{n}}$  in path integral

e.o.m.  $\frac{\delta}{\delta \bar{\Psi}_n} : \frac{\alpha}{2} i \bar{n} \cdot D \Psi_n + i \not{\partial}_\perp \xi_n = 0$

$$i \bar{n} \cdot D \Psi_n + \frac{\not{\partial}_\perp}{2} i \not{\partial}_\perp \xi_n = 0$$

$$\Psi_n = \frac{1}{i \bar{n} \cdot D} i \not{\partial}_\perp \frac{\not{\partial}_\perp}{2} \xi_n, \quad \Psi = \left( 1 + \frac{1}{i \bar{n} \cdot D} i \not{\partial}_\perp \frac{\not{\partial}_\perp}{2} \right) \xi_n$$

Plug back into  $\textcircled{*}$ : already used/satisfied 2<sup>nd</sup> & 4<sup>th</sup> terms, 1<sup>st</sup> & 3<sup>rd</sup> give

$$\mathcal{L} = \bar{\xi}_n \left( i \bar{n} \cdot D + i \not{\partial}_\perp \frac{1}{i \bar{n} \cdot D} i \not{\partial}_\perp \right) \frac{\not{\partial}_\perp}{2} \xi_n \quad \textcircled{**}$$

← insert  $\textcircled{107.5}$  Aside

We're not yet done. We still need to:

- ② separate collinear & usoft gauge fields
- ③ " " " " momenta
- ④ expand and put pieces together

Step ②:  $A_n^\mu \sim (\lambda^2, 1, \lambda) \sim P_n^\mu, \quad A_{us}^\mu \sim (\lambda^2, \lambda^2, \lambda^2) \sim K_{us}^\mu$

write  $A^\mu = A_n^\mu + A_{us}^\mu + \dots$

like a classical background field to  $\xi_n, A_n^\mu$   
 $P_{us}^2 \sim Q^2 \lambda^4 \ll P_c^2 \sim Q^2 \lambda^2$   
 ↑ long wavelength

there are some more terms that will matter for power corrections (& are fixed by gauge invariance). Ignore them for now.

Power counting

$$\begin{aligned} \bar{n} \cdot A_n &\sim \lambda^0 \gg \bar{n} \cdot A_{us} \\ A_{\perp n}^\mu &\sim \lambda \gg A_{us}^\perp \\ n \cdot A_n &\sim \lambda^2 \sim n \cdot A_{us} \end{aligned}$$

} so  $A_{us}^\perp$  &  $\bar{n} \cdot A_{us}$  can be dropped at leading order

What does  $\frac{1}{i\bar{n}\cdot\partial}$  mean?

Its the analog of how you define  $\frac{1}{\hat{n}}$  in quantum mechanics, you use the eigenbasis:

$$\frac{1}{i\bar{n}\cdot\partial} \phi(x) = \frac{1}{i\bar{n}\cdot\partial} \int d^4p e^{-ip\cdot x} \phi(p) = \int d^4p e^{-ip\cdot x} \frac{1}{\bar{n}\cdot p} \phi(p)$$



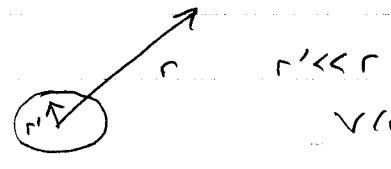
Step ③ We had a  $\lambda$ -expansion for a propagator carrying collinear & soft momenta

$$\frac{1}{(P_n + k_{us})^2} = \frac{1}{P_n^- (P_n^+ + k_{us}^+) + P_{n\perp}^2} - \frac{2 k_{us}^+ \cdot P_n^+}{[P_n^- (P_n^+ + k_{us}^+) + P_{n\perp}^2]^2} + \dots$$

$\sim \lambda^{-2}$ 
 $\sim \lambda^{-1}$

There must be Feyn. Rules in SCET to reproduce 2<sup>nd</sup> term too, so when we expand  $k_{us}^+ \ll P_n^+$ ,  $k_{us}^- \ll P_n^-$  we can't just ignore  $k_{us}^\pm$ . We need a systematic (gauge invariant) multipole expansion.

Recall  $E \neq M$



$$V(r) = \frac{1}{r} \int d^3 r' e^{i k \cdot r'} + \frac{1}{r^2} \int r' \cos \theta e^{i k \cdot r'} + \dots$$

Position Space (1-dim), consider

- $\int dx \bar{\Psi}(x) A(0) \Psi(x) = \int dx \int dp_1 dp_2 dk e^{i p_1 x} e^{-i 0 x} e^{-i p_2 x} \bar{\Psi}(p_1) A(k) \Psi(p_2)$
- $= \int dp_1 dp_2 dk \delta(p_1 - p_2) \bar{\Psi}(p_1) A(k) \Psi(p_2)$
- $\int dx \bar{\Psi}(x) x \cdot \partial A(0) \Psi(x) = \int dp_1 dp_2 dk \delta'(p_1 - p_2) k \bar{\Psi}(p_1) A(k) \Psi(p_2)$

$\leftarrow \int dk$   $k$  gets dropped  
 $\leftarrow \int \delta'$  [momentum not conserved] must int. by parts...

We will carry out the multipole expansion in momentum space

- more directly get mom. space Feyn. Rules
- simplifies formulation of gauge transformations
- <sup>mom.</sup> expansion sits in propagators rather vertices

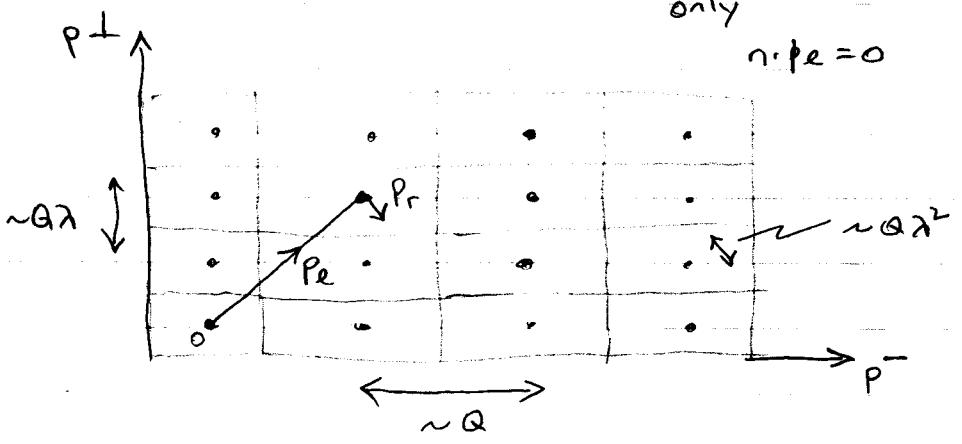
eg.  $\frac{k_{us}^+ \cdot P_n^+}{[\dots]^2} \sim \rightarrow \times \rightarrow$  propagator insertion

Call  $\xi_n(x)$  field from

Eg. (\*\*\*)  $\rightarrow \hat{\xi}_n(x)$ . [Consider only quark part,  $a \frac{S}{p}$ , to start.]  
Pg. 107

Let  $\tilde{\xi}_n(p) = \int d^4x e^{ip \cdot x} \hat{\xi}_n(x)$

Analogy HQET:  $p^\mu = m v^\mu + k^\mu$  label residual  
 SCET:  $p^\mu = p_e^\mu + p_r^\mu$   
 $(p_e, p_e^\perp) \sim (1, \lambda)$  only  $n \cdot p_e = 0$   
 $p_r^\mu \sim (\lambda^2, \lambda^2, \lambda^2)$



$p_e^\mu$  discrete grid points  
 $p_r^\mu$  continuous  
 $p^\mu = p_e^\mu + p_r^\mu$  unique for given grid

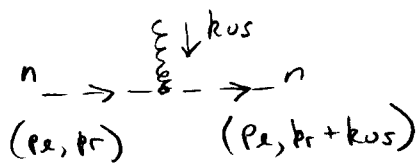
$\int d^4p = \sum_{p_e \neq 0} \int d^4p_r$  for collinear p  
 [ $p_e = 0$  is not collinear]

$\int d^4p = \int d^4p_r$  for usoft p [usoft has  $p_e = 0$ ]

Write:  $\tilde{\xi}_n(p) \rightarrow \tilde{\xi}_{n, p_e}(p_r)$

Note: We have separate conservation of label & residual momenta

$\int d^4x e^{i(p_e - q_e) \cdot x} e^{i(p_r - q_r) \cdot x} = \delta_{p_e, q_e} \delta^4(p_r - q_r) (2\pi)^4$



"non-conservation" of momenta is replaced by two separate conservations where some fields don't carry label momenta.

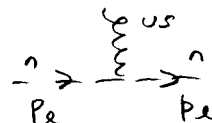
Final Step

Since all fields carry residual momenta the conservation law just corresponds to locality with respect to Fourier transform  $p_r \rightarrow x$

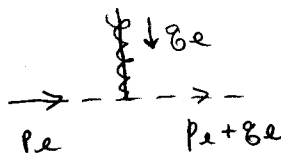
$$\hat{\zeta}_{n, p_e}(x) = \int \frac{d^4 p_r}{(2\pi)^4} e^{-i p_r \cdot x} \tilde{\zeta}_{n, p_e}(p_r)$$

↑  
build action from these fields

- soft gluons leave labels conserved

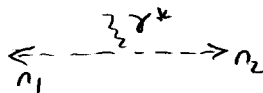


- collinear gluons change labels



- label n for collinear

direction always preserved by soft & collinear gluons only a hard interaction can couple fields with different n's eg



back-to-back production by hard  $\gamma^*$

All together

$$\begin{aligned} \hat{\zeta}_n(x) &= \int d^4 p e^{-i p \cdot x} \tilde{\zeta}_n(p) = \sum_{p_e \neq 0} \int d^4 p_r e^{-i p_e \cdot x} e^{-i p_r \cdot x} \tilde{\zeta}_{n, p_e}(p_r) \\ &= \sum_{p_e \neq 0} e^{-i p_e \cdot x} \hat{\zeta}_{n, p_e}(x) \end{aligned}$$

Define two derivative operators:

$$i \partial_\mu \xi_{n,p\epsilon}(x) \sim \lambda^2 \xi_{n,p\epsilon}(x) \quad \text{residual}$$

$$\mathcal{P}_\mu \xi_{n,p\epsilon}(x) \equiv p_\epsilon^\mu \xi_{n,p\epsilon}(x) \sim (0, 1, \lambda) \xi_{n,p\epsilon}(x)$$

$$\Rightarrow i \vec{n} \cdot \partial \ll \overline{\mathcal{O}}_P = \vec{n} \cdot \mathcal{O}_P, \quad i \partial_\perp^\mu \ll \mathcal{O}_{P\perp}^\mu$$

implements multipole expansion  
similar structure to expansion for  
gauge fields  $\rightarrow$  gauge symmetry easier

Notation is

friendly:

$$\hat{\xi}_n(x) = \sum_{p \neq 0} e^{-i p \cdot x} \xi_{n,p\epsilon}(x) = e^{-i \mathcal{O}_P \cdot x} \sum_{p \neq 0} \xi_{n,p\epsilon}(x)$$

$$\equiv e^{-i \mathcal{O}_P \cdot x} \underbrace{\xi_n(x)}_{\sum_{p \neq 0} \xi_{n,p\epsilon}(x)}$$

$\leftarrow$  suppress labels  
if we don't  
need them  
explicitly

Field products

$$\hat{\xi}_n(x) \hat{\xi}_n(x) = e^{-i \mathcal{O}_P \cdot x} \xi_n(x) \xi_n(x)$$

$\uparrow$  acts on both

fields  $\times$  just gives label conservation

Last Step is to consider anti-quarks & gluons

Mode Expn

$$\psi(x) = \int d^4p \delta(p^2) \Theta(p^0) [u(p) a(p) e^{-ip \cdot x} + v(p) b^\dagger(p) e^{ip \cdot x}]$$

$$= \psi^+ + \psi^- \quad \text{QED}$$

Write

$$\psi^+(x) = \sum_{p \neq 0} e^{-ip \cdot x} \psi_{n,p}^+(x)$$

$$\psi^-(x) = \sum_{p \neq 0} e^{ip \cdot x} \psi_{n,p}^-(x)$$

} both have  $\Theta(p^0) = \Theta(\bar{n} \cdot p)$   
 $\psi_{n,p}^\pm = 0$

Define

$$\psi_{n,p}(x) \equiv \psi_{n,p}^+(x) + \psi_{n,-p}^-(x) \quad \text{any } p \text{ signs}$$

$\bar{n} \cdot p > 0$  particles destroy       $\bar{\psi}_{n,p}$   $\bar{n} \cdot p > 0$  part. create  
 $\bar{n} \cdot p < 0$  antiparticles create       $\bar{n} \cdot p < 0$  anti, destroy

then  $\hat{\psi}_n(x) = e^{-i\bar{p} \cdot x} \psi_{n,p}(x)$  as before

Collinear  
Gluons

$$A_{n,q_2}^\mu(x), [A_{n,q_2}^\mu(x)]^* = A_{n,-q_2}^\mu(x)$$

$q_2 > 0$  destroy  
 $q_2 < 0$  create

$$\hat{A}_n(x) = e^{-i\bar{p} \cdot x} A_n(x)$$

$\uparrow \sum_{q_2} A_{n,q_2}^\mu(x)$

General Results

$$\not{p}^\mu (\not{q}_{\beta_1}^+ \not{q}_{\beta_2}^+ \dots \not{q}_{\beta_1} \not{q}_{\beta_2} \dots) = (p_1^\mu + p_2^\mu + \dots - q_{\beta_1}^\mu - q_{\beta_2}^\mu - \dots) (\not{q}_{\beta_1}^+ \not{q}_{\beta_2}^+ \dots \not{q}_{\beta_1} \not{q}_{\beta_2} \dots)$$

eigenvalue eqn

$$i\partial^\mu \sum_p e^{-ip \cdot x} \not{q}_{n,p}(x) = \sum_p e^{-ip \cdot x} (p^\mu + i\partial^\mu) \not{q}_{n,p}(x)$$

$$= e^{-i\bar{p} \cdot x} (p^\mu + i\partial^\mu) \not{q}_n(x)$$

later we'll suppress this & recall that labels conserved

Step ④ Expand  $\mathcal{L} = \bar{\xi}_n(x) \left[ i n \cdot D + i \cancel{D}_\perp \frac{1}{i \bar{n} \cdot D} i \cancel{D}_\perp \right] \frac{\cancel{\partial}}{2} \hat{\xi}_n(x)$

$$\begin{aligned}
 i D^\mu &= \sigma P^\mu + g A_n^\mu + i \partial^\mu + g A_{us}^\mu + \dots \\
 i n \cdot D &= i n \cdot \partial + g n \cdot A_n + g n \cdot A_{us} \quad (\text{exact, all } \sim \lambda^2) \\
 i D_\perp &= \underbrace{(i \cancel{\partial}_\perp + g A_n^\perp)}_\lambda + \underbrace{(i \cancel{\partial}_\perp + g A_{us}^\perp)}_{\lambda^2} + \dots \\
 i \bar{n} \cdot D &= \underbrace{(\bar{P} + g \bar{n} \cdot A_n)}_{\lambda^0} + \underbrace{(i \bar{n} \cdot \partial + g \bar{n} \cdot A_{us})}_{\lambda^2} + \dots
 \end{aligned}$$

From before  $\hat{\xi}_n(x) \sim \lambda^{\text{so}} \sim \xi_n(x)$

$$d^4x e^{-ix \cdot P} \sim \lambda^{-4}$$

$\mathcal{O}(1)$  phases implies  $x^- \sim 1/p^+$ ,  $x^+ \sim 1/p^-$   
 $x^\perp \sim 1/p_\perp$

Leading Order  $\mathcal{L}$  is  $\mathcal{O}(\lambda^4)$

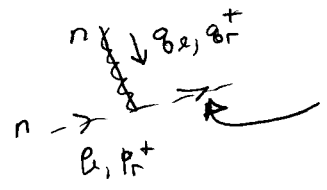
$$\mathcal{L}_{\xi\xi}^{(0)} = e^{-ix \cdot \sigma P} \bar{\xi}_n \left[ i n \cdot D + i \cancel{D}_\perp \frac{1}{i \bar{n} \cdot D_n} i \cancel{D}_\perp \right] \frac{\cancel{\partial}}{2} \xi_n$$

where  $\left. \begin{aligned} i D_\perp^{\mu\nu} &= \sigma P_\perp^\mu + g A_n^{\mu\nu} \\ i \bar{n} \cdot D_n &= \bar{\sigma} P + g \bar{n} \cdot A_n \end{aligned} \right\} \text{collinear cov. derivatives}$

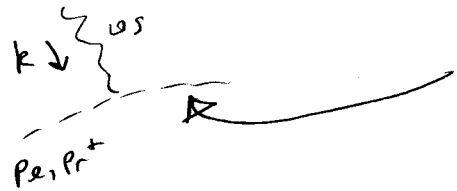
Note:

- both terms  $\sim \lambda \cdot \lambda^2 \cdot \lambda \sim \lambda^4$
- all fields at  $x$ , derivatives  $i \partial \sim \lambda^2$ , action is explicitly local at  $Q \lambda^2$  scale
- also local at  $Q \lambda$  too ( $D_\perp^\mu$  in numerator, <sup>momentum space</sup> version of locality)
- only nonlocal at  $\sim Q$  from  $\frac{1}{\bar{n} \cdot P}$  factors

• Collinear propagators



$$\frac{\bar{n} \cdot (q_r + p_r)}{\bar{n} \cdot (q_r + p_r) n \cdot (q_r + p_r) + (q_r^\perp + p_r^\perp)^2 + i0}$$

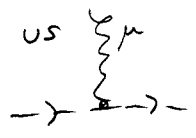


$$\frac{\bar{n} \cdot p_r}{\bar{n} \cdot p_r n \cdot (p_r + k) + (p_r^\perp)^2 + i0}$$

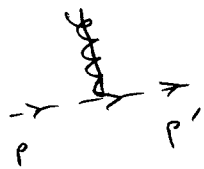
because no  
 $i\bar{n} \cdot \partial$  or  $i\partial \cdot \bar{n}$   
 in  $\mathcal{L}_{\text{eff}}^{(0)}$

$\mathcal{L}_{\text{eff}}^{(0)}$  knows how to give LO propagator in both situations without further expansions

Feyn. Rules

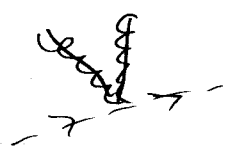


$$= i g \frac{\not{n}}{2} T^A \quad \text{only n.A. vs gluons}$$



$$= i g T^A \frac{\not{n}}{2} \left[ n^\mu + \frac{\gamma_\perp^\mu p_\perp}{\bar{n} \cdot p} + \frac{p'_\perp \gamma_\perp^\mu}{\bar{n} \cdot p'} - \frac{p'_\perp p_\perp}{\bar{n} p' \bar{n} p} \bar{n}^\mu \right]$$

all 4 components couple



= ... terms with  $\geq 2$  gluons also exist but have at most 2  $\perp$  gluons & rest  $\bar{n} \cdot A$

trade  $\bar{n} \cdot A_n \leftrightarrow W$

Wilson Line Eqns

$$i\bar{n} \cdot D_x W(x, -\infty) = 0$$

equivalent def'n of  
position space W-line  
momentum space  $W_n$

$$i\bar{n} \cdot D_n W_n = 0$$

$$(\bar{P} + g\bar{n} \cdot A_n) W_n = 0$$

$$i\bar{n} \cdot D_n W_n \circlearrowleft = W_n \bar{P} \circlearrowleft$$

↑ some operator

so  $i\bar{n} \cdot D_n W_n = W_n \bar{P}$   
∞ operator eqn.

and since  $(W(x, -\infty))^{\dagger} W(x, -\infty) = 1$   
 $W_n^{\dagger} W_n = 1$

we have  $i\bar{n} \cdot D_n = W_n \bar{P} W_n^{\dagger}$

$$\bar{P} = W_n^{\dagger} i\bar{n} \cdot D_n W_n$$

$$\frac{1}{\bar{P}} = W_n \frac{1}{i\bar{n} \cdot D} W_n^{\dagger}, \quad \frac{1}{i\bar{n} \cdot D} = W_n^{\dagger} \frac{1}{\bar{P}} W_n$$

(easy to check that are inverses)

$$\Psi_{\text{qq}}^{(0)} = e^{-ix \cdot \varphi} \bar{\xi}_n \frac{\not{n}}{2} \left[ i\not{n} \cdot D + i\not{n}_{\perp} W_n^{\dagger} \frac{1}{\bar{P}} W_n i\not{n}_{\perp} \right] \xi_n$$



Collinear Gluon Lagrangian

QCD  $\mathcal{L} = \underbrace{-\frac{1}{2} \text{tr} \{ G^{\mu\nu} G_{\mu\nu} \}}_{\text{Standard } -\frac{1}{4} G_A^{\mu\nu} G^{\mu\nu A}} + \underbrace{\tau \text{tr} \{ (i\partial_\mu A^\mu)^2 \}}_{\text{gen. cov. gauge fixing}} + \underbrace{2 \text{tr} \{ \bar{c} i\partial_\mu iD^\mu c \}}_{\text{gen. cov. ghost}}$

