

Introduction to the Soft - Collinear Effective Theory

Lecture II

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Methods of Effective Field Theory & Lattice Field Theory
FGZ-PH Summer School, Munich, Germany
July 2017

Outline (Lecture I)

- EFT concepts
Intro to SCET
SCET degrees of freedom
 - SCET_I, momentum scales and regions
 - Field power counting in SCET
 - Wilson lines, W , from off shell propagators
 - Gauge Symmetry
 - Hard-Collinear Factorization
 - eg. Deep Inelastic Scattering
- } Done on the Board
(See separate lecture notes.)

Outline (Lecture II)

- Review from Lecture I
 - **Hard Operator Examples**
 - SCET Lagrangian
 - Sudakov Resummation from RGE
(& One-loop Matching Example)
 - **Soft-Collinear Factorization**
 - $e^+e^- \rightarrow$ dijets & Factorization
 - **Quasi Parton Distribution Function**
- } Done on the Board
(See separate lecture notes.)
- } On board (separate lecture notes.)

SCET_I summary

usoft & collinear modes

$$q_{us} \sim \lambda^3$$

$$\xi_n \sim \lambda$$

$$A_{us}^\mu \sim