$G^{\mu\nu} = G_A^{\mu\nu} T^A = \frac{i}{g} [D^\mu, D^\nu]$

adjoint  
scalar  
fermi statistics

SCET: same steps as for quark action

Let  $iD^\mu = \frac{n^\mu}{2} (\bar{\mathcal{P}} + g \bar{n} \cdot A_n) + (\mathcal{P}_\perp^\mu + g A_{n\perp}^\mu) + \frac{\bar{n}^\mu}{2} (i n \cdot \partial + g n \cdot A_n + g n \cdot A_{us})$

$iD^\mu \rightarrow i\mathcal{D}^\mu$  at LO

$i\mathcal{D}_{us}^\mu = \frac{n^\mu}{2} \bar{\mathcal{P}} + \mathcal{P}_\perp^\mu + \frac{\bar{n}^\mu}{2} (i n \cdot \partial + g n \cdot A_{us})$

recall  $A_{us}^\mu$  behaves like background to  $A_n^\mu$ . Maintaining gauge inv. for the background even in the  $A_n^\mu$  gauge fixing terms requires

$iD^\mu \rightarrow i\mathcal{D}_{us}^\mu$  at LO

$\mathcal{L}_{cg}^{(0)} = \frac{1}{2g^2} \text{tr} \{ ([i\mathcal{D}^\mu, i\mathcal{D}^\mu])^2 \} + \tau \text{tr} \{ ([i\mathcal{D}_{us}^\mu, A_{n\mu}] )^2 \} + 2 \text{tr} \{ \bar{c}_n [i\mathcal{D}_{us}^\mu, [i\mathcal{D}^\mu, c_n]] \}$

$\mathcal{L}_{SCET}^{(0)} = \mathcal{L}_{\mathbb{R}^4}^{(0)} + \mathcal{L}_{cg}^{(0)} + \mathcal{L}_g^{(0)} + \mathcal{L}_A^{(0)}$

full QCD actions for usoft quark  $q_{us}$  and for US gluon  $A_{us}^\mu$ . These have no collinear fields

Argument so far was tree level. To go further we need symmetries & power counting

- ① Gauge Symmetry
  - ② Reparameterization Invariance
  - ③ Spin Symmetry (?)
- ] very useful

Consider ③:

first lets revisit spinors  $\psi(x) = e^{-ix \cdot P} \left( 1 + \frac{1}{i\bar{\sigma} \cdot P} i\bar{\sigma} \cdot \vec{p} \right) \xi_n(x)$

so  $u = \left( 1 + \frac{1}{\bar{n} \cdot P} \bar{n} \cdot \vec{p} \right) u_n$   
 $u_n = \frac{\alpha \bar{n}}{4} u$   
 $\left[ \alpha u_n = 0, \frac{\alpha \bar{n}}{4} u_n = u_n \right]$

Note:

- $\sum_s u_n^s \bar{u}_n^s = \frac{\alpha \bar{n}}{4} \sum_s u^s \bar{u}^s \frac{\bar{n} \cdot \alpha}{4} = \frac{\alpha \bar{n}}{4} \not{\bar{n}} \frac{\alpha}{4} = \frac{\alpha}{2} \bar{n} \cdot p$

[ Quantized  $\xi_n$  field does give proper collinear propagator, including numerator. ]

- $u_n$  is NOT equal to our earlier result of  $\frac{\sqrt{p^-}}{\sqrt{2}} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \right\}$  even though it obeys same relations

Dirac  $u_n = \frac{1}{2} \begin{pmatrix} \mathbb{1} & \sigma^3 \\ \sigma^3 & \mathbb{1} \end{pmatrix} \sqrt{p^-} \begin{pmatrix} u \\ \bar{\sigma} \cdot \vec{p}_\perp / p_0 u \end{pmatrix} = \frac{\sqrt{p^-}}{2} \begin{pmatrix} (1 + \frac{p_3}{p_0} + \frac{i\vec{\sigma} \times \vec{p}_\perp}{p_0}) u \\ \sigma_3 (1 + \frac{p_3}{p_0} + \frac{i\vec{\sigma} \times \vec{p}_\perp}{p_0}) u \end{pmatrix}$

as before with extra factor if  $u = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

let two component  $\tilde{u} = \frac{1}{\sqrt{2}} \left( 1 + \frac{p_3}{p_0} + \frac{i\vec{\sigma} \times \vec{p}_\perp}{p_0} \right) \left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\} \sqrt{\frac{p_0}{p^-}}$

$u_n = \sqrt{\frac{p^-}{2}} \begin{pmatrix} \tilde{u} \\ \sigma_3 \tilde{u} \end{pmatrix}, \quad u_n \bar{u}_n = \frac{p^-}{2} \begin{pmatrix} \tilde{u} \tilde{u}^\dagger - \tilde{u} \tilde{u}^\dagger \sigma_3 \\ \sigma_3 \tilde{u} \tilde{u}^\dagger - \sigma_3 \tilde{u} \tilde{u}^\dagger \sigma_3 \end{pmatrix}$   
 $\sum_s \tilde{u}^s \tilde{u}^{s\dagger} = \mathbb{1}_{2 \times 2}$

Extra terms ensure proper structure under ②, RPI

Projector's  $P_n' = \frac{\alpha \bar{n}}{4} + \frac{\alpha}{2}, P_{\bar{n}}' = \frac{\bar{n} \cdot \alpha}{4} - \frac{\alpha}{2}$  give  $\begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix}$  but are not RPI invariant.

Spin Symmetry easiest to analyze in two-component form

$$\xi_n = \frac{1}{\sqrt{2}} \begin{pmatrix} \psi_n \\ \sigma_3 \psi_n \end{pmatrix} \quad \text{where } \dim \xi_n = \dim \psi_n$$

$$\mathcal{L} = \psi_n^\dagger \left\{ i \vec{n} \cdot \vec{D} + i D_{n\perp} \frac{1}{i \vec{n} \cdot \vec{D}} i D_{n\perp} \left( g_{\mu\nu}^\perp + i \epsilon_{\mu\nu}^\perp \sigma_3 \right) \right\} \psi_n$$

not SU(2)

just U(1) helicity  $h = \frac{i \epsilon_{\mu\nu}^\perp}{4} [\gamma_\mu, \gamma_\nu] \sim \sigma_3$  generator, spin along the direction of collinear motion  $n$

- broken by masses
- broken by non-perturbative effects
- useful in perturbation theory

• related to chiral rotation  $\gamma_5 \xi_n = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} \psi_n \\ \sigma_3 \psi_n \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \sigma_3 \psi_n \\ \psi_n \end{pmatrix}$   
 ie  $\psi_n \rightarrow \sigma_3 \psi_n$

### ① Gauge Symmetry

$$U(x) = \exp [i \alpha^A(x) T^A]$$

Need to consider U's which leave us within EFT

eg.  $i \partial^\mu \alpha^A \sim Q \alpha^A$  then  $\xi'_n = U(x) \xi_n$  would no longer have  $p^2 \lesssim Q^2 \lambda^2$ .

global  $U = e^{i \alpha^A T^A}$

collinear  $U_c(x) \quad i \partial^\mu U_c(x) \sim Q(\lambda^2, 1, \lambda) U_c(x) \Leftrightarrow A_n^M$

soft  $U_s(x) \quad i \partial^\mu U_s(x) \sim Q(\lambda^2, \lambda^2, \lambda^2) U_s(x) \Leftrightarrow A_{us}^M$

• two classes of gauge trnsfm for two gauge fields

• in label momentum space we have  $\xi_{n, p_\perp} \rightarrow \int \sum_b (U_c)_{p_\perp, b_\perp} \xi_{n, b_\perp}$   
 (analog of  $\psi(x) \rightarrow U(x) \psi(x)$   
 $\tilde{\Psi}(p) \rightarrow \int d^3z \tilde{U}(p-q) \tilde{\Psi}(z)$ )

Let  $(U_c)_{p_e - q_e} =$  <sup>matrix</sup>  $(U_c)_{p_e, q_e}$  ie  $\{p_e, q_e\}$ 'th entry is number  $(U_c)_{p_e - q_e}$

For  $A_n^\mu$  we let its  $U_c$  transformation be that of quantum gauge trnsfm of a quantum field in a  $A_0^\mu$  background (in manner homogeneous in p.c.)

$U_c(x)$

\*  $\psi_n(x) \rightarrow U_c^{(x)} \psi_n(x)$  matrix notation

\*  $A_n^\mu \rightarrow U_c (A_n^\mu + \frac{i}{g} \sigma D_{us}^\mu) U_c^\dagger$

\* Also  $\begin{matrix} \varphi_{us} & \xrightarrow{U_c} & \varphi_{us} \\ A_{us}^\mu & \xrightarrow{U_c} & A_{us}^\mu \end{matrix}$  since otherwise we give large momentum to soft field

For  $U_{us}(x)$  the fields  $\psi_n, A_n^\mu$  transform like quantum fields under background gauge trnsfm. That is, they transform like matter fields of appropriate rep.

$U_{us}(x)$

\*  $\psi_n(x) \rightarrow U_{us}^{(x)} \psi_n(x)$ ,  $A_n^\mu \rightarrow U_{us} A_n^\mu U_{us}^\dagger$   
↑ one number for all  $\psi_{n,p}$  "vector" components

\*  $\varphi_{us} \rightarrow U_{us} \varphi_{us}$ ,  $A_{us}^\mu \rightarrow U_{us} (A_{us}^\mu + \frac{i}{g} \partial^\mu) U_{us}^\dagger$   
↑ usual gauge transformations

These transformations are fundamental, they are not corrected by power corrections.

$U_c, U_{us}$   
 Gauge transformations are homogeneous in  $\lambda$   
 no mixing of terms of different orders

eg. recall our heavy-to-light current  
 $\bar{\chi}_n \Gamma h_r^{us} \xrightarrow{U_c} \bar{\chi}_n U_c^\dagger \Gamma h_r^{us}$  is not gauge inv!

BUT recall offshell propagators generated Wilson line  
 $\bar{W}(x, -\infty)$

In general  $\bar{W}(x, y) \rightarrow U(x) \bar{W}(x, y) U^\dagger(y)$ . To avoid  
 double counting with  $U_{global}$ , we are free to take  $U^\dagger(-\infty) = 1$   
 $\bar{W}(x, -\infty) \rightarrow U_c(x) \bar{W}(x, -\infty)$

Momentum Space  $W = \sum_{m=0}^{\infty} \sum_{perms} \sum_{q_i} \frac{(-g)^m}{m!} \frac{\bar{n} \cdot A_{n, q_1}^{a_1}(x) \dots \bar{n} \cdot A_{n, q_m}^{a_m}(x) T^{a_1} \dots T^{a_m}}{\bar{n} \cdot q_1 \bar{n} \cdot (q_1 + q_2) \dots \bar{n} \cdot (\sum q_i)}$

$W(x) = \left[ \sum_{perms} \exp \left( \frac{-g}{p} \bar{n} \cdot A_n(x) \right) \right]$

the dependence on  $x$  encodes residual momenta in Wilson  
 line. For  $x=0$  the Fourier transform w.r.t  $p_x^-$  gives  
 the line  $\bar{W}(x, -\infty)$  where  $x$  is conjugate  $p_x^-$ .

- \*  $W(x) \xrightarrow{U_c} U_c(x) W(x)$  in label matrix space
- \*  $W(x) \xrightarrow{U_{us}} U_{us}(x) W(x) U_{us}^\dagger(x)$  from transformation of  $A_n$  directly
- $\bar{\chi}_n W \Gamma h_r \xrightarrow{U_c} \bar{\chi}_n U_c^\dagger U_c W \Gamma h_r = \bar{\chi}_n W \Gamma h_r$  invariant
- $\bar{\chi}_n W \Gamma h_r \xrightarrow{U_{us}} \bar{\chi}_n U_{us}^\dagger U_{us} W \Gamma h_r = \bar{\chi}_n W \Gamma h_r$  " "

- the Wilson line carries  $n$ -collinear gluons, which in full QCD combine with attachments to  $\chi_n \rightarrow \dots$  to give gauge invariant answers.
- $U_{soft}$  can be taken to include global, and connects all fields.

Gauge Symmetry ties together

$$i n \cdot D = i n \cdot \partial + g n \cdot A_n + g n \cdot A_{us}$$

$$i D_{n\perp}^\mu = \mathcal{D}_\perp^\mu + g A_n^\mu$$

$$i \bar{n} \cdot D = \bar{n} \cdot \partial + g \bar{n} \cdot A_n$$

$$i D_{us}^\mu = i \partial^\mu + g A_{us}^\mu \quad \text{acting on soft fields}$$

Power Counting

Is Gauge Symmetry Enough for  $\mathcal{L}_{gg}^{(0)}$ ?

$$i n \cdot D \sim \lambda^2, \quad \frac{1}{p} (i D_\perp)^2 \sim \lambda^2$$

no other  $\lambda^2$  operators with right mass dimension

So far nothing rules out  $\int_n i D_{n\perp}^\mu \frac{1}{i \bar{n} \cdot D} i D_{n\perp \mu} \frac{\not{n}}{2} \int_n$ .

Final Symmetry

### ② Reparameterization Invariance (RPI)

$n, \bar{n}$  break Lorentz Invariance, generators  $n^\mu M_{\mu\nu}, \bar{n}^\mu M_{\mu\nu}$   
5 total

usual 6 antisymm. gen of Lorentz symm.

only  $E_\perp^{\mu\nu} M_{\mu\nu}$ , rotations about  $\vec{n}$ -axis are preserved

3 types of RPI that keep  $n^2=0, \bar{n}^2=0, n \cdot \bar{n}=2$

- |                                     |   |   |
|-------------------------------------|---|---|
| inf                                 | inf                                     | finite                                    |
| I. $n \rightarrow n + \Delta_\perp$ | II. $n \rightarrow n$                   | III. $n \rightarrow e^\alpha n$           |
| $\bar{n} \rightarrow \bar{n}$       | $\bar{n} \rightarrow \bar{n} + E_\perp$ | $\bar{n} \rightarrow e^{-\alpha} \bar{n}$ |

Power Counting:

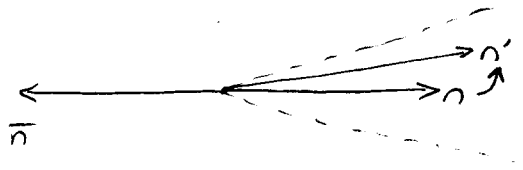
$$\Delta_\perp \sim \lambda \quad \text{eg. } n \cdot p \rightarrow n \cdot p + \Delta_\perp \cdot p_\perp \sim \lambda^2$$
$$E_\perp \sim \alpha \sim \lambda^0 \quad \text{ie unconstrained}$$

[ N : So far we've talked mostly about 1 collinear sector. With multiple collinear sectors  $n_i^\mu$  we have freedom to define  $\bar{n}_i^\mu$  & have RPI transfm's for each pair  $\{n_i^\mu, \bar{n}_i^\mu\}$  ]  
↑ later

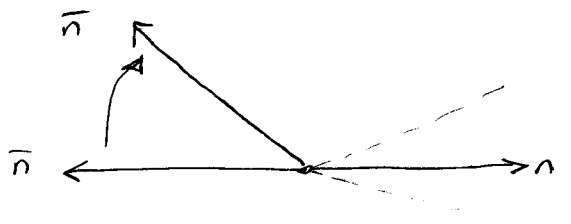
Type III simple, just implies for any operator with  $\pi^\mu$  in numerator there must be another  $\pi^\mu$  in numerator, or  $\bar{\pi}$  in denominator

eg in  $\mathcal{L}_{eff}^{(0)}$ : had  $\bar{\pi} \frac{1}{i\bar{\pi} \cdot 0}$ ,  $\bar{\pi} n \cdot 0$  ✓  
 [no  $\bar{\pi} \cdot 0$ ]

Type I & II



type-I



type-II

We only care about restoring Lorentz Invar for the set of fluctuations described by JCEET

vector  $P^\mu = \frac{n^\mu \bar{\pi} \cdot p}{2} + \frac{\bar{\pi}^\mu n \cdot p}{2} + P_\perp^\mu$  is invariant to choice for decomposition

→ implies transformations for  $P_\perp^\mu$  to compensate  $n, \bar{\pi}$ 's.

Find

type-I

$$n \rightarrow n + \Delta_\perp$$

$$n \cdot 0 \rightarrow n \cdot 0 + \Delta_\perp \cdot D_\perp$$

$$D_\perp^\mu \rightarrow D_\perp^\mu - \frac{\Delta_\perp^\mu}{2} \bar{\pi} \cdot 0 - \frac{\bar{\pi}^\mu}{2} \Delta_\perp \cdot D_\perp$$

$$\bar{\pi} \cdot 0 \rightarrow \bar{\pi} \cdot 0$$

$$\xi_n \rightarrow \left[ 1 + \frac{\Delta_\perp \cdot \bar{\pi}}{4} \right] \xi_n$$

$$W \rightarrow W$$

type-II

$$\bar{\pi} \rightarrow \bar{\pi} + \epsilon_\perp$$

$$n \cdot 0 \rightarrow n \cdot 0$$

$$D_\perp^\mu \rightarrow D_\perp^\mu - \frac{\epsilon_\perp^\mu}{2} n \cdot 0 - \frac{n^\mu}{2} \epsilon_\perp \cdot D_\perp$$

$$\bar{\pi} \cdot 0 \rightarrow \bar{\pi} \cdot 0 + \epsilon_\perp \cdot D_\perp$$

$$\xi_n \rightarrow \left[ 1 + \frac{\epsilon_\perp \cdot \frac{1}{i\bar{\pi} \cdot 0} \bar{\pi}}{2} \right] \xi_n$$

$$W \rightarrow \left[ \left( 1 - \frac{1}{i\bar{\pi} \cdot 0} \epsilon_\perp \cdot D_\perp \right) W \right]$$

[ I write  $D^\mu$  everywhere, but your free to think of it as  $\mathcal{P}^\mu$  or  $i\partial^\mu$  with appropriate gauging from symmetry ① ]

eg.  $\delta^{(\pm)} \left( \bar{\psi}_n i \not{\partial}_n \frac{1}{i\vec{n}\cdot\vec{0}} i \not{\partial}_n \frac{\vec{\sigma}}{2} \psi_n \right) = - \bar{\psi}_n i \Delta^+ \cdot \vec{0}^+ \frac{\vec{\sigma}}{2} \psi_n$   
 $\delta^{(\pm)} \left( \bar{\psi}_n i \not{\partial}_n \frac{\vec{\sigma}}{2} \psi_n \right) = + \bar{\psi}_n i \Delta^+ \cdot \vec{0}^+ \frac{\vec{\sigma}}{2} \psi_n$   
 connected, no non-trivial Wilson coefficient b/w them

type-II rules out  $\bar{\psi}_n i \not{D}_n^\mu \frac{1}{i\vec{n}\cdot\vec{0}_n} i \not{D}_{n+\mu} \frac{\vec{\sigma}}{2} \psi_n$  operator in  $\mathcal{L}_{\text{eff}}^{(0)}$ .

So  $\mathcal{L}_{\text{eff}}^{(0)} = \bar{\psi}_n \left[ i \not{\partial}_n + i \not{\partial}_n \frac{1}{i\vec{n}\cdot\vec{0}_n} i \not{\partial}_n \right] \frac{\vec{\sigma}}{2} \psi_n$

is unique by p.c., gauge inv., & RPI.

More: Freedom in the label + residual decomposition

$\vec{n} \cdot (P_e + P_r)$ ,  $P_{e\perp}^\mu + P_{r\perp}^\mu$   
 $P_\mu \rightarrow P_\mu + \beta_\mu$ ,  $i\partial_\mu \rightarrow i\partial_\mu - \beta_\mu$ ,  $n \cdot \beta = 0$   
 $\psi_{n,p}(x) \rightarrow e^{i\beta \cdot x} \psi_{n,p+\beta}(x)$

Connects:  $\mathcal{P}^\mu + i\partial^\mu$  connects leading & subleading Wilson coefficients in  $\mathcal{L}^{(i)}$  and operators  $\mathcal{O}^{(i)}$

Gauge It recall  $i \not{D}_n^\mu \rightarrow U_c i \not{D}_n^\mu U_c^\dagger$  or  $U_u i \not{D}_n^\mu U_u^\dagger$   
 $i\vec{n}\cdot\vec{D}_n \rightarrow U_c i\vec{n}\cdot\vec{D}_n U_c^\dagger$  or  $U_u i\vec{n}\cdot\vec{D}_n U_u^\dagger$   
 $i \not{\partial}_n \rightarrow U_c i \not{\partial}_n U_c^\dagger$  or  $U_u i \not{\partial}_n U_u^\dagger$   
 $i \not{D}_{us}^\mu \rightarrow i \not{D}_{us}^\mu$  or  $U_u i \not{D}_{us}^\mu U_u^\dagger$

Simplest idea:  $i \not{D}_n^\mu + i \not{D}_{us}^\mu$   
 $i\vec{n}\cdot\vec{D}_n + i\vec{n}\cdot\vec{D}_{us}$  } doesn't work due to lack of transfm of  $i \not{D}_{us}^\mu$  under  $U_c$



The object that can compensate is  $W \rightarrow U_c W$ .  
 The (unique) result that preserves our choice for gauge symmetries [choice: strictly LO, homogeneous in  $\lambda$ ] is

$$i D_{n\perp}^\mu + W i D_{\perp}^{\mu s} W^\dagger \equiv i D_\perp^\mu$$

$$i \bar{n} \cdot D_n + W i \bar{n} \cdot D_{ns} W^\dagger \equiv i \bar{n} \cdot D$$

↑ extra terms from  $W, W^\dagger$  induce the +...  
 in our earlier ( $A^\mu = A_n^\mu + A_{ns}^\mu + \dots$ )  
 expression

Comments

- Just like HQET, RPI can connect Wilson coefficients of leading order & subleading order external currents
- More collinear fields for  $> 1$  energetic hadron or  $> 1$  energetic jet

Generalize to  $\sum_n \mathcal{L}_n^{(0)} = \sum_n [\mathcal{L}_{n_1 n_2}^{(0)} + \mathcal{L}_{n_3 n}^{(0)}]$

For  $n_1, n_2, n_3, \dots$  the collinear modes are distinct only if  $n_i \cdot n_j \gg \lambda^2$  for  $i \neq j$

eg.  $p_2 = Q n_2$ ,  $n_1 \cdot p_2 = Q n_1 \cdot n_2 \sim \lambda^2$  if  $n_1, n_2 \sim \lambda^2$   
 but then  $p_2$  is collinear to  $n_1$ , ie  $n_1$ -collinear.

So  $n_2$  is within RPI equivalence class defined by  $[n_1]$ .

• Discrete Symmetries

$$C^{-1} \xi_{n,p} C = - [\bar{\xi}_n, -p \cdot C]^T \quad p = (p^+, p^-, p^\perp)$$

$$P^{-1} \xi_{n,p} P = \gamma_0 \xi_{\bar{n}, \tilde{p}}(x_p) \quad \tilde{p} = (p^-, p^+, p^\perp)$$

$$T^{-1} \xi_{n,p} T = \gamma \xi_{\bar{n}, \tilde{p}}(x_T) \quad x_p = (x^-, x^+, -x_\perp)$$

$$x_T = (-x^-, -x^+, x^\perp)$$

① Propagator

$$\frac{i\alpha}{2} \frac{\Theta(\bar{n}\cdot p)}{n\cdot p + \frac{p_{\perp}^2}{\bar{n}\cdot p} + i\epsilon} + \frac{i\alpha}{2} \frac{\Theta(-\bar{n}\cdot p)}{+n\cdot p + \frac{p_{\perp}^2}{\bar{n}\cdot p} - i\epsilon} = \frac{i\alpha}{2} \frac{\bar{n}\cdot p}{n\cdot p \bar{n}\cdot p + p_{\perp}^2 + i\epsilon}$$

particles  $\bar{n}\cdot p > 0$

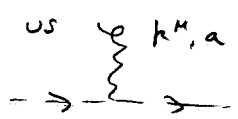
anti  $\bar{n}\cdot p < 0$

✓  
expn. of QCD

② Interactions


- only n-Aus gluons at LO

us  $\epsilon^{k^{\mu}, a}$




$$= i g T^a n^{\mu} \frac{\not{\epsilon}}{2}$$

only sees  $n\cdot k$  usoft momentum (multipole expn.)

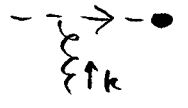
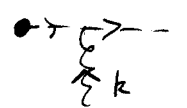
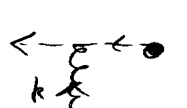
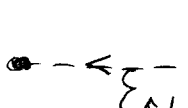


$$\frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot(p+k) + p_{\perp}^2 + i\epsilon} = \frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot k + p^2 + i\epsilon}$$

= on-shell  $\frac{\bar{n}\cdot p}{\bar{n}\cdot p n\cdot k + i\epsilon}$

(Compare Collinear Gluon   $\frac{\bar{n}\cdot(p+k)}{(p+k)^2 + i\epsilon}$ )

Propagator reduces to eikonal approx when appropriate

$\bar{n}\cdot p > 0$		$\bar{n}\cdot p < 0$	
			
$\frac{n^{\mu}}{n\cdot k + i\epsilon}$	$\frac{n^{\mu}}{-n\cdot k + i\epsilon}$	$\frac{n^{\mu}}{-n\cdot k - i\epsilon}$	$\frac{n^{\mu}}{n\cdot k - i\epsilon}$

Usoft - Collinear Factorization

Consider

$$= \Gamma \sum_m \sum_{\text{perms}} \frac{(-g)^m n \cdot A^{a_1} \dots n \cdot A^{a_m} T^{a_1} \dots T^{a_m}}{n \cdot k_1 n \cdot (k_1 + k_2) \dots n \cdot (\sum k_i)} \times U_n$$

on-shell so  $\frac{1}{n \cdot k + \frac{p^2}{\pi P}} \rightarrow \frac{1}{n \cdot k}$

Motivates us to consider a field redefinition

$$\Psi_{n,p}(x) = Y(x) \Psi_{n,p}^{(0)}(x) \quad A_{n,p} = Y A_{n,p}^{(0)} Y^\dagger$$

↑ adjoint version

$$Y(x) = P \exp \left( i g \int_{-\infty}^0 ds n \cdot A_{ns}^a(x+ns) T^a \right)$$

$$n \cdot D Y = 0, \quad Y^\dagger Y = 1 \quad \text{find } W = Y W^{(0)} Y^\dagger$$

$$\begin{aligned} \mathcal{L}_{\Psi\Psi}^{(0)} &= \bar{\Psi}_{n,p} \frac{\not{n}}{2} [in \cdot D + \dots] \Psi_{n,p} \\ &= \bar{\Psi}_{n,p}^{(0)} \frac{\not{n}}{2} [Y^\dagger in \cdot D_{us} Y + Y^\dagger (Y g \not{n} \cdot A_n Y^\dagger) Y + \dots] \Psi_{n,p} \\ &= \bar{\Psi}_{n,p}^{(0)} \frac{\not{n}}{2} \underbrace{[in \cdot D + g \not{n} \cdot A_n + \dots]}_{in \cdot D_c} \Psi_{n,p} \end{aligned}$$

↑ all  $n \cdot A_{us}$ 's disappear!

True for gluon action too

$$\mathcal{L}(\Psi_{n,p}, A_{n,B}, n \cdot A_{us}) = \mathcal{L}(\Psi_{n,p}^{(0)}, A_{n,B}^{(0)}, 0)$$

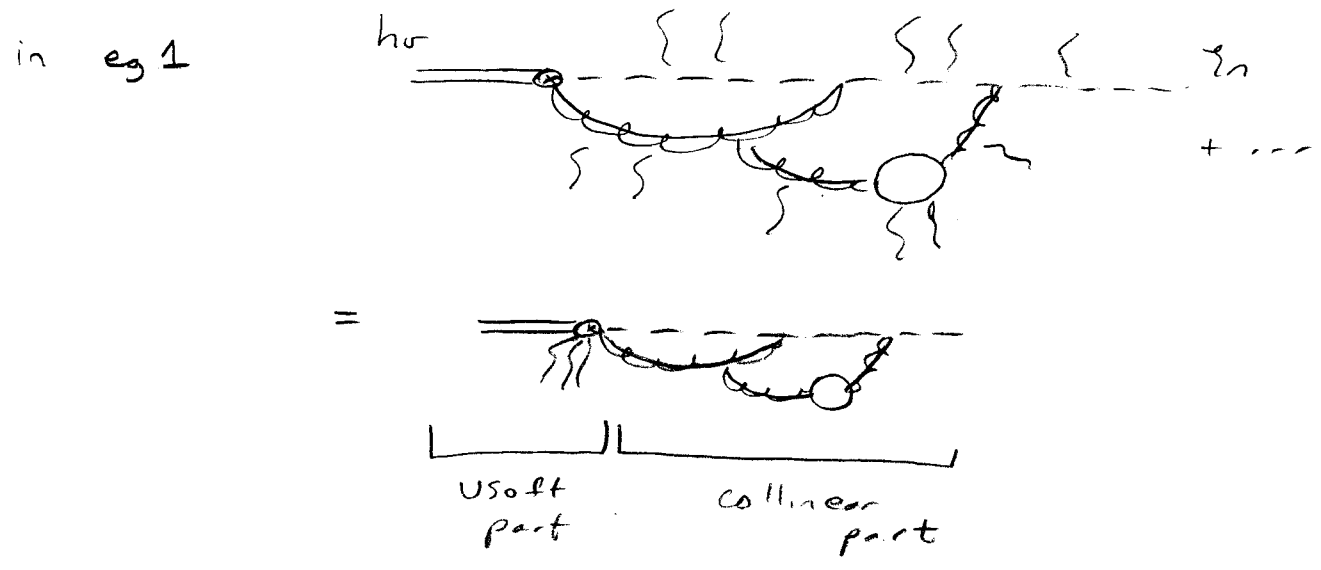
Interactions don't disappear, but are moved out of L.O.  $\mathcal{L}$  and into currents

eg 1  $J = \bar{\psi} W \Gamma h_v = \bar{\xi}_n^{(0)} \psi^+ \psi W^{(0)} \psi^+ \Gamma h_v$   
 $= (\bar{\xi}_n^{(0)} W^{(0)}) \Gamma (\psi^+ h_v)$

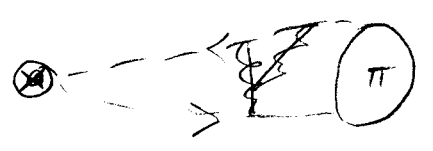
If our current was a collinear color singlet

eg 2  $J = (\bar{\psi}_n W) \Gamma (W^+ \xi_n) = \bar{\xi}_n^{(0)} W^{(0)} \cancel{\psi} \cancel{\psi} \Gamma (W^{(0)} \xi_n^{(0)})$

Quite powerful, sums an a class of diagrams



in eg 2 usoft gluons decouple at L.O. from any graph  
 This is color transparency



- usoft gluons decouple from energetic partons in color singlet state
- they just "see" overall color singlet due to multipole expansion

What about Wilson Coefficients?

have  $C(\bar{P}, \mu)$  is depend on large momenta  
 picked out by label operator  $\bar{P} \sim \lambda^0$

eg.  $C(-\bar{P}, \mu) (\bar{\psi}_n W) \Gamma_{hr} = (\bar{\psi}_n W) \Gamma_{hr} C(\bar{P}^+)$

must act on product  $(\bar{\psi}W)$  since only momentum  
 of this combination is gauge invariant

Write  $(\bar{\psi}W) \Gamma_{hr} C(\bar{P}^+) = \int d\omega C(\omega, \mu) [(\bar{\psi}W) \delta(\omega - \bar{P}^+) \Gamma_{hr}]$

$= \int d\omega C(\omega, \mu) O(\omega, \mu)$   
 $\uparrow \quad \uparrow$   
 convolution (as promised)  
 of "C" and collinear "O"

Hard-Collinear Factorization

Recall defn of  $W$  ,  $i\bar{n} \cdot D_c W = 0$  ,  $W^+ W = 1$

as operator  $i\bar{n} \cdot D_c W = W \bar{P}$   
 $i\bar{n} \cdot D_c = W \bar{P} W^+$   
 $(i\bar{n} \cdot D_c)^k = W \bar{P}^k W^+$

$f(i\bar{n} \cdot D_c) = W f(\bar{P}) W^+$  trades  $\bar{n} \cdot A \rightarrow W$   
 $\uparrow \quad \uparrow \quad \uparrow$   
 hard coefficient Part of collin op.  $p^2 \sim \lambda^2 a^2$   
 $= \int d\omega f(\omega) W \delta(\omega - \bar{P}) W^+$

In general we can define a convenient set of (collinear gauge invariant) building blocks for operators:

- $\chi_n \equiv (W_n^+ \xi_n)$  "quark jet-field"
- $\chi_{n,w} \equiv \delta(w-\bar{p}) (W_n^+ \xi_n)$
- operators  $\int dw_1 dw_2 C(w_1, w_2) \bar{\chi}_{n,w_1} \Gamma \chi_{n,w_2}$  etc.

- $\circ B_{n\perp}^\mu = \left[ \frac{1}{\bar{p}} W_n^+ [i\bar{n} \cdot D_n, iD_{n\perp}^\mu] W_n \right] = g A_{n\perp}^\mu + \dots$
- "gluon jet-field" for two physical gluon-pol.
- $\circ B_{n\perp,w}^\mu = [ \circ B_{n\perp}^\mu \delta(w-\bar{p}^+) ]$
- ↑ convention/choice, acts left inside [...]

Comments

All operators can be constructed solely from  $\{ \chi_n, \circ B_{n\perp}^\mu, \varphi_\perp^D \}$  + soft fields &  $D_{us}^\mu$ .

① Let  $i \circ D_n^\mu = W_n^+ i D_n^\mu W_n$  where  $i D_n^\mu$  has  $\begin{pmatrix} \bar{p} \\ p_\perp \\ i n \cdot \partial \end{pmatrix} + g \begin{pmatrix} n A_n^\mu \\ A_n^\mu \\ \bar{n} \cdot A_n \end{pmatrix}$

$\bar{n} \cdot i D_n = \bar{p}$

$i \circ D_n^{\perp\mu} = p_\perp^\mu + g \circ B_{n\perp}^\mu$ ,  $i n \cdot D_n = i n \cdot \partial + g n \cdot \circ B_n$

↑ analogous to defn  $\circ B_{n\perp}^\mu$

derivatives  $\bar{p} \chi_{n,w} = w \chi_{n,w}$  can be absorbed in coefficients

$i n \cdot \partial \chi_n = - (g n \cdot \circ B_n) \chi_n - i \circ D_{n\perp} \frac{1}{\bar{p}} i \circ D_{n\perp} \chi_n$  equation of motion for  $\chi_n$

↑ remove  $i n \cdot \partial$ 's

$i n \cdot \partial \circ B_{n\perp}^\mu = \dots$  eqn of motion

②  $w(g_n \cdot B_n)_w = 2 P_{\perp}^{\dagger} g \cdot B_{\perp, w} + \dots$   
 also part of gluon e.o.m.

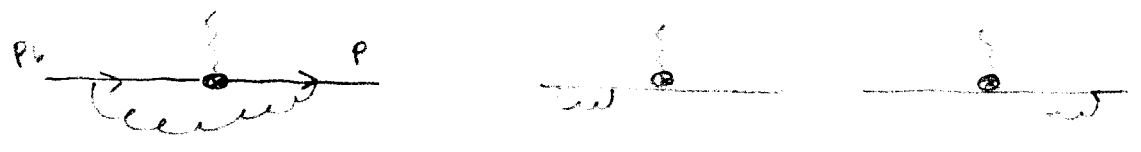
All other <sup>collinear</sup> operators,  $W_n^{\dagger} [i \not{D}_{\perp}^{\mu}, i \not{D}_{\perp}^{\nu}] W_n, \dots$   
 are reducible to  $\{ \chi_n, \not{B}_{\perp}^{\mu}, P_{\perp}^{\nu} \}$

③ Do need usoft derivatives, Field strengths,  $\not{D}_{us}$ , etc  
 Statement of RPI becomes  
 $i \not{D}_{\perp}^{\mu} + i \not{D}_{us \perp}^{\mu}, \quad \bar{P}_n + i \bar{n} \cdot \not{D}_{us}$   
 (equiv. to earlier, but w's around collinear  $\not{D}^{\mu}$  here, rather than usoft)

Loops, IR divergences, Matching & Running

Consider heavy-to-light current for  $b \rightarrow s \gamma$   
 $J^{QCD} = \bar{s} \Gamma b \quad \Gamma = \sigma^{\mu\nu} P_R F_{\mu\nu}$   
 $J^{SCET}_{LO} = (\bar{s} \omega) \Gamma h_v C(\bar{P}^+)$  [pre  $\psi$ -field redefn]

QCD graphs at one-loop, take  $p^2 \neq 0$  to regulate, Feyn Gauge  
 IR of collin quark



$= - \bar{u}_s \Gamma u_b \frac{d_s C_F}{4\pi} \left[ \ln^2 \left( \frac{-p^2}{m_b^2} \right) + 2 \ln \left( \frac{-p^2}{m_b^2} \right) + \dots \right]$

$Z_{tb} = 1 - \frac{d_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} + \frac{2}{\epsilon_{IR}} + 3 \ln \frac{\mu^2}{m_b^2} + \dots \right]$   $\leftarrow f(P \cdot P_b / m_b^2), \text{ IR finite}$

$Z_{\psi_s} = 1 - \frac{d_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} - \ln \frac{p^2}{\mu^2} \right]$   $\leftarrow$  full  $z$ 's (not  $m_s$ ) match for S-matrix

$Z_{\text{tensor}} = 1 + \frac{d_s C_F}{4\pi} \frac{1}{\epsilon}$   $\leftarrow$  Tensor current in QCD not conserved

$$um = \bar{u}_s \Gamma U_b \left[ 1 - \frac{\alpha_s C_F}{4\pi} \left\{ \ln^2\left(\frac{-p^2}{M_b^2}\right) + \frac{3}{2} \ln\left(\frac{-p^2}{M_b^2}\right) + \frac{1}{\epsilon_{IR}} + \dots \right\} \right]$$

SCET<sub>I</sub>

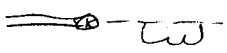
Feyn Gauge

usoft-loops



$$\int \frac{d^d k}{(v \cdot k + i0)(k^2 + i0)(n \cdot k + P^2/\bar{n} \cdot p + i0)} n \cdot v$$

$$= -\bar{u}_n \Gamma U_v \frac{\alpha_s C_F}{4\pi} \left[ \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln\left(\frac{\mu \bar{n} \cdot p}{-p^2 - i0}\right) + 2 \ln^2\left(\frac{\mu \bar{n} \cdot p}{-p^2}\right) + \frac{3\pi^2}{4} \right]$$

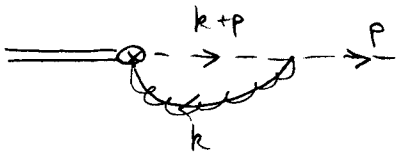


$\propto n^\mu n_\mu = 0$  in Feyn. Gauge



$$Z_{HART} = 1 + \frac{\alpha_s C_F}{4\pi} \left[ \frac{2}{\epsilon_{UV}} - \frac{2}{\epsilon_{IR}} \right]$$

collinear graphs



$$= \bar{u}_n \Gamma U_v \sum_{\substack{k \neq 0 \\ k \neq -p}} \int \frac{d^d k_r}{(\bar{n} \cdot k)(k^2)(k+p)^2} n \cdot \bar{n} \bar{n} \cdot (p+k)$$

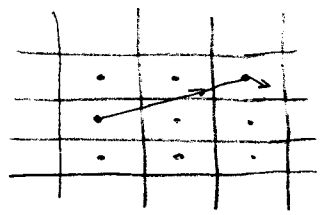
↑ each momentum has  $(k_e, k_r)$ , label & residual

label & residual ensure we can pick out LO piece (in particular for mixed collinear & usoft graphs). But now we want to turn  $\sum_{k_e} \int d^d k_r$  back into  $\int d^d k$  to do loop integration

$k_r^+$  is only  $+$ -momentum. So worry about  $k_e^+, k_r^+ \neq k_e^-, k_r^-$



Recall grid



was like Wilsonian EFT (with finite edges)

Continuum EFT: each grid point specifies an  $\infty$ -space of residual momenta ( $k_r \in \mathbb{R}$ ), subject to rules

Ignore  $k_e \neq 0, k_e \neq -p_e$

i)  $\sum_{k_e} \int dk_r = \int dk_e$  for  $-d \perp$  momenta  
 (use 1-dim notation for simplicity)

ii)  $\sum_{k_e} \int dk_r F(k_e) = \sum_{k_e} \int dk_r F(k_e + k_r) = \int dk_e F(k_e)$   
 (constant throughout each box) (continuous dummy var.)

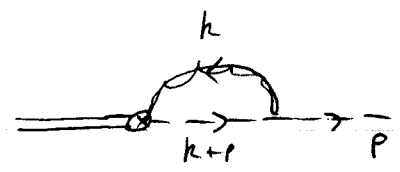
- This is the (simplified version of) main rule for obtaining  $\int dk_e$ . For each label loop momentum  $k_e$ , there will always be a corresponding  $k_r$  that we can absorb in this fashion.
- Recall that grid facilitated multipole expansion. For a purely collinear loop there is often no physical  $P_r^+, P_r^-$  flowing through it. In this case answer must reduce to what we get from considering  $\int d^d k_r$

iii)  $\sum_{k_e} \int dk_r (k_r)^j F(k_e) = 0$  for  $j > 0$  integer  
 dim-reg type rule which maintains Lorentz-Invar in residual space

iv) Ultrasoft external particles or loops give non-trivial  $l_r^\mu$  & hence residual momenta that we can not absorb

eg.  $\sum_{k_e} \int dk_r \int dl_r F(k_e, l_r) = \int dk_e \int dl_r F(k_e, l_r)$   
 (ultrasoft propagator (say))

Our example



$$\sum_{\substack{k \neq 0 \\ k \neq -p}} \int \frac{d^4 k}{(2\pi)^4} \frac{\bar{n} \cdot \bar{n} \bar{n} \cdot (p+k)}{\bar{n} \cdot k e (k \cdot k_r + k_e^2) [(k \cdot p_r) + (k \cdot p_e)^2]}$$

ignore restrictions on the sum for now

$$= \int \frac{d^4 k}{(2\pi)^4} \frac{\bar{n} \cdot \bar{n} \bar{n} \cdot (p+k)}{\bar{n} \cdot k k^2 (k+p)^2}$$

do as standard loop integral

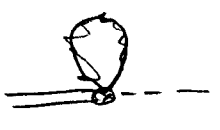
$$= \frac{d_s C_F}{4\pi} \left[ \frac{2}{\epsilon^2} + \frac{2}{\epsilon} + \frac{2}{\epsilon} \ln\left(\frac{\mu^2}{-p^2}\right) + \ln^2\left(\frac{\mu^2}{-p^2}\right) + 2 \ln\left(\frac{\mu^2}{-p^2}\right) + 4 - \frac{\pi^2}{6} \right]$$

minimized  $\mu^2 \sim p^2$  consistent with power counting



collinear w. fn. renormalization (same as massless QCD)

$$Z_g = 1 + \frac{d_s C_F}{4\pi} \left[ \frac{1}{\epsilon_{UV}} + \ln \frac{\mu^2}{-p^2} \right]$$



scaleless power-divergent\*

(Feyn. Gauge)

$\propto \bar{n}^2 = 0$  (Feyn.)

\* cancels unphysical singularity for  $\bar{n} \cdot (p+k) \rightarrow 0$ ,  $k_e$  fixed in  $\frac{p \cdot k}{m_b^2}$

Matching Compare QCD & SCET (kinematics  $b \rightarrow s \bar{s}$  sets  $p^- = m_b$ )

$$(\text{sum QCD})^{\text{ren}} = -\frac{d_s C_F}{4\pi} \left[ \ln^2\left(\frac{-p^2}{m_b^2}\right) + \frac{3}{2} \ln\left(\frac{-p^2}{m_b^2}\right) + \frac{1}{\epsilon_{IR}} + 2 \ln\left(\frac{\mu^2}{m_b^2}\right) + \dots \right]$$

$$(\text{sum SCET})^{\text{bare}} = -\frac{d_s C_F}{4\pi} \left[ \ln^2\left(\frac{-p^2}{m_b^2}\right) + \frac{3}{2} \ln\left(\frac{-p^2}{m_b^2}\right) + \frac{1}{\epsilon_{IR}} \right]$$

$$- \underbrace{\frac{1}{\epsilon^2} - \frac{5}{2\epsilon} - \frac{2}{\epsilon} \ln(\mu/m_b)}_{\text{UV renormalization}} - \underbrace{2 \ln^2\left(\frac{\mu}{m_b}\right) - \frac{3}{2} \ln \frac{\mu^2}{m_b^2}}_{\text{difference gives one-loop } C(m_b, \mu)} + \dots$$

— = some IR divergences

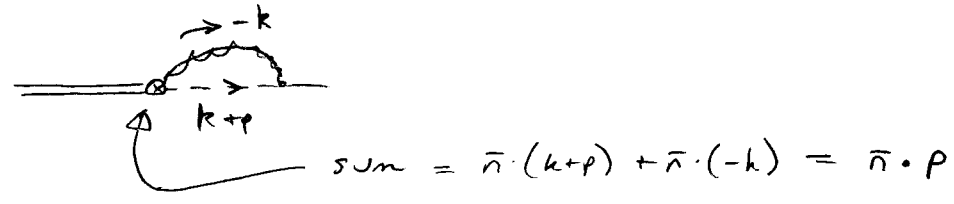
difference gives one-loop  $C(m_b, \mu)$

↓ discuss later

Note ①  $\sum_w C(w, \mu) \bar{\chi}_{n, w} \Gamma_{hr}$   
 $(\bar{\chi}_n w) \delta w, \bar{p}^+$  total momentum of  
 $\bar{\chi}_n \& w$  fixed as  $w$

so its always  $w = p^-$  above

• non-trivial example



② Should be careful with  $k_e \neq 0$ ,  $k_e \neq -p_e$  (Zero-Bin's)

Collinear momenta have non-zero labels

When  $k_e = 0$  gluon is usoft ( $k_e = -p_e$  quark is usoft)

These restrictions avoid double counting in SCET fields and hence also in results for loop integrations

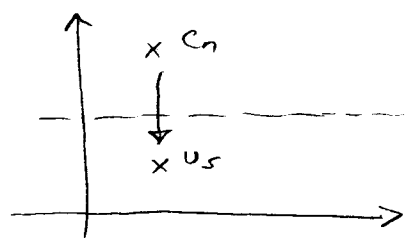
Rule ii) above with restrictions (encoded via propagators) is

$$\begin{aligned} \sum_{k_e \neq 0} \int dk_r F(k_e) &= \sum_{k_e} \int dk_r F(k_e) - \int dk_r F^{k_e \rightarrow 0}(0) \\ &= \sum_{k_e} \int dk_r F(k_e + k_r) - \int dk_r F^{k_e \rightarrow 0}(k_r) \\ &= \int dk [ F(k) - F^{k_e \rightarrow 0}(k) ] \end{aligned}$$

↑ zero-bin subtraction term

$F^{k_e \rightarrow 0}(k)$  is defined by taking scaling limit  $k_n^\mu \rightarrow k_{us}^\mu$   
 re  $k_n^\mu \sim \lambda^2$

and expanding to keep all subtractions that are same order in  $\lambda$  (dropping power suppressed terms, a "minimal subtraction")



subtraction ensures "Cn" has no non-trivial support in ultrasoft "us" region

our eg.



$$\int d^4k \left[ \frac{n \cdot \bar{n} \bar{n} \cdot (k+p)}{\bar{n} \cdot k (k+p)^2 k^2} - \frac{n \cdot \bar{n} \bar{n} \cdot p}{\bar{n} \cdot k (\bar{n} \cdot p \bar{n} \cdot k + p^2) k^2} \right]$$

↑ scaling limit

$$= \frac{i}{16\pi^2} \left[ \left( \frac{2}{\epsilon_{IR} \epsilon_{UV}} + \frac{2}{\epsilon_{IR}} \ln \frac{\mu^2}{-p^2} + \ln^2 \frac{\mu^2}{-p^2} + \left( \frac{2}{\epsilon_{UV}} - \frac{2}{\epsilon_{IR}} \right) \ln \frac{\mu}{\bar{n} \cdot p} + \dots \right) - \left( \left( \frac{2}{\epsilon_{IR}} - \frac{2}{\epsilon_{UV}} \right) \left( \frac{1}{\epsilon_{UV}} + \ln \frac{\mu^2}{-p^2} - \ln \frac{\mu}{\bar{n} \cdot p} \right) \right) \right]$$

zero in pure-dim reg.

- Subtraction:
- cancels  $\bar{n} \cdot q \rightarrow 0$  <sup>IR</sup> singularity of first term,
  - UV divergences come from  $\bar{n} \cdot q \rightarrow \infty$  & are indep. of IR regulator
  - here  $\epsilon_{IR} = \epsilon_{UV}$  and ignoring subtraction gives correct answer

- for other less inclusive calculations (eg. jet algorithms) or other regulators (eg.  $R_+^2 \leq k_+^2 \leq \Lambda_+^2$ ,  $R_-^2 \leq (k_-)^2 \leq \Lambda_-^2$ ) the subtraction is crucial to avoid double counting (get correct IR structure) & have UV div. indep. of IR regulator.

Renormalization in SCET & Summing Sudakov Logs

our eg.

$$C^{bare} = C + (Z_C - 1)C$$

need counter term

$$Z_C = 1 - \frac{d_S(\mu)}{4\pi} C_F \left( \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln \frac{\mu}{\omega} + \frac{5}{2\epsilon} \right)$$

$$\mu \frac{d}{d\mu} C^{bare} = 0 \rightarrow \mu \frac{d}{d\mu} C(\omega, \mu) = \gamma_C(\omega, \mu) C(\omega, \mu)$$

$$\gamma_C = -Z_C^{-1} \mu \frac{d}{d\mu} Z_C = \mu \frac{d}{d\mu} \frac{C_F \alpha_s(\mu)}{4\pi} \left( \frac{1}{\epsilon^2} + \frac{2}{\epsilon} \ln \frac{\mu}{\omega} + \frac{5}{2\epsilon} \right)$$

$$= \frac{C_F \alpha_s(\mu)}{4\pi} \left( -\frac{2}{\epsilon} - 4 \ln \frac{\mu}{\omega} - 5 + \frac{2}{\epsilon} \right) ; \quad \mu \frac{d}{d\mu} \alpha_s = -2\epsilon \alpha_s + \dots$$

$$= -\frac{\alpha_s(\mu)}{4\pi} \left( 4 C_F \ln \frac{\mu}{\omega} + 5 C_F \right)$$

$\uparrow$   
 LL, cusp anomalous dimension

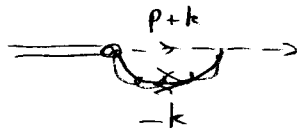
$\uparrow$   
 part of NLL

Running

In general we must be careful with coeffs since they act like operators  $C(\mu, \bar{P})$

In our eg.  $\bar{P} \rightarrow \bar{n} \cdot p$  of external field always

non-trivial case



$$C(\mu, \bar{n} \cdot (p+k) + \bar{n} \cdot (-k)) = C(\mu, \bar{n} \cdot p)$$

$$\mu \frac{d}{d\mu} C(\mu) = - \frac{d_s(\mu)}{\pi} C_F \ln\left(\frac{\mu}{\bar{P}}\right) C(\mu) \quad \text{LO anom dim}$$

Soln. QED  $d_s = \text{fixed}, C_F = 1$

$$C(\mu) = \exp\left[ \frac{-\alpha}{2\pi} \ln^2\left(\frac{\mu}{\bar{P}}\right) \right] \quad \text{Sudakov suppression}$$

$$QCD \quad C(\mu) = \exp\left[ \frac{-4\pi C_F}{\beta_0^2 d_s(m_b)} \left( \frac{1}{z} - 1 + \ln z \right) \right]$$

$$z = \frac{d_s(\mu)}{d_s(m_b)}$$

here  $m_b = \text{matching scale}$

In more complicated cases  $C(\bar{P}, \bar{P}^+)$  will be sensitive to  $\bar{n} \cdot k$  loop momentum and we'll get

$$\mu \frac{\partial}{\partial \mu} C(\mu, w) = \int dw' \gamma(w, w') C(\mu, w')$$

examples

DIS

Altarelli-Parisi evolution

$$\gamma^* \pi^0 \rightarrow \pi^0$$

Brodsky-Lepage "

$$\gamma^* p \rightarrow \gamma p'$$

Deeply-virtual Compton Scatting

these are actually all the evolution of a single SCET operator

$$(\bar{\psi}_n W) C(\bar{P}, \bar{P}^+) (W^* \psi_n)$$

Note: series in  $\ln C(\mu)$

		one-loop	two-loop	3-loop
LL	$\alpha_s^n \ln^{n+1}$	$\gamma_e^2$	—	—
NLL	$\alpha_s^n \ln^n$	$\gamma_e$	$\gamma_e^2$	—
NNLL	$\alpha_s^n \ln^{n-1}$	matching	$\gamma_e$	$\gamma_e^2$

$$\gamma_e^2 \rightarrow \gamma_e \ln(\mu) \text{ term}$$

Differs from single log case somewhat

At LHC, Sudakov effects are important in

- Parton showers [Prob. to evolve without branching]
- Jets

Recall

SCET I

hard	$p^\mu \sim (Q, Q, Q)$	$C = H$
collin	$(Q\lambda^2, Q, Q\lambda)$	
usoft	$(Q\lambda^2, Q\lambda^2, Q\lambda^2)$	

↑ non-trivial communication between sectors

SCET II

(still to come)

hard	$(Q, Q, Q)$
hard-collin	$(Q\lambda, Q, \sqrt{Q\lambda})$
collin	$(Q\lambda^2, Q, Q\lambda)$
soft	$(Q\lambda, Q\lambda, Q\lambda)$

Results for observables which tie d.o.f. together are "Factorization Theorems"



Processes

- $\gamma^* \gamma \rightarrow \pi^0$

$\pi$ - $\gamma$  form factor at  $Q^2 \gg \Lambda^2$  for  $\gamma^*$

Breit frame  $q^\mu = \frac{Q}{2} (n^\mu - \bar{n}^\mu)$ ,  $p_\gamma^\mu = E \bar{n}^\mu$

$$p_\pi^\mu = \frac{Q}{2} n^\mu + \underbrace{\left( E - \frac{Q}{2} \right)}_{m_\pi^2/2Q} \bar{n}^\mu$$

pion = collinear in  $\bar{n}$ -direction (SCET<sub>II</sub>)
- $\gamma^* M \rightarrow M'$

$M$ - $M'$  (meson) form factor  $Q^2 \gg \Lambda^2$  for  $\gamma^*$

$M$  = collinear in  $n$

$M'$  = " "  $\bar{n}$  (say) (SCET<sub>I</sub>)
- $B \rightarrow D \pi$

Matrix ELT. of 4-quark operators

$Q = \{ M_b, M_c, E_\pi \} \gg \Lambda$

$B, D$  are soft  $p^2 \sim \Lambda^2$ ,  $\pi$ -collinear (SCET<sub>II</sub>)
- DIS

$e-p \rightarrow e-X$

Structure Functions at  $Q^2 \gg \Lambda^2$

and  $1-x \gg \Lambda/Q$  (ie not near endpts in Bjorken  $x$ )

Breit frame: proton  $n$ -collinear,  $X$ -hard (SCET<sub>II</sub> OR SCET<sub>I</sub>)
- Drell-Yan

$p\bar{p} \rightarrow l^+ l^- X$

$\frac{d\sigma}{dQ^2}$   $Q^2 = \text{inv. mass of } l^+ l^- \gg \Lambda^2$

$p$  -  $n$ -collin,  $\bar{p}$  -  $\bar{n}$ -collin,  $X$ -hard
- $e^+ e^- \rightarrow \text{jets}$

$\bar{p} \rightarrow \text{jets}$

$p\bar{p} \rightarrow \text{jets}$

depends on observable we formulate

eg two jets  $n$ -collin jet

$\bar{n}$ -collin jet

etc.

JIS

A rich subject, only aspects related to QCD factorization are covered here using SCET

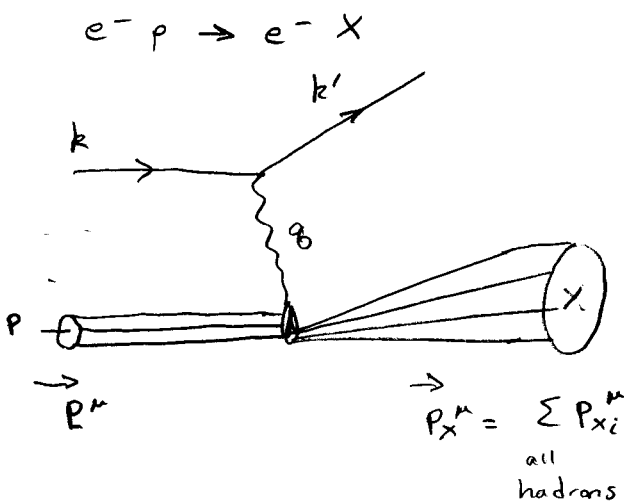
Refs:

§ 1.8 of text

Aneesh M.'s review: hep-ph/9204208

Bob J.'s review: hep-ph/9602236

paper: hep-ph/0202088 (for material below)



$$Q^2 \gg \Lambda^2$$

$$q^2 = -Q^2, \quad x = \frac{Q^2}{2P \cdot q}$$

$$P_X^\mu = P^\mu + q^\mu$$

$$P_X^2 = \frac{Q^2}{x} (1-x) + M_p^2$$

regions

$P_X^2$	$(\frac{1}{x} - 1)$	
$\sim Q^2$	$\sim 1$	inclusive OPE
$\sim Q\Lambda$	$\sim 1/Q$	endpt. region
$\sim \Lambda^2$	$\sim \Lambda^2/Q^2$	resonance region

Parton Variables



Struck quark carries some fraction  $\xi$  of proton momentum

$$\bar{n} \cdot p = \xi \bar{n} \cdot P$$

$$p'^2 \approx Q^2 \left( \frac{\xi}{x} - 1 \right)$$

we'll see how to formulate  $\xi$  in QCD

$e-p \rightarrow e-p'$   
 $\uparrow$   
 eg. excited state

Frames

Breit Frame

$$q^\mu = \frac{Q}{2} (\bar{n}^\mu - n^\mu)$$

$$P^\mu = \frac{n^\mu}{2} \bar{n} \cdot P + \frac{\bar{n}^\mu m_p^2}{2 \bar{n} \cdot P} = \frac{n^\mu}{2} \frac{Q}{x} + \dots \text{collinear}$$

$$P_x^\mu = \frac{n^\mu}{2} Q + \frac{\bar{n}^\mu}{2} \frac{Q(1-x)}{x} + \dots \text{hard}$$

Proton is made of collinear quarks and gluons

Rest Frame

$$P^\mu = \frac{m_p}{2} (n^\mu + \bar{n}^\mu)$$

soft

$$q^\mu = \frac{\bar{n}^\mu}{2} \frac{Q^2}{m_p x} - \frac{n^\mu}{2} m_p x + \dots$$

$$P_x^\mu = \text{sum}$$

"collinear"  $P_x^2 \sim Q^2$

Like  $B \rightarrow X c e \nu$  we can write cross-section in terms of leptonic & hadronic tensors

$$d\sigma = \frac{d^3 k'}{2 |k'|} \frac{e^4}{s Q^4} L^{\mu\nu}(k, k') W_{\mu\nu}(P, q)$$

we'll look at

spin-avg. case

$$W_{\mu\nu} = \frac{1}{\pi} \text{Im} T_{\mu\nu}$$

$$T_{\mu\nu} = \frac{1}{2} \sum_{\text{spin}} \langle P | \hat{T}_{\mu\nu}(q) | P \rangle$$

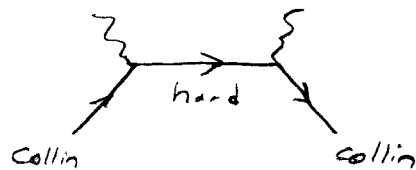
$$\hat{T}_{\mu\nu} = i \int d^4 x e^{i q \cdot x} T [ J_\mu(x) J_\nu(0) ]$$

↑  
e.m. currents

$$T_{\mu\nu} = \left( -g_{\mu\nu} + \frac{g_{\mu}g_{\nu}}{Q^2} \right) T_1(x, Q^2) + \left( \frac{P_{\mu} + g_{\mu}}{2x} \right) \left( \frac{P_{\nu} + g_{\nu}}{2x} \right) T_2(x, Q^2)$$

satisfies current conservation, P, C, T, etc.

Want imaginary part of forward scattering



First Match onto SCET ops.  
at L.O. :



↑ gluon initiates

$$\hat{T}^{\mu\nu} = \frac{g_{\perp}^{\mu\nu}}{Q} \left( O_1^{(i)} + \frac{O_1^g}{Q} \right) + \frac{(n^{\mu} + \bar{n}^{\mu})(\bar{n}^{\nu} + n^{\nu})}{Q} \left( O_2^{(i)} + \frac{O_2^g}{Q} \right)$$

$O(\lambda^2)$  operators

↓ flavor = u, d, ...

$$O_j^{(i)} = \bar{\psi}_{n, P}^{(i)} \not{W} \frac{\not{\bar{n}}}{2} C_j^{(i)} (\bar{P}_+, \bar{P}_-) W^+ \psi_{n, P}^{(i)}$$

$$O_j^g = \text{tr} [ W^+ B_{\perp}^a W C_j^g (\bar{P}_+, \bar{P}_-) W^+ B_{\perp a} W ]$$

where  $i\partial_{\perp}^a \equiv [i\bar{n} \cdot D_{\perp}, iD_{\perp a}] \sim \lambda \sim \psi_n$

$$\bar{P}_{\pm} = \bar{P}^+ \pm \bar{P}$$

$O_j^{(i)}$  will lead to quark, anti-quark p.d.f.'s

$O_j^g$  " " gluon p.d.f.'s

Quark contribution in detail :

$$O_j^{(i)} = \int dw_1 dw_2 C_j^{(i)}(w_+, w_-) \left[ \underbrace{(\bar{\psi}_n(w))_{w_1}}_{\uparrow S(w_1 - \bar{P}^+)} \frac{\not{\bar{n}}}{2} \underbrace{(w^+ \psi_n)_{w_2}}_{\uparrow S(w_2 - \bar{P})} \right]$$

$$w_{\pm} = w_1 \pm w_2$$

coord space  $f_{i/p}(z) = \int d^4y e^{-i2z\bar{n}\cdot p y} \langle p | \bar{\psi}(y) W(y,-y) \not{n} \psi(y) | p \rangle$   
 parton distn for quark  $i$  in proton  $p$

$\bar{f}_{i/p}(z) = -f_{i/p}(-z)$  for anti-quark

mom.

space  $\langle p_n | (\bar{\psi}_n W)_{w_1} \not{n} (W \psi_n)_{w_2} | p_n \rangle = 4\bar{n}\cdot p \int_0^1 d\xi \delta(w_-)$

\*  $\left[ \delta(w_+ - 2\xi\bar{n}\cdot p) f_{i/p}(\xi) - \delta(w_+ + 2\xi\bar{n}\cdot p) \bar{f}_{i/p}(\xi) \right]$

recall  $\begin{matrix} \uparrow & & \uparrow \\ \text{positive } w_1 = w_2 & \text{gives} & \text{negative } w_1 = w_2 \\ \text{particles} & & \text{gives anti-particles} \end{matrix}$

$(\bar{\psi}_n W)_w \not{n} (W \psi_n)_w$  is a number operator for collinear quarks with momentum  $w$  a parton

[ If we tried to couple usoft or soft gluons to this op. its a singlet so they decouple, more later ]

Charge Conjugation

$C_j^{(i)}(-w_+, w_-) = -C_j^{(i)}(w_+, w_-)$

- relates Wilson-Coeff for quarks & anti-quarks at operator level
- Only need matching for quarks
- $\delta$ -functions set  $w_- = 0, w_+ = 2\xi\bar{n}\cdot p = 2Q \frac{q}{x}$

Relate basis

$$\frac{1}{\pi} \text{Im } T_1 = \int [d\omega] \quad -\frac{1}{Q} \left( \frac{1}{\pi} \text{Im } c_1(\omega) \right) \langle O^{(1)}(\omega) \rangle$$

$$\frac{1}{\pi} \text{Im } T_2 = \int [d\omega] \quad \left( \frac{4x}{Q} \right)^2 \frac{1}{Q} \frac{1}{\pi} \text{Im} \left( c_2(\omega) - \frac{c_1(\omega)}{4} \right) \langle O^{(1)}(\omega) \rangle$$

Define  $H_j(z) = \frac{\text{Im}}{\pi} c_j(2Qz, 0, Q^2, \mu^2)$

$\omega_+, \omega_-$

do  $\omega_{\pm}$  with  $\delta$ -functions

$$T_1(x, Q^2) = -\frac{1}{x} \int_0^1 d\xi \quad H_1^{(1)}\left(\frac{\xi}{x}\right) [f_{i/p}(\xi) + \bar{f}_{i/p}(\xi)]$$

$$T_2(x, Q^2) = \frac{4x}{Q^2} \int_0^1 d\xi \quad \left( 4H_2^{(1)}\left(\frac{\xi}{x}\right) - H_1^{(1)}\left(\frac{\xi}{x}\right) \right) [f_{i/p}(\xi) + \bar{f}_{i/p}(\xi)]$$

- this is factorization for DIS (to all order in  $d_s$ ) into computable coefficients  $H_i$

universal non-pert. functions  $f_{i/p}, \bar{f}_{i/p}$   
(show up in many processes)

- Coefficients  $c_j$  were dimensionless and can only have  $d_s(\mu) \ln(\mu/a)$  dependence on  $Q$   
→ Bjorken scaling

[Analysis valid to LO in  $\frac{\Lambda^2}{Q^2}$ ]

- $H_i(\mu) f_{i/p}(\mu)$  traditionally this  $\mu$ -dependence is called the "factorization-scale"  $\mu = \mu_F$  & one also has "renorm. scale"  $d_s(\mu = \mu_R)$

In SCET the  $\mu$  is just the ren. scale in SCET. We have new UV divergences associated with running of p.d.f., along with running for  $d_s(\mu)$ .

- Tree Level Matching  
(upon which a lot of intuition is based)



find just  $g_{\perp}^{\mu\nu}$  ie  $C_2 = 0$

↳ Callan-Gross relation  
that  $w_1/w_2 = Q^2/4x^2$

$$C_1(w_+) = 2e^2 Q_i^2 \left[ \frac{Q}{(w_+ - 2Q) + i\epsilon} - \frac{Q}{-(w_+ + 2Q) + i\epsilon} \right]$$

↑  
charges

$$H_1 = -e^2 Q_i^2 \delta\left(\frac{z}{x} - 1\right) \quad \text{gives parton-model interpretation}$$

$z = x$

One-Loop Renormalization of PDF

$$f_q(z) = \langle P_n | \bar{\chi}_n(0) \frac{\not{x}}{z} \chi_{n,\omega}(0) | P_n \rangle \quad \text{with } \omega = x p^+ > 0$$

Renormalize operator in EFT with dim. reg.,  $\gamma_{EUV}$  is

Loops can change  $\omega$  (or  $z$ ), and parton type

$$f_i^{\text{bare}}(z) = \int d^2z' \gamma_{ij}(z, z') f_j(z', \mu)$$


$\leftarrow \gamma_E$ 's,  $\alpha_s(\mu)$       $\leftarrow$  finite, but IR div. (encodes NCS effects)

$$\mu \frac{d}{d\mu} f_i(z, \mu) = \int d^2z' \gamma_{ij}(z, z') f_j(z', \mu)$$

$$\gamma_{ij} = - \int d^2z'' \underbrace{z_{ii'}^{-1}(z, z'')}_{\delta_{ii'} \delta(z-z'')} \mu \frac{d}{d\mu} z_{i'j}(z'', z')$$

$$\gamma_{ij}^{1\text{-loop}} = - \mu \frac{d}{d\mu} [z_{ij}(z, z')]^{1\text{-loop}}$$

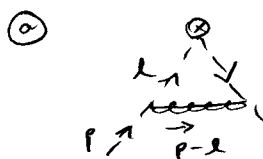
tree level



$$= \bar{u}_n \frac{\not{x}}{z} u_n \delta(\omega - p^+) = \delta(1 - \omega/p^+)$$

one-loop

use offshellness  $P^2 = p^+ p^- \neq 0$  to regulate IR



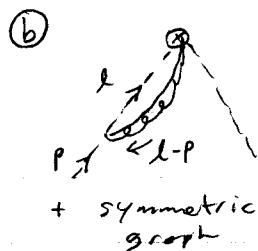
$$= -i g^2 C_F \int \frac{d^d l}{(4\pi)^d} \frac{p^-(d-2) l_\perp^2 \delta(l^+ - \omega)}{[l^2 + i0]^2 [(l-p)^2 + i0]} \frac{\mu^{2\epsilon} e^{\epsilon\gamma_E}}{(4\pi)^\epsilon}$$

$$= \frac{2g^2 C_F}{(4\pi)^2} (1-\epsilon)^2 \Gamma(\epsilon) e^{\epsilon\gamma_E} (1-z) \theta(z) \theta(1-z) \left(\frac{A}{\mu^2}\right)^{-\epsilon}$$

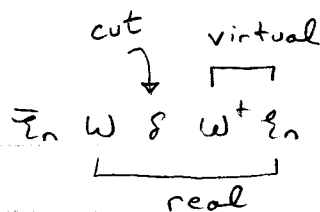
$$= \frac{\alpha_s C_F}{\pi} (1-z) \theta(z) \theta(1-z) \left[ \frac{1}{2\epsilon} - 1 - \frac{1}{2} \ln\left(\frac{A}{\mu^2 z}\right) \right]$$

$$A = -p^+ p^- z(1-z), \quad z = \omega/p^+$$





two contractions



real virtual

$$= 2 i g^2 C_F \int \frac{d^d l}{(l-p)(l^2)(l-p)^2} \bar{u}_n \frac{\not{l}}{2} \frac{\not{l}}{2} \not{l} u_n [\delta(l-w) - \delta(p-w)]$$

$$= \frac{C_F d_S(p)}{\pi} e^{\epsilon \gamma_E} \Gamma(\epsilon) \left[ \frac{z \theta(z) \theta(1-z)}{(1-z)^{1+\epsilon}} \left( \frac{-p^+ z - i0}{\mu^2} \right)^{-\epsilon} - \delta(1-z) \left( \frac{-p^+ p^- - i0}{\mu^2} \right)^{-\epsilon} \frac{\Gamma(2-\epsilon) \Gamma(-\epsilon)}{\Gamma(2+\epsilon)} \right]$$

Distribution Identity

$$\frac{\theta(1-z)}{(1-z)^{1+\epsilon}} = -\frac{\delta(1-z)}{\epsilon} + \mathcal{L}_0(1-z) - \epsilon \mathcal{L}_1(1-z) + \dots$$

plus-functions       $\mathcal{L}_n(x) = \left[ \frac{\theta(x) \ln^n x}{x} \right]_+$

$$\int_0^1 dx \mathcal{L}_n(x) = 0, \quad \int_0^1 dx \mathcal{L}_n(x) g(x) = \int_0^1 dx \frac{\ln^n x}{x} [g(x) - g(0)]$$

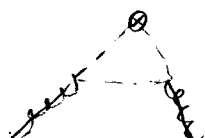
- $\mathcal{V}_{\epsilon^2}$  terms in real & virtual terms cancel
- remaining  $\mathcal{V}_{\epsilon}$  is UV

$$= \frac{C_F d_S(p)}{\pi} \left[ \left\{ \delta(1-z) + z \theta(z) \mathcal{L}_0(1-z) \right\} \left( \frac{1}{\epsilon} + \ln \frac{\mu^2}{-p^+ p^- z - i0} \right) - z \mathcal{L}_1(1-z) \theta'(z) + \delta(1-z) \left( 2 - \frac{\pi^2}{6} \right) \right]$$



$$= \delta(1-z) (z\psi-1) = \frac{d_S C_F}{\pi} \left[ \frac{-1}{4\epsilon} - \frac{1}{4} - \frac{1}{4} \ln \left( \frac{\mu^2}{-p^+ p^- - i0} \right) \right] \delta(1-z)$$

[ We'll ignore



which mixes  $\mathcal{O}_{gluon}^f$  &  $\mathcal{O}_{quark}^f$   
 this is <sup>only</sup> strictly correct if quark operator is not a flavor singlet  
 eg.  $\bar{u}(\dots)d$

$$\begin{aligned}
 \text{Sum} &= \frac{C_F \alpha_s(\mu)}{\pi} \left[ \left\{ \frac{3}{4} \delta(1-z) + z \theta(z) \gamma_0(1-z) + \frac{(1-z)}{2} \theta(z) \theta(1-z) \right\} \left( \frac{1}{\epsilon} + \mathcal{O}(\epsilon) \right) \right. \\
 &\quad \left. + \text{finite fn. of } z \right] \\
 &= \frac{C_F \alpha_s(\mu)}{\pi} \left[ \underbrace{\frac{1}{2} \left( \frac{1+z^2}{1-z} \right)_+}_{\text{determines } \gamma_{gg}^{1\text{-loop}}} \left[ \frac{1}{\epsilon} + \mathcal{O}(\epsilon) \right] + f_{1-z} \right]
 \end{aligned}$$

$\mu d/d\mu \alpha_s = -2\epsilon \alpha_s + \dots$

Let total momentum of state be  $P^-$ ,  $p^-/P^- = z'$   
 $z = w/p^- = \frac{z' P^-}{z' P^-} = z'/z'$ ,  $d$  extra multiplicative  
 $P^-/p^- = 1/z'$  to swap  
 to proper norm for spinors

$$\gamma_{gg}^{1\text{-loop}} \rightarrow \gamma_{gg}(z, z') = \frac{C_F \alpha_s(\mu)}{\pi} \frac{\theta(z'-z) \theta(1-z')}{z'} \left( \frac{1+z^2}{1-z} \right)_+$$

Altarelli-Parisi (DGLAP) anomalous dimension

# Soft-Collinear Interactions (SCET<sub>II</sub>)

Recall  $q = q_s + q_c \sim Q(\lambda, 1, \lambda)$

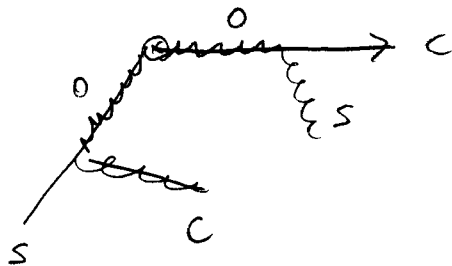
$$q^2 = Q^2 \lambda \gg (Q\lambda)^2$$

offshell w.r.t  $s, c$

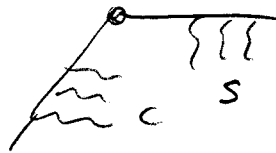
On-shell modes  $q^\mu \sim Q(\lambda, 1, \sqrt{\lambda})$  are hard-collinear compared to collinear  $q^\mu \sim Q(\lambda^2, 1, \lambda)$

Integrating out these fluctuations builds up a soft Wilson line  $S_n$  (analogous to  $\Upsilon(n \cdot A_{us})$  but with soft fields)

Toy eg. heavy-to-light soft-collin current  $\bar{\chi}_n \Gamma h_v$   
 $s = \text{soft}, c = \text{collinear}$



adding more gives

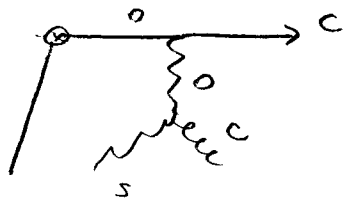
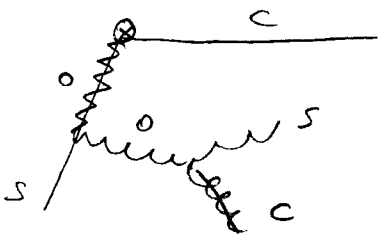


$$\bar{\chi}_n S_n^+ \Gamma W h_v$$

$$S_n^+ [n \cdot A_{us}]$$

$$W [\bar{n} \cdot A_c]$$

In QCD need 3-gluon, 4-gluon vertices too; these flip order of  $s^+ \nabla W$



$(\bar{\chi}_n W)$	$\Gamma$	$(S_n^+ h_v)$
collinear		soft
gauge invariant		gauge invariant

[can be extended to all orders]

this is soft-collinear factorization

Another Method

- construct SCET<sub>II</sub> operators using SCET<sub>I</sub>

- i) Match QCD onto SCET<sub>I</sub>
  - usoft  $p_u^2 \sim \Lambda^2$
  - collinear  $p_c^2 \sim Q\Lambda$
- ii) Factorize usoft with field redefinition
- iii) Match SCET<sub>I</sub> onto SCET<sub>II</sub>
  - soft  $p_s^2 \sim \Lambda^2$
  - collin  $p_c^2 \sim \Lambda^2$

Notes

- this gives us a simple procedure to construct SCET<sub>II</sub> ops. (even though they're non-local)
- usoft fields in I are renored soft for II

eg.

- i)  $J^I = (\bar{\psi}_n w) \Gamma h_v$
- ii)  $J^I = (\bar{\psi}_n^{(s)} w^{(s)}) \Gamma (\psi^+ h_v)$
- iii)  $J^{II} = (\bar{\psi}_n w) \Gamma (S^+ h_v)$       as before

$\uparrow$   
 here all T-products in SCET<sub>I</sub> & SCET<sub>II</sub> match up, so matching was trivial

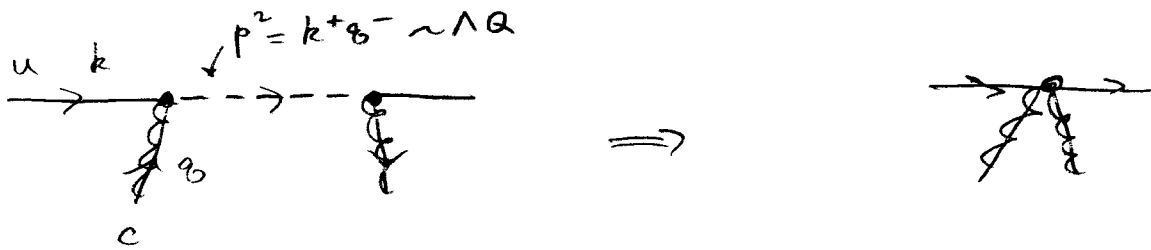
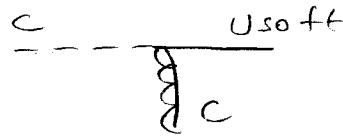
"Thm" • In Cases where we have T-products in SCET<sub>I</sub> with  $\geq 2$  operators involving both collin & usoft fields, we can generate a non-trivial coefficient in SCET<sub>II</sub> (jet - function J)

eg.

$$\int d^d p_- d^d k_+ J(p_-, k_+) \overbrace{(\bar{\psi} w)_{p_-} \Gamma (S^+ \psi_s)_{k_+}}^{p^2 \sim \Lambda^2}$$

$\uparrow$        $\uparrow$   
 SCET<sub>I</sub> loops      ind's allow  
 $p^2 \sim Q\Lambda$        $k_+$  dependence

eg. two operators



when we lower offshells of ext. collin fields  
the intermediate line still has  $p^2 \sim Q\Lambda$   
and must really be integrated out

P.C.  $T^\Gamma \sim \lambda^{2K} \Rightarrow O^\Pi \sim \eta^{K+E}$

where  $\lambda^2 = \eta = \frac{\Lambda}{Q}$

factor  $E > 0$  from changing the scalg of ext. fields

eg.  $\zeta_I \sim \lambda$   
 $\zeta_{II} \sim \eta = \lambda^2$

$\Rightarrow$  No mixed soft-collin  $\zeta$  at leading order  
- after field redefn no mixed  $\zeta_I$  ops at LO

- mixed  $\zeta_I^{(1)}$  gives  $T\{\zeta_I^{(1)}, \zeta_I^{(1)}\} \sim \lambda^2$   
matches onto  $O_{II} \sim \eta$  or higher

SCET<sub>I</sub>  $\lambda^\delta$

$$\delta = 4 + 4u + \sum_k (k-4) V_k^c + (k-8) V_k^u$$

$\uparrow$   $u=1$  noc., else  $u=0$ 
 $\downarrow$  rest
 $\downarrow$  pure usoft

SCET<sub>I</sub>

$$\delta = 4 + \sum_k (k-4) (V_k^c + V_k^s + V_k^{sc}) + L^{sc}$$

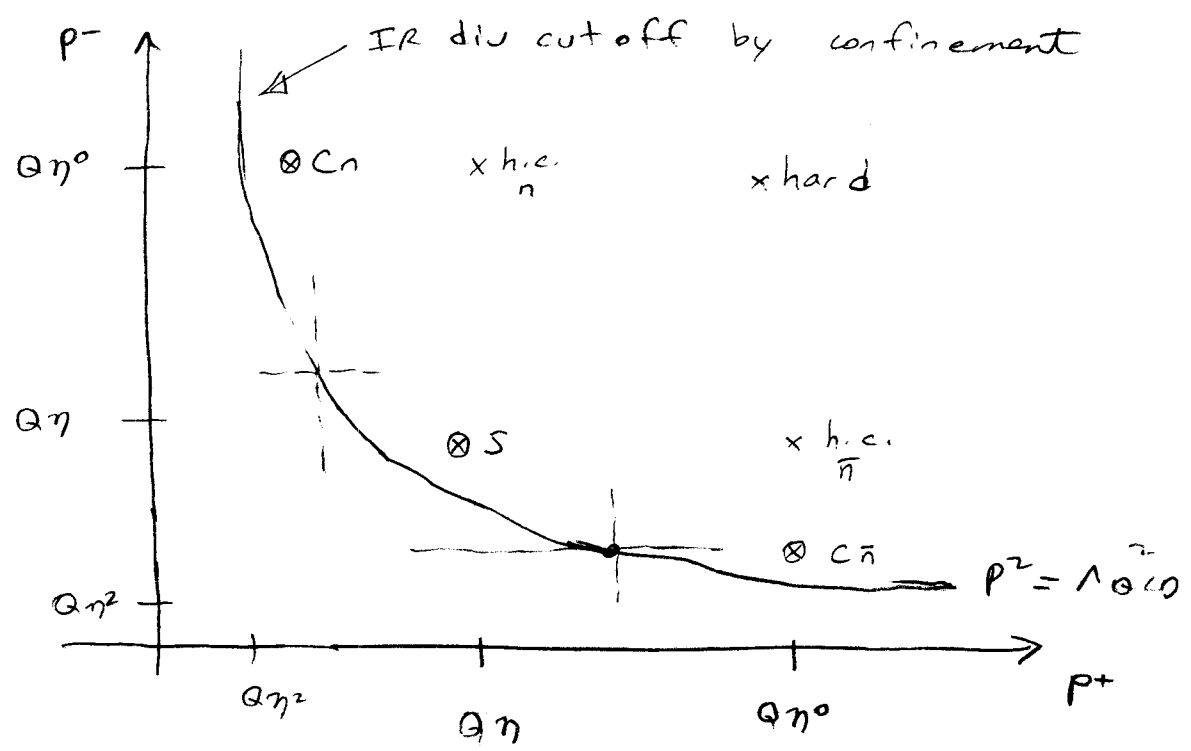
$\uparrow$                      $\uparrow$                      $\uparrow$                      $\uparrow$   
 pure                    pure                    mixed                     $p \sim (\eta^2, \eta, \eta)$   
 c                            s    loops

$$\delta = 5 - N_c - N_s + \sum_k (k-4) (V_k^s + V_k^c) + (k-3) V_k^{sc}$$

$\uparrow$     $\uparrow$   
 # connected  
 soft, collin components

[ in eq. SCET<sub>I</sub>     $\lambda^3 \lambda \frac{1}{\lambda^2} \lambda^3 \lambda \sim \lambda^{6-4} \sim \lambda^2$      $\Rightarrow$      $(\eta^{3/2} \eta)^2 \frac{1}{\eta} = \eta^{4-3} = \eta$  ]  
 or     $\lambda * \lambda \sim \lambda^2$

$$\mathcal{L}_{SCET^I} = \mathcal{L}_{soft}^{(0)} [B_s, A_s] + \mathcal{L}_{collin-\bar{n}}^{(0)} [B_{\bar{n}}, A_{\bar{n}}] + \mathcal{L}_{collin-\bar{n}}^{(0)} [B_{\bar{n}}, A_{\bar{n}}]$$



Non-pert d.o.f. in different sectors

$B \rightarrow \pi\pi$



Exclusive

eg.  $\gamma^* \gamma \rightarrow \pi^0$

hard-collin factorization

[Breit frame: soft modes have no active role so this does not really probe difference between SCET<sub>I</sub> & SCET<sub>II</sub>]

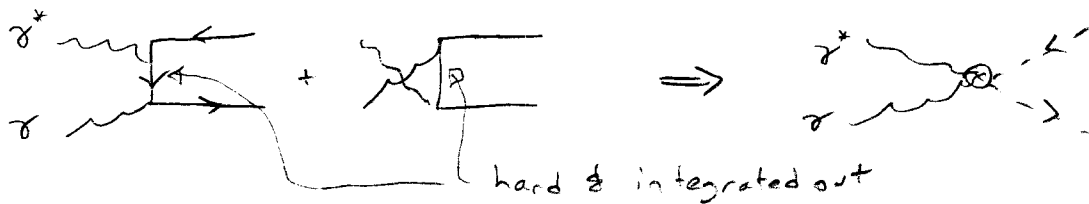
QCD has

$$\begin{aligned} \langle \pi^0(p_\pi) | J_\mu(\hat{Q}) | \gamma(p_\gamma, \epsilon) \rangle &= ie E^3 \int d^4z e^{-ip_\gamma \cdot z} \langle \pi^0(p_\pi) | T J_\mu(\hat{Q}) J_0(z) | 0 \rangle \\ &= -ie F_{\pi\gamma}(Q^2) \epsilon_{\mu\nu\rho\sigma} p_\pi^\nu \epsilon^\rho q^\sigma \end{aligned}$$

e.m. current  $J^\mu = \bar{\Psi} \hat{Q} \gamma^\mu \Psi$ ,  $\hat{Q} = \frac{\tau_3}{2} + \frac{1}{6} = \begin{pmatrix} 2/3 & 0 \\ 0 & -1/3 \end{pmatrix}$

For  $Q^2 \gg \Lambda^2$   $F_{\pi\gamma}$  simplifies (aka Brodsky-Lepage)

Frame:  $q^\mu = \frac{Q}{2} (n^\mu - \bar{n}^\mu)$ ,  $p_\gamma^\mu = E \bar{n}^\mu$   
 $p_\pi^\mu = p + p_\gamma = \frac{Q}{2} n^\mu + (E - \frac{Q}{2}) \bar{n}^\mu$



SCET Operator at leading order (for T-product) is

$$O = \frac{ie^+_{\mu\nu}}{Q} [\bar{\chi}_{n,p} w] \Gamma C(\bar{p}, \bar{p}^+, \mu) [w^+ \chi_{n,p'}]$$

order  $\lambda^2$  ("twist-2")

- obeys current conservation
- dim analysis fixes  $\frac{1}{Q}$  pre-factor for C dimless
- Charge Conj:  $T \{J, J\}$  even so O even  
 so  $C(\mu, \bar{p}, \bar{p}^+) = C(\mu, -\bar{p}^+, -\bar{p})$

- flavor & spin structure

$$\Gamma = \underbrace{\bar{\psi} \gamma_5}_{\text{for pion}} \underbrace{3\sqrt{2}}_{\text{2nd order e.m.}} \underbrace{Q}_{\text{e.m.}}$$

- color singlet, purely collinear (again) so soft gluons decouple

SCET<sub>II</sub>

equate  $\frac{Q^2}{2} F_{\pi\gamma} = \frac{i}{Q} \langle \pi^0 | (\bar{\psi} \omega) \Gamma C (\omega^\dagger \psi) | 0 \rangle$

write  $\bar{P}_\pm = \bar{P}^\pm \pm \bar{P}$

now  $\bar{P}_-$  gives total mom of  $(\bar{\psi} \omega) \Gamma (\omega^\dagger \psi)$  operator  
ie momentum of pion



$$\bar{P}_- = \bar{n} \cdot P_\pi = Q$$

→ total mom

$$F_{\pi\gamma}(Q^2) = \frac{2i}{Q^2} \int d\omega C(\omega, \mu) \langle \pi^0 | (\bar{\psi} \omega) \Gamma \delta(\omega - \bar{P}_+) (\omega^\dagger \psi) | 0 \rangle$$

Non-perturbative Matrix Element finite Wilson line  $(P_{ex} i\gamma \int_x^y ds \dots)$

position space

$$\langle \pi^0(p) | \bar{\psi}_n(y) \frac{\bar{\psi} \gamma_5 \tau^3}{\sqrt{2}} \omega(y,x) \psi_n(x) | 0 \rangle$$

Fourier Transform of  $\bar{n} \cdot p$  label

$$= -i f_\pi \bar{n} \cdot p \int_0^1 dz e^{i\bar{n} \cdot p (2z + (1-z)x)} \phi_\pi(\mu, z)$$

$$\int_0^1 dz \phi_\pi(z) = 1$$

momentum space

$$\langle \pi^0(p) | (\bar{\psi}_n \omega) \frac{\bar{\psi} \gamma_5 \tau^3}{\sqrt{2}} \delta(\omega - \bar{P}_+) (\omega^\dagger \psi_{n,p}) | 0 \rangle$$

$$= -i f_\pi \bar{n} \cdot p \int_0^1 dz \delta(\omega - (2z-1)\bar{n} \cdot p) \phi_\pi(\mu, z)$$

Plug it into  $F_{\pi\gamma}(Q^2)$  and do integral over  $\omega$



$$F_{\pi\gamma}(Q^2) = \frac{2f_\pi}{Q^2} \int_0^1 dz C((2z-1)Q, Q, \mu) \phi_\pi(z, \mu)$$

- $\phi_\pi$  is universal light-cone dist'n for pions
- $C$  is process dependent (all orders factorization in  $\alpha_s$ )
- one-dim convolution again

Tree Level Matching

expand

$$i \left( \frac{\cancel{p} \cancel{p}'}{p \cdot p'} + \cancel{p} \cancel{p}' \right) = \frac{ie}{2} \epsilon_{\mu\nu\rho\sigma} \epsilon^\nu \bar{n}^\rho n^\sigma \left( \frac{\cancel{p} \cancel{p}'}{2} \gamma_5 \right) \hat{Q}^2$$

$$\times \left( \frac{1}{\bar{n} \cdot p} - \frac{1}{\bar{n} \cdot p'} \right) + \dots$$

so  $C = \frac{1}{6\sqrt{2}} \left( \frac{Q}{\bar{p}^+} - \frac{Q}{\bar{p}^-} \right)$

$C(w=(2x-1)Q) = \frac{1}{6\sqrt{2}} \left( \frac{1}{x} + \frac{1}{1-x} \right)$

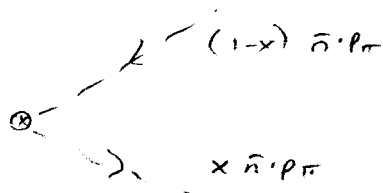
Charge Conj +1 for  $|\pi^0\rangle$  gives  $\phi_\pi(x) = \phi_\pi(1-x)$  (Hawk.)

So only  $\int_0^1 dx \frac{\phi_\pi(x, \mu)}{x}$  appears in our prediction

↑ integrate over all  $x$ , much different than DIS  $\delta(1-x/x) \Rightarrow f_{1/p}(x, \mu)$

Interpretation:

Naively



non fraction of quarks in pion

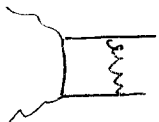
Really



non. fractions at point where quarks are produced. Hadronization process changes "x" carried by valence quarks which is encoded in  $\phi_\pi(x)$

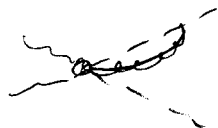
Higher Order Matching

full



+ w.f.n graphs

SCET



+ w.f.n

Difference will be IR finite, and gives C at one-loop

Another Exclusive Example

(hep-ph/0107002)

$B \rightarrow D \pi$

$\underbrace{m_b, m_c, E_\pi}_{Q} \gg \Lambda_{QCD}$

QCD operators at  $\mu \approx m_b$

$H_W = \frac{4G_F}{\sqrt{2}} V_{ud}^* V_{cb} [C_0^F O_0 + C_8^F O_8]$

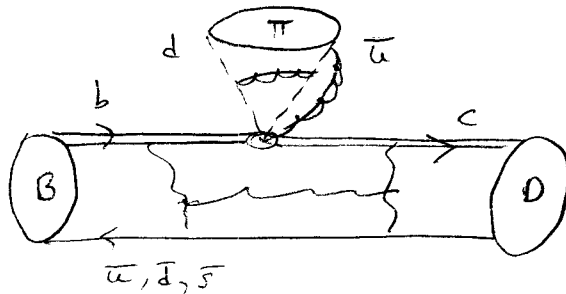
$\gamma_5 = \frac{1-\gamma_5}{2}$

Where  $O_0 = [\bar{c} \gamma^\mu P_L b] [\bar{d} \gamma_\mu P_L u]$

$O_8 = [\bar{c} \gamma^\mu P_L T^a b] [\bar{d} \gamma_\mu P_L T^a u]$

Want to Factorize  $\langle D \pi | O_{0,8} | B \rangle$

ie show at LO



no gluons btwn B, D and quarks in pion

expect  $B \rightarrow D$  form factor  $\int \delta\pi(x)$  distr for pion  $\left\{ \begin{array}{l} \text{Issac-Wise} \end{array} \right.$

B, D soft  $p^2 \sim \Lambda^2$   
 $\pi$  collinear  $p^2 \sim \Lambda^2$  } SCET<sub>II</sub>

Use SCET<sub>II</sub> as intermediate step

① match at  $\mu^2 \approx Q^2$

$\left. \begin{array}{l} O_0 \\ O_8 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} Q_0^{1,5} = [\bar{h}_v^{(c)} \Gamma_h^{1,5} h_v^{(b)}] [(\bar{\chi}_n^{(d)} \gamma) \Gamma_e C_0(\bar{p}_+) W^* \chi_n^{(u)}] \\ Q_8^{1,5} = [ \quad +^A \quad ] [ \quad \quad C_8(\bar{p}_+) T^a \quad ] \end{array} \right.$

$\Gamma_h^{1,5} = \frac{\not{x}}{2} \{1, \gamma_5\}$   
 $\Gamma_e = \frac{\not{x}}{4} (1-\gamma_5)$

$\uparrow$  soft SCET<sub>I</sub>

$\uparrow$  collinear  $p^2 \sim Q\Lambda$

② Field redefinitions  $\xi_{n,p} = Y \xi_{n,p}^{(0)}, \dots$

in  $Q_0^{1,5}$  get  $\bar{\xi}_n^{(0)} W^{(0)} \cancel{Y} \cancel{Y} W^{(0)} \xi_n^{(0)}$   
 in  $Q_8^{1,5}$  get  $\bar{\xi}_n^{(0)} W^{(0)} Y T^a Y W^{(0)} \xi_n^{(0)}$

$Y T^a Y^\dagger = y^{ba} T^b$        $Y^\dagger T^a Y = y^{ab} T^b$

↑ adjoint Wilson line

$T^a \otimes Y^\dagger T^a Y = Y^\dagger T^a Y \otimes T^a$

↑ moves usoft Wilson lines next to h/c fields

③ Match SCET<sub>I</sub> onto SCET<sub>II</sub> (trivial here again)

$Y \rightarrow S$   
 $\xi_n^{(0)} \rightarrow \xi_n$  in II etc.

$Q_0^{1,5} = [\bar{h}_r^{(c)} \Gamma_h h_r^{(b)}] [\bar{\xi}_n^{(0)} W \Gamma_a C_0(\bar{P}_+) W^\dagger \xi_{n,p}^{(0)}]$   
 $Q_8^{1,5} = [\bar{h}_r^{(c)} \Gamma_h S T^a S^\dagger h_r^{(b)}] [\xi_n^{(0)} W \Gamma_a C_0(\bar{P}_+) T^a W^\dagger \xi_{n,p}^{(0)}]$

④ Take Matrix Elements

$\langle \pi^- | \bar{\xi}_n W \Gamma_a C_0(\bar{P}_+) W^\dagger \xi_n | 0 \rangle = \frac{i}{2} f_\pi E_\pi \int_0^1 dx C(2E_\pi(2x-1)) \phi_\pi(x)$   
 $\langle D_{u'} | \bar{h}_r \Gamma_h h_r | B \rangle = N' \xi(\omega_0, \mu)$   
 ↑  $\omega_0 = v \cdot w'$

B, D purely soft → no contractions with collinear fields  
 π " collinear → no " " soft fields  
 which is why it factors into two matrix elements

F O<sub>8</sub>:

$\langle D_{u'} | \bar{h}_r \underbrace{Y T^a Y^\dagger}_{\text{color octet operator}} h_r | B_{u'} \rangle = 0$   
 color octet operator between color singlet states

Find

Factorization Formula

$$\langle \pi D | H_w | B \rangle = i N \underbrace{\xi(\omega_0, \mu)}_{\text{pre factors}} \int_0^1 dx C(2E_\pi(2x-1), \mu) \phi_\pi(x, \mu) + O(1/Q)$$

- $\xi(\omega_0, \mu)$  is Isgur-Wise function at max. recoil  
 $\omega_0 = \frac{m_B^2 - m_0^2}{2m_B}$  (measured in  $B \rightarrow \rho e$  recoil)

- This applies to type-I (±II) decays

$$\bar{B}^0 \rightarrow D^+ \pi^- \quad \bar{B}^0 \rightarrow D^{*+} \pi^- \quad , \quad \bar{B}^0 \rightarrow D^+ e^- \quad , \quad \dots$$

$$B^- \rightarrow D^0 \pi^- \quad B^- \rightarrow D^{*0} \pi^- \quad B^- \rightarrow D^0 e^- \quad , \quad \dots$$

predicts type-II decays are suppressed by  $1/Q$

$$\bar{B}^0 \rightarrow D^0 \pi^0 \quad , \quad \dots \quad (\text{we could derive fact. thm. for these too})$$



Another inclusive example:  $B \rightarrow X_s \gamma$

Case where  $u_{soft}$  modes matter

Here we will need both  $u_{soft}$  & collinear d.o.f. in SCET<sub>I</sub>

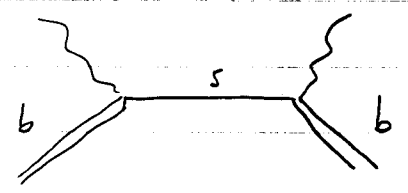
$$H_{eff} = \frac{-4G_F}{\sqrt{2}} V_{cb} V_{cs}^* C_7 O_7, \quad O_7 = \frac{e}{16\pi^2} m_b \bar{s} \sigma^{\mu\nu} F_{\mu\nu} P_R b$$

photon  $q^\mu = E_\gamma \bar{n}^\mu$

$$\frac{1}{\Gamma_0} \frac{d\Gamma}{dE_\gamma} = \frac{4E_\gamma}{m_b^3} \left( \frac{-1}{\pi} \right) \text{Im } T$$

$$T = \frac{i}{m_B} \int d^4x e^{-iq \cdot x} \langle \bar{B} | T J_\mu^+(x) J^\mu(0) | \bar{B} \rangle$$

$$J^\mu = \bar{s} i \sigma^{\mu\nu} q_\nu P_R b$$

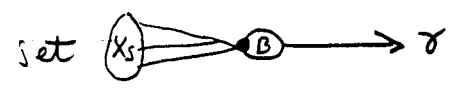


looks like DIS

Consider endpoint region

$$m_B/2 - E_\gamma \lesssim \Lambda_{QCD}$$

$$p_x^2 \approx m_B \Lambda$$



set

B-rest frame  $P_B = \frac{m_B}{2} (n^\mu + \bar{n}^\mu) = p_x + q$

$$p_x = \frac{m_B}{2} n^\mu + \frac{\bar{n}^\mu}{2} \underbrace{(m_B - 2E_\gamma)}_\lambda$$

collinear

so quarks and gluons in  $X$  are collinear with  $p_c^2 \sim m_B \Lambda$

B has usoft light d.o.f.

~~HW~~

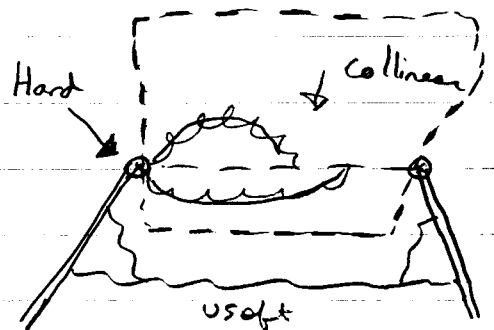
$$J_\mu = -E_\gamma e^{i(\bar{P}\frac{\sigma}{2} - m_b v) \cdot x} \bar{\chi} W \gamma_\mu^+ P_L h_v C(\bar{P}^+, \mu)$$

$\uparrow$  our heavy-to-light current from earlier  
 $\equiv J_{\text{eff}}^\mu$

The coefficient  $C(\bar{P}^+)$  has  $\bar{P}^+ = M_b$  since this is total momentum of  $s$ -quark jet in  $\bar{\pi} \cdot P_x$

Factor with Field redefn

$$J_{\text{eff}}^\mu = \bar{\chi}_n^{(0)} W^{(0)} \gamma_\mu^+ P_L \chi^+ h_v$$



$$T_{\text{eff}} = i \int d^4x e^{i(m_b \frac{\bar{\sigma}}{2} - \not{v}) \cdot x} \langle \bar{B} | T J_{\text{eff}}^{\mu+}(x) J_{\text{eff},\mu}^-(0) | \bar{B} \rangle$$

factored

$$= i \int d^4x e^{i(\not{v} \cdot x)} \langle \bar{B} | T (\bar{h}_v \chi)(x) (\chi^+ h_v)(0) | \bar{B} \rangle$$

$$\times \langle 0 | T (W^{+(0)} \chi^{(0)})(x) (\bar{\chi}^{(0)} W)(0) | 0 \rangle$$

$\Delta$  spin & color indices & structures  $\gamma_\mu^+ P_L$  suppressed

$$= \frac{1}{2} \int d^4x \int d^4k e^{i(m_b \frac{\bar{\sigma}}{2} - \not{v} - k) \cdot x} \langle \bar{B} | T (\bar{h}_v \chi)(x) (\chi^+ h_v)(0) | \bar{B} \rangle$$

$$\times J_P(k)$$

$$\langle 0 | T (W^{+}_{P,0_1} \chi) (\bar{\chi} W) | 0 \rangle = i \int d^4k e^{-ik \cdot x} J_P(k) \frac{\not{x}}{2}$$

$\uparrow$  minus  $\pm$  labels

in  $T_{\text{eff}}$  we then get

only depends on  $k^+$ !  
so do  $k^-, k^+$  integrals

$$S(\not{x}^+) = \frac{1}{2} \int \frac{dx^-}{4\pi} e^{-i/2 \not{x}^+ x^-} \langle \bar{B}_v | T [\bar{h}_v \chi](\frac{x^-}{2}) (\chi^+ h_v)(0) | \bar{B}_v \rangle$$

$\uparrow$   
 $\chi(\frac{x^-}{2}, 0)$

$$= \frac{1}{2} \langle \bar{B}_v | \bar{h}_v S(\text{in. } 0 - k^+) h_v | \bar{B}_v \rangle$$



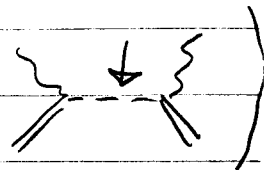
Imaginary part is in jet function

$$\text{let } J(k^+) = -\frac{1}{\pi} \text{Im } J_p(k^+)$$

( tree level

$$J(k^+) = S(k^+)$$

from



All order's factorization

$$\frac{1}{\Gamma_0} \frac{dP}{dE_\gamma} = N C(m_b, \mu) \int_0^{\bar{\Lambda}} dl^+ S(l^+) J(l^+ + m_b - 2E_\gamma)$$

$\uparrow$   
 $p^2 \sim m_b^2$

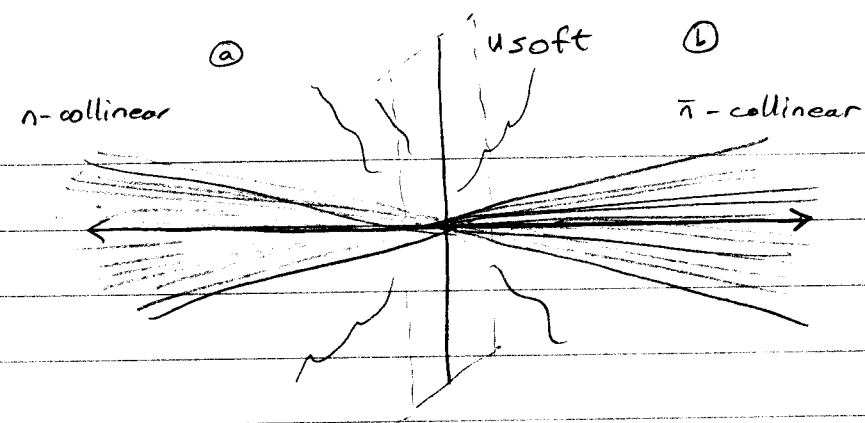
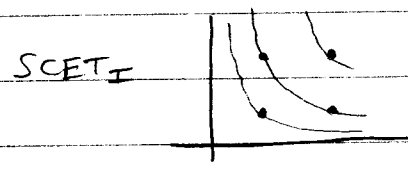
$2E_\gamma - m_b$   
 $\uparrow$   
 $p^2 \sim \Lambda^2$

$\uparrow$   
 $p^2 \sim m_b \Lambda$

$\uparrow$   
 Shape function  
 is seen in these  
 data

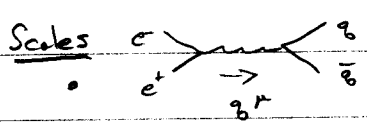


$e^+e^- \rightarrow \text{dijets}$



$e^+e^- \rightarrow \gamma^* \text{ or } Z^* \rightarrow X_n X_{\bar{n}} X_{\text{soft}}$

( $e^+e^-$ ) CM frame



$q^2 = Q^2$

hard

$\mu_h \sim Q$

• Hemisphere invariant mass divide  $P_X^\mu = P_{Xa}^\mu + P_{Xb}^\mu$   
 $M^2 \equiv (P_{Xa}^\mu)^2 = \left( \sum_{i \in a} p_i^\mu \right)^2$ ,  $\bar{M}^2 = \left( \sum_{i \in b} p_i^\mu \right)^2$   
 jet  $\rightarrow M^2 \ll Q^2$

n-collinear

$Q(\lambda^2, 1, \lambda)$

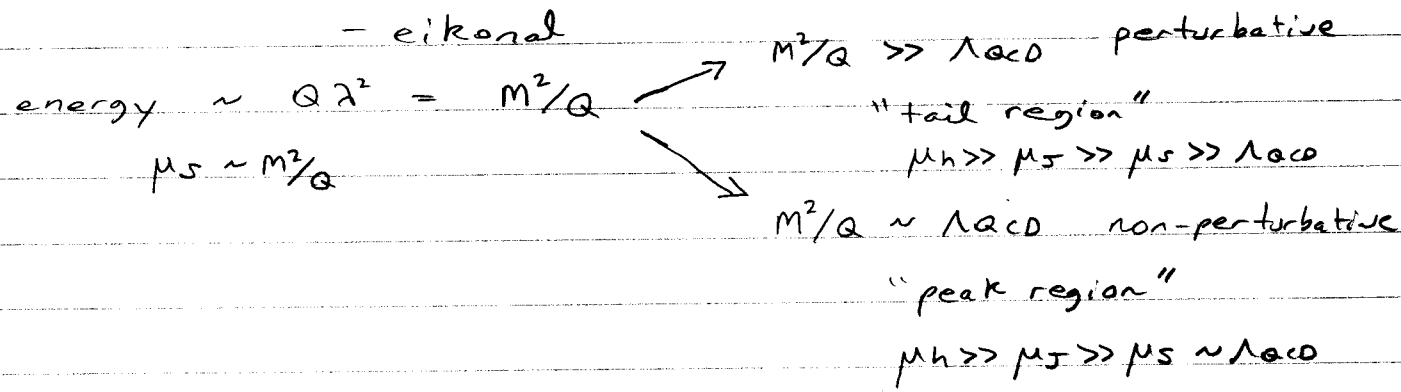
$\mu_J \sim M$

n-bar-collinear

$Q(1, \lambda^2, \lambda)$

$\lambda = M/Q$

- U<sub>soft</sub> Radiation - uniform in space
- communication btwn jets
- eikonal



In tail region we have power corrections

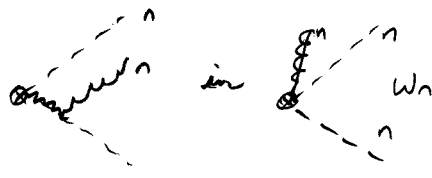
$\left( \frac{\Lambda_{QCD}}{\mu_s} \right)^k \ll 1$ . Leading order cross-section perturbative.

In peak region  $\left( \Lambda_{QCD}/\mu_s \right)^k \sim 1$  (any k)  $\rightarrow$  non-pert. soft function

Other Power Corrections

- $\mu_s/\mu_f$  "Kinematic" expansion of kinematic variables
- $\Lambda_{QCD}/\mu_h$  hard power corr. (Hwk)
- $\Lambda_{QCD}/\mu_f = \frac{\Lambda_{QCD}}{\mu_s} \frac{\mu_s}{\mu_f}$  not independent

QCD  
Current  $J^\mu = \bar{\psi} \Gamma^\mu \psi \rightarrow (\bar{\psi}_n W_n)_w \Gamma^\mu (W_{\bar{n}}^\dagger \psi_{\bar{n}})_w$   
 $= (\bar{\psi}_n W_n)_w \Gamma^\mu (\psi_n^\dagger \psi_{\bar{n}})_w (W_{\bar{n}}^\dagger \psi_{\bar{n}})$  field redefn



Kinematics

large

$$q^\mu = P_{Xn}^\mu + P_{X\bar{n}}^\mu + P_S^\mu$$

$$\bar{n} \cdot q = Q = \bar{n} \cdot P_{Xn} + \dots \quad \omega = Q$$

$$n \cdot q = Q = n \cdot P_{X\bar{n}} + \dots \quad \bar{\omega} = Q$$

} momentum conservation is strong enough that there are no convolutions in  $\omega, \bar{\omega}$

Cross-Section

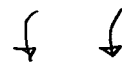
QCD  $\sigma = \sum_X^{res} (2\pi)^4 \delta^4(q - P_X) L_{\mu\nu} \langle 0 | J^{\mu\dagger}(0) | X \rangle \langle X | J^\nu(0) | 0 \rangle$

↑ restricted to dijet X states

SCET allows us to move restrictions into operators

$|X\rangle = |X_n\rangle |X_{\bar{n}}\rangle |X_S\rangle$

$\bar{3}$  rep  $3$ -rep



$$\sigma = N_0 \sum_{\bar{n}} \sum_{X_n, X_{\bar{n}}, X_S}^{res'} (2\pi)^4 \delta^4(q - P_{X_n} - P_{X_{\bar{n}}} - P_S) \langle 0 | \bar{\psi}_{\bar{n}} \psi_n | X_S \rangle \langle X_S | \psi_n^\dagger \bar{\psi}_{\bar{n}}^\dagger | 0 \rangle$$

$$\times |C(\theta, \mu)|^2 \langle 0 | \bar{\chi}_{n,a} | X_n \rangle \langle X_n | \bar{\chi}_n | 0 \rangle$$

$$\langle 0 | \bar{\chi}_{\bar{n},a} | X_{\bar{n}} \rangle \langle X_{\bar{n}} | \chi_{\bar{n}} | 0 \rangle$$

all orders in  $d_s$

+ ...  $\leftarrow$  "other" power corr.

res': we must still measure enough things about  $X$  to ensure its a dijet

Measure  $M^2, \bar{M}^2$

$$1 = \int dM^2 d\bar{M}^2 \delta(M^2 - (P_n + k_s^a)^2) \delta(\bar{M}^2 - (P_{\bar{n}} + k_s^b)^2)$$

$\uparrow$  soft momenta in hemisphere  $\textcircled{a}$                        $\uparrow$  soft in  $\textcircled{b}$

$\frac{d\sigma}{dM^2 d\bar{M}^2}$  has these  $\delta$ 's under  $\sum_x$

$$\delta(M^2 - P_n^2 - P_n^- (k_s^a)^+ + \dots) = \delta(M^2 - Q (P_n^+ + k_s^{a+}))$$

$$= \frac{1}{Q} \delta(P_n^+ + k_s^{a+} - M^2/Q)$$

n-collinear jet function  $J(P_n^2)$

$\bar{n}$ - " " "  $J(P_{\bar{n}}^2)$

soft function  $S(k_s^{a+}, k_s^{b-})$  ← sensitive to use of hemispheres

Factorization Thm (... Algebra ...)

$$\frac{d\sigma}{dM^2 d\bar{M}^2} = \sigma_0 H(Q, \mu) \int d\ell^+ d\ell^- J_n(M^2 - Q\ell^+) J_{\bar{n}}(\bar{M}^2 - Q\ell^-) S(\ell^+, \ell^-)$$

• some jet fn as  $b \rightarrow s \gamma$

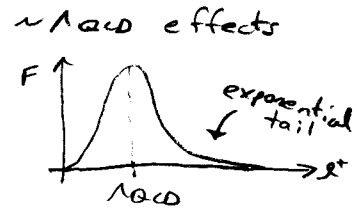
$$\bullet \text{Shen}(\ell^+, \ell^-) = \frac{1}{N_c} \sum_{X_s} \delta(\ell^+ - k_s^{a+}) \delta(\ell^- - k_s^{b-}) \langle 0 | \bar{\Psi}_{\bar{n}} \Psi_n | X_s \rangle \langle X_s | \Psi_n^+ \bar{\Psi}_{\bar{n}}^+ | 0 \rangle$$

encodes both momentum scale  $\ell^\pm \sim M^2/Q$  and  $\Lambda_{QCD} \sim \ell^\pm$

Soft Function OPE

$$Shemi(l^+, l^-) = \int dl'^{\pm} \overset{pert}{Shemi}(l^+ - l'^+, l^- - l'^-) F(l'^+, l'^-)$$

↑  
power tail  
 $\frac{(\ln l^+/\mu)^k}{l^+}$



Thrust

$$T = \max_{\hat{n}} \frac{\sum_i |\vec{p}_i \cdot \hat{n}|}{\sum_i |\vec{p}_i|}$$

$$\frac{1}{2} \leq T \leq 1$$

$$0 \leq \tau \leq \frac{1}{2}$$

$$\tau = 1 - T$$

for dijets

$$\tau = \frac{M^2 + \bar{M}^2}{Q^2} \leftarrow \text{symmetric projection}$$

$$\frac{d\sigma}{d\tau} = \sigma_0 H(Q, \mu) Q \int dl J_{\tau}(Q^2 \tau - Ql, \mu) S_{\tau}(l, \mu)$$

$$p^2 \sim Q^2$$

$$\text{jet } p^2 \sim Q^2 \tau$$

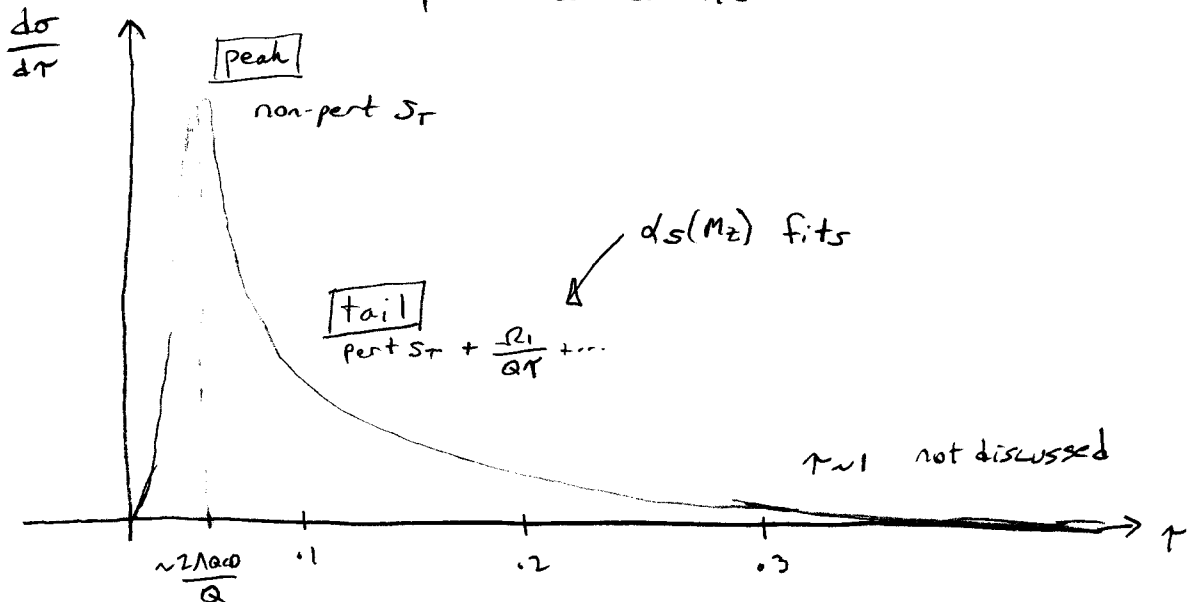
$$\text{usoft } p^2 \sim Q^2 \tau^2$$

$$Q^2 \gg Q^2 \tau \gg Q^2 \tau^2$$

$$\mu_n^2 \gg \mu_S^2 \gg \mu_S^2 \gg \Lambda_{QCD}^2$$

schematically:  $\frac{d\sigma}{d\tau} \sim \sum_{n,m} \frac{d_s^n \ln^m \tau}{\tau} + \text{non-perturbative effects in } F$

+ power corrections



Pert. Results

- match quark form factor



$$C(\theta, \mu) = 1 + \frac{C_F \alpha_s(\mu)}{4\pi} \left[ 3 \ln^2\left(-\frac{Q^2}{\mu^2}\right) - \ln\left(-\frac{Q^2}{\mu^2}\right) - 8 + \frac{\pi^2}{6} \right]$$

$$H = |C|^2$$

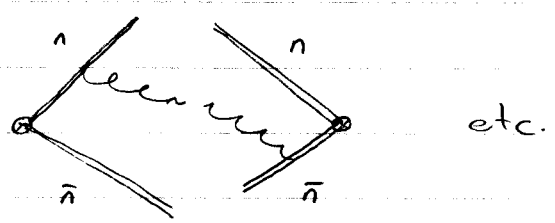
(Renormalized)

- Jet Function



$$J_n(s, \mu) = \delta(s) + \frac{\alpha_s(\mu) C_F}{4\pi} \left[ \# \delta(s) + \# \left[ \frac{\mu^2 \theta(s)}{s} \right]_+ + \# \left[ \frac{\mu^2 \ln\left(\frac{\mu^2}{s}\right) \theta(s)}{s} \right]_+ \right]$$

- Pert. Soft Fn



$$S^{pert}(l^+, l^-) = \left\{ \delta(l^+) + \frac{\alpha_s C_F}{4\pi} \left[ \# \delta(l^+) + 0 \left[ \frac{\mu}{l^+} \theta(l^+) \right] + \# \left[ \frac{\mu}{l^+} \ln\left(\frac{\mu^2}{l^+} \right) \right]_+ \right] \right\} \times \left\{ \delta(l^-) + \frac{\alpha_s C_F}{4\pi} \left[ \text{ditto } l^+ \rightarrow l^- \right] \right\}$$

C renormalizes multiplicatively

$$C^{bare} = Z_C C = C + (Z_C - 1) C$$

$$\mu^d/d\mu C(\theta, \mu) = \gamma_C(\theta, \mu) C(\theta, \mu)$$

J, S renormalize like PDF, with convolutions

eg.  $J_n^{bare}(s) = \int ds' Z_J(s-s') J_n(s', \mu)$

$$\mu^d/d\mu J_n(s, \mu) = \int ds' \gamma_J(s-s') J_n(s', \mu)$$

↑ invariant mass evolution

Coefficient Renormalization = (Operator Renormalization)<sup>-1</sup> "consistency conditions"

$$|Z_c|^2 \delta(s) \delta(\bar{s}) = \int ds' d\bar{s}' Z_J^{-1}(s-s') Z_J^{-1}(\bar{s}-\bar{s}') Z_S^{-1}\left(\frac{s'}{Q}, \frac{\bar{s}'}{Q}\right)$$

**RGE**

$$\gamma_J(s, \mu) = -2 \Gamma^{\text{cusp}}[\alpha_s] \frac{1}{\mu^2} \left[ \frac{\mu^2 G(s)}{s} \right]_+ + \gamma[\alpha_s] S(s)$$

all order structure  
( $\gamma_S$  similar, two variables factorize)

Fourier Transform  $y = y - i0$

$$\gamma_f(y) = \int ds e^{-isy} \gamma_f(s)$$

$$J(y) = \int ds e^{-isy} J(s)$$

$$\mu \frac{d}{d\mu} J(y, \mu) = \gamma_J(y, \mu) J(y, \mu)$$

simple

$$\gamma_J(y, \mu) = 2 \Gamma^{\text{cusp}}[\alpha_s] \ln(iy \mu^2 e^{\gamma_E}) + \gamma[\alpha_s]$$

$$\left[ \frac{\ln^k(s/\mu)}{s} \right]_+ \leftrightarrow \ln^{k+1}(iy \mu^2 e^{\gamma_E})$$

$$d \ln \mu = \frac{d\alpha_s}{\beta[\alpha_s]}, \quad \ln \mu/\mu_0 = \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} \frac{d\alpha_s}{\beta[\alpha_s]}$$

All orders solution

$$\ln \left[ \frac{J(s, \mu)}{J(s, \mu_0)} \right] = w(\mu, \mu_0) \ln(iy \mu_0^2 e^{\gamma_E}) + K(\mu, \mu_0)$$

same structure for  $H, J, S$

$$w = \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} d\alpha_s \frac{2 \Gamma^{\text{cusp}}[\alpha_s]}{\beta[\alpha_s]}$$

$$K = \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} \frac{d\alpha_s}{\beta[\alpha_s]} \gamma[\alpha_s] + 2 \int_{\alpha_s(\mu_0)}^{\alpha_s(\mu)} \frac{d\alpha}{\beta[\alpha]} 2 \Gamma^{\text{cusp}}[\alpha] \int_{\alpha_s(\mu_0)}^{\alpha} \frac{d\alpha'}{\beta[\alpha']}$$

determine  $w, K$  order by order

$\gamma \leftrightarrow \tau$ 

-169-

$$\ln \frac{d\sigma}{dy} = \underbrace{(\ln y)}_{LL} (d_s \ln)^K + \underbrace{(d_s \ln)^K}_{NLL} + d_s (d_s \ln)^K + \dots$$

Momentum Space Answer with resummation

$$\frac{1}{\sigma_0} \frac{d\sigma}{d\tau} = H(Q, \mu_0) U_H(Q, \mu_0, \mu_T) J_T(Q^2 \tau - s') \otimes U_T(s' - Q^2, \mu_s, \mu_T) \otimes S_T^{\text{pert}}(l - l', \mu_s) \otimes F(l')$$

where  $\mu_0 \sim Q$ ,  $\mu_T \sim Q\sqrt{\tau}$ ,  $\mu_s \sim Q\tau$ 

$$U_T(s, \mu, \mu_0) = \frac{e^K (e^{\gamma_E})^\omega}{\mu_0^2 \Gamma(-\omega)} \left[ \frac{(\mu_0^2)^{1+\omega} \varphi(s)}{s^{1+\omega}} \right]_+ \uparrow \text{boundary at } \infty \text{ rather than } 1$$

Consistency says  $\gamma_J[d_s] + \gamma_S[d_s] = -\frac{1}{2} \gamma_H[d_s]$

Final Example: Drell-Yan  $pp \rightarrow X e^+ e^-$

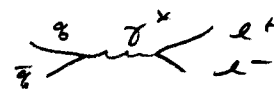
- prototype LHC process (pp in, measure leptons, ~~also~~ replace  $e^+ e^-$  by jets, ..., etc)

**Kinematics**

$pp \rightarrow X (e^+ e^-)$   
 $P_A + P_B = P_X + Q$

$E_{cm}^2 = (P_A + P_B)^2$  collision energy

$Q^2$  hard scale of partonic collision

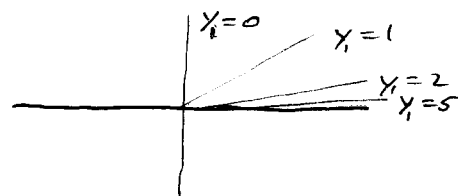


$\tau \equiv Q^2/E_{cm}^2 \leq 1$

$Y = \frac{1}{2} \ln \left( \frac{P_b \cdot Q}{P_a \cdot Q} \right)$  total lepton rapidity (angular variable)

$X_a \equiv \sqrt{\tau} e^Y$   
 $X_b \equiv \sqrt{\tau} e^{-Y}$

} analogous of Bjorken Var in DIS



$\tau \leq X_{a,b} \leq 1$

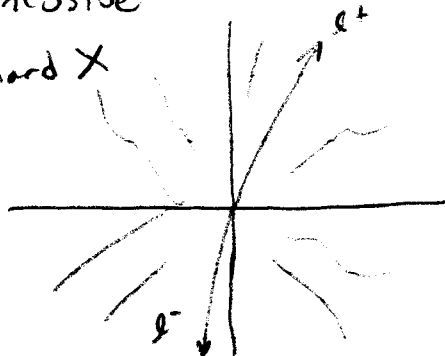
$P_X^2 \leq E_{cm}^2 (1 - \sqrt{\tau})^2$

parton fractions ( $z_a = X_a$  tree level)

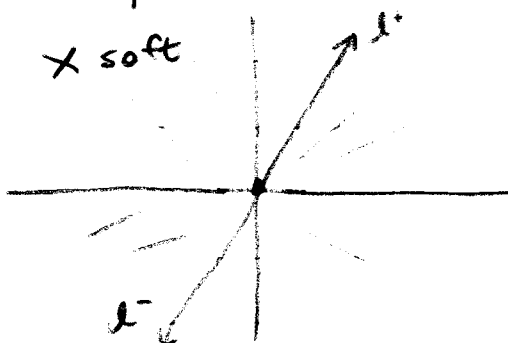
$X_a \leq z_a \leq 1$   
 $X_b \leq z_b \leq 1$

Cases:	Inclusive	$\tau \sim 1$	$P_X^2 \sim Q^2 \sim E_{cm}^2$	$X_{a,b} \sim 1$	$z_{a,b} \sim 1$
	Endpoint	$\tau \rightarrow 1$	$P_X^2 \ll Q^2 \rightarrow E_{cm}^2$	$X_{a,b} \rightarrow 1$	$z_{a,b} \rightarrow 1$
	(Small X "Isolated")	$\tau \rightarrow 0$	$\uparrow$ usoft take $z_a, z_b \rightarrow 0$		

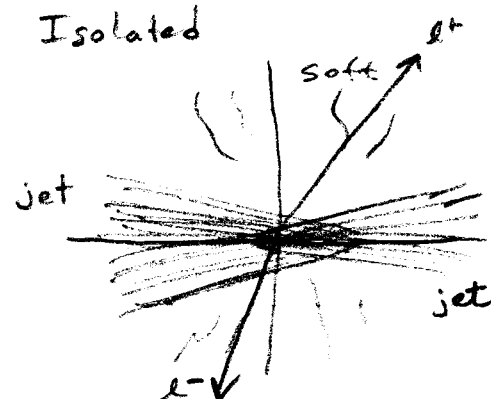
Inclusive hard X



Endpoint X soft



Isolated

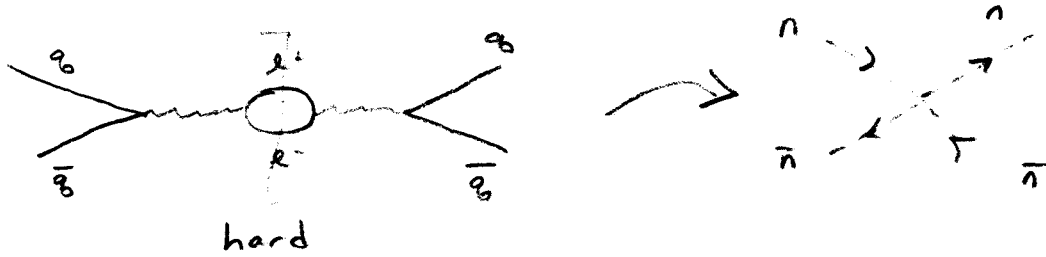




Inclusive

$$P_n P_{\bar{n}} \rightarrow X_{\text{hard}}(l^+ l^-)$$

Factorization: SCET<sub>I</sub> problem (hard-collinear Factorization)



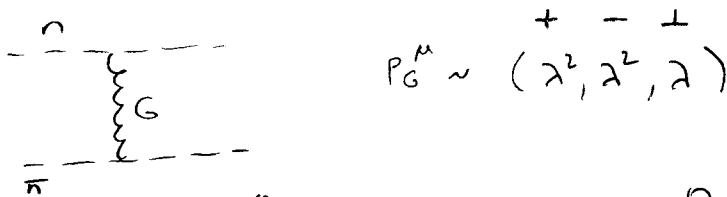
4-quark operator in SCET, which after Fierzing is

$$\left[ (\bar{\chi}_n \chi_n) \frac{\not{n}}{2} (\chi_n^+ \chi_n) \right] \left[ (\bar{\chi}_{\bar{n}} \chi_{\bar{n}}) \frac{\not{\bar{n}}}{2} (\chi_{\bar{n}}^+ \chi_{\bar{n}}) \right]$$

- $T^A \otimes T^A$  octet structure vanishes under  $\langle p_n | \dots | p_n \rangle$
- $\chi_n \rightarrow \gamma_n \chi_n, \chi_{\bar{n}} \rightarrow \gamma_{\bar{n}} \chi_{\bar{n}}$  etc, no coupling to soft gluons, they cancel out
- $\langle p_n | \bar{\chi}_n \not{n} \chi_n | p_n \rangle$  gives PDF  
 $\langle p_{\bar{n}} | \bar{\chi}_{\bar{n}} \not{\bar{n}} \chi_{\bar{n}} | p_{\bar{n}} \rangle$  " "

$$\frac{1}{\sigma_0} \frac{d\sigma}{dq^2 dY} = \sum_{ij} \int_{x_a}^1 \frac{dy_a}{y_a} \int_{x_b}^1 \frac{dy_b}{y_b} H_{ij}^{\text{incl}} \left( \frac{x_a}{z_c}, \frac{x_b}{z_b}, q^2, \mu \right) f_i(y_a, \mu) f_j(y_b, \mu) \times \left[ 1 + \mathcal{O} \left( \frac{\Lambda_{\text{QCD}}}{\sqrt{q^2}} \right) \right]$$

- One more (important) caveat, "Glauber Gluons"



$$P_G^M \sim (\lambda^2, \lambda^2, \lambda)$$

These gluons cancel out at Leading order (Proving this would take us too far afield)

**Threshold Limit**

only certain terms in  $H_{ij}^{incl}$  contribute  
(most singular in  $1-\tau$ )

$$H_{ij}^{incl} \rightarrow \int_{\frac{q_0}{Q}}^{thr} [\sqrt{q^2} (1 - \frac{\tau}{2z_a z_b}), \mu] H_{ij}(q^2, \mu) [1 + \mathcal{O}(1-\tau)^0]$$

↑  $ij = u\bar{u}, d\bar{d}, \dots$  quarks  
no glue

$z_{a,b} \rightarrow 1$  so one parton in each proton carries all the momentum, not the dominant LHC region

**Isolated PY**

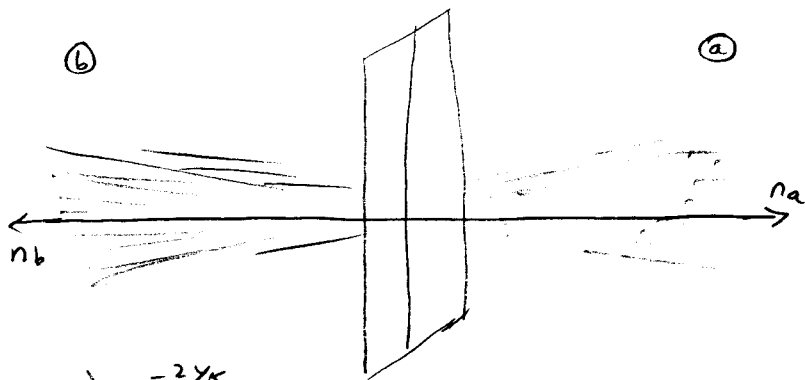
← allow forward jets to carry away part of  $E_{cm}$ , so  $z_{a,b} \rightarrow 1$   
- restrict central region to still only have soft radiation. (signal region is bkgnd free, no jets, ie jet veto)

need to observe something to guarantee this.

Observable

$$P_x = B_a + B_b \quad \textcircled{b}$$

- two hemispheres,  $\perp$  to the beam axis



$$B_a^+ = n_a \cdot B_a = \sum_{k \in a} n_a \cdot p_k = \sum_{k \in a} E_k (1 + \tanh \gamma_k) e^{-2\gamma_k}$$

plus momenta for n-collinear radiation should be small

Take  $B_a^+ \leq Q e^{-2\gamma_{cut}} \ll Q$        $Q = \sqrt{q^2}$   
 $B_b^+ \equiv n_b \cdot B_b \leq \dots \ll Q$

does the trick  
(inclusive variable for jet veto)

n-collinear : proton @ and jet @

we do not simply get a PDF from the  
hard-collinear-soft factorization  
[Glauber's again cancel]

$$\frac{1}{\sigma_0} \frac{d\sigma}{dq^+ dY dB_a^+ dB_b^+} = \sum_{ij} H_{ij}(q^2, \mu) \int dk_a^+ dk_b^+ Q^2 B_i[w_a(B_a^+ - k_a^+), x_a, \mu] \\ * B_j[w_b(B_b^+ - k_b^+), x_b, \mu] \\ * S_{ihemi}(k_a^+, k_b^+, \mu) \\ * \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{QCD}}{Q}, \frac{\sqrt{B_{a,i} w_{a,b}}}{Q}\right) \right]$$

where  $w_{a,b} = x_{a,b} E_{cm}$   
 $B_i =$  "beam function"

$$B_{q_3}(w_b^+, w/p^-, \mu) = \frac{Q(w)}{w} \int \frac{dy^-}{4\pi} e^{ib^+ y^-/2} \langle P_n(L^-) | \bar{\chi}_n(Y \frac{a}{2}) \not{n} \chi_n(0) | P_n(L^-) \rangle$$

recall jet fn  $\langle 0 | \bar{\chi}_n(Y \frac{a}{2}) \not{n} \chi_n(0) | 0 \rangle$   
PDF  $\langle p | \bar{\chi}_{n,w}(0) \not{n} \chi_n(0) | p \rangle$

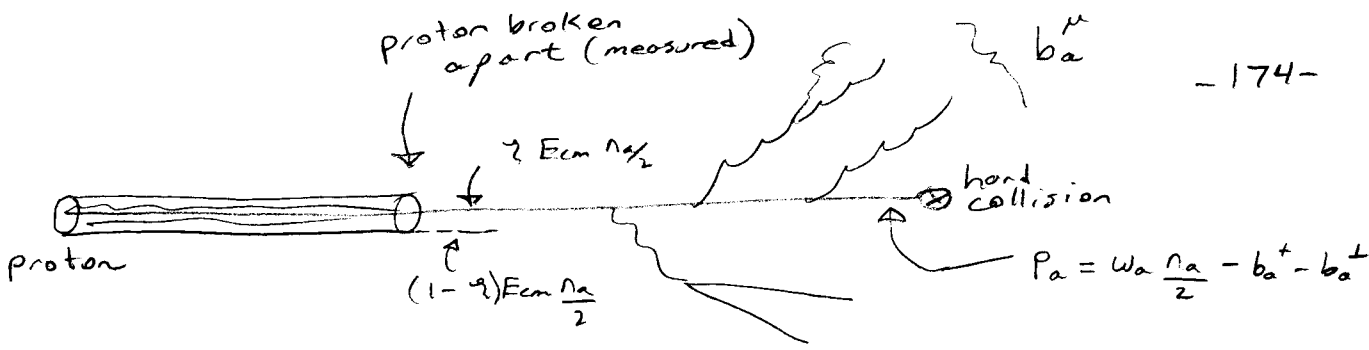
beam function is mix of both

proton = SCET<sub>II</sub> collinear  
jet = SCET<sub>I</sub> collinear ( $B_{q_3}$  is in SCET<sub>I</sub>)

Match SCET<sub>I</sub> → SCET<sub>II</sub> :

$$B_i(t, x, \mu) = \sum_j \int_x^1 \frac{d\bar{t}}{\bar{t}} \mathcal{I}_{ij}(t, \frac{x}{\bar{t}}, \mu) f_j(\bar{t}, \mu) \left[ 1 + \mathcal{O}\left(\frac{\Lambda_{QCD}}{\bar{t}}\right) \right]$$

↑  
 $f_j \& F_{q_3}$   
contribute to  $B_{q_3}$  ( $B_{q_3}$ )



$$b_a^\mu = (1-x) E_{cm} \frac{n_a}{2} + b_a^+ \frac{n_a}{2} + b_{a\perp}$$

$$P_a^2 = -W_a b_a^+ - \vec{b}_{a\perp}^2 \leq 0$$

$t_a \gg \Lambda_{QCD}$

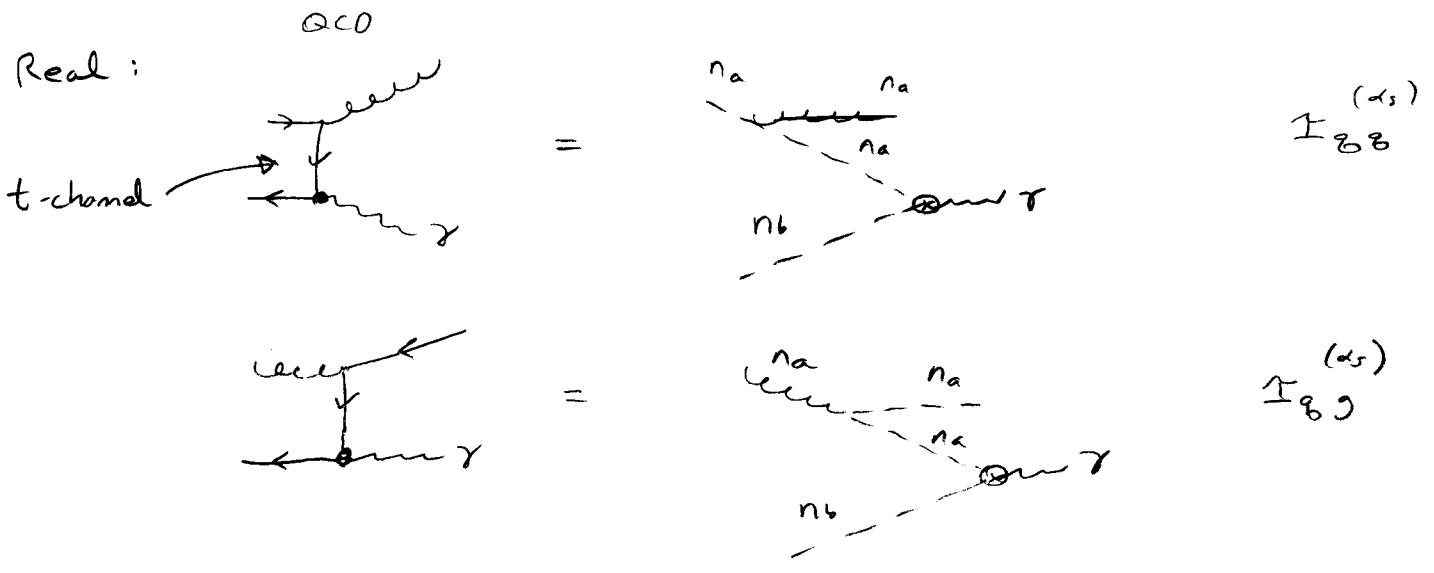
Spacelike active parton participates in hard collision

True-Level



$$B_i(t, x, \mu) = \delta(t) f_i(x, \mu)$$

Order ds Real & Virtual Contractions



power correction  $\sim \frac{t}{s} \sim \frac{W B_a^+}{Q^2}$

(would be  $\sim 1$  for inclusive)

**RGE**  $\mu \frac{d}{d\mu} B_i(t, x, \mu) = \int dt' \gamma_i(t-t', \mu) B_i(t', x, \mu)$

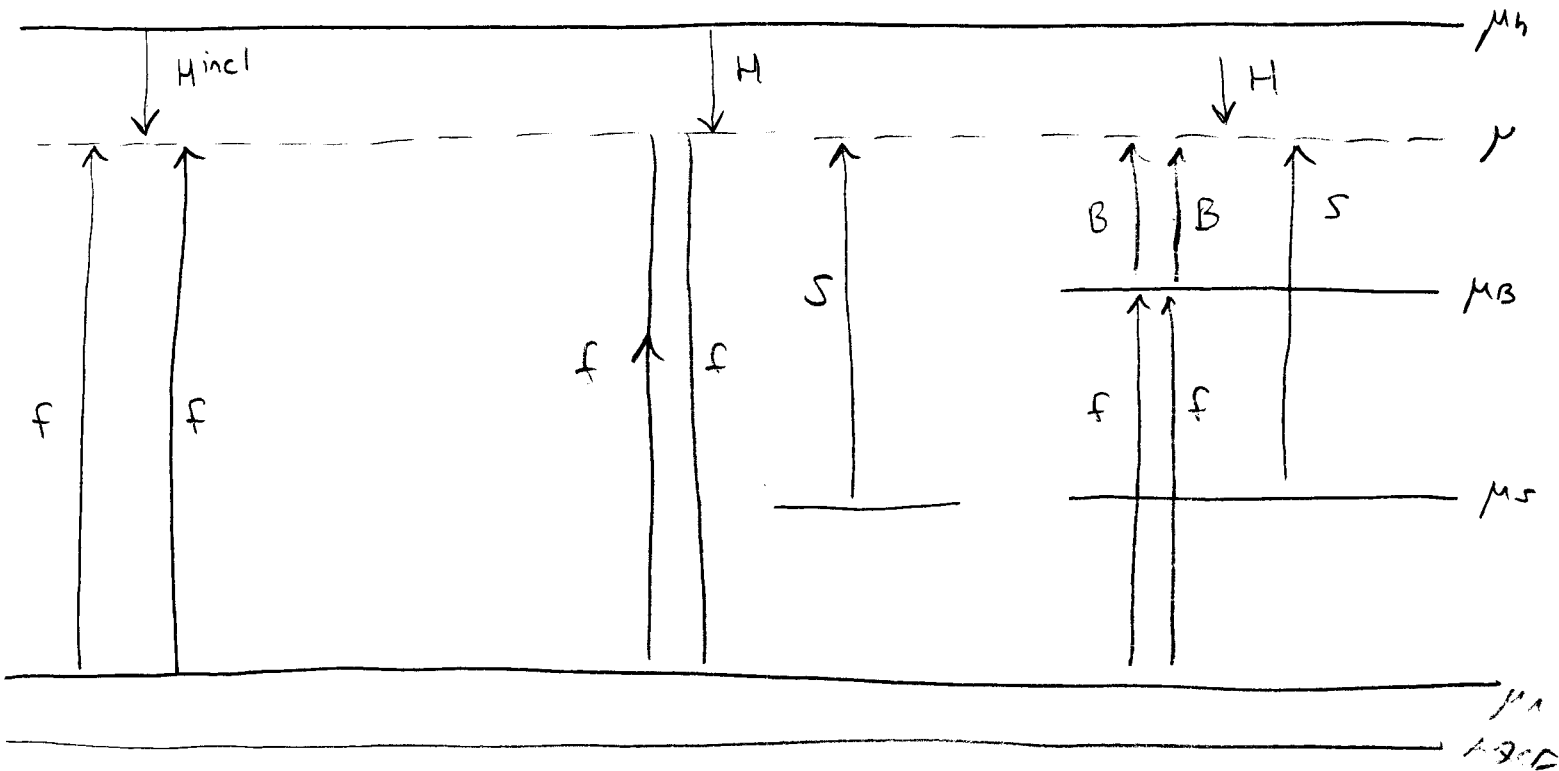
like the jet function  
(invariant mass evolution)

- sums  $\ln^2(t/\mu)$
- indep of  $x$  & no mixing

Inclusive

Threshold

Isolated



consistency of

RGE for isolated core requires B's since  
H and S have double logs, but f's do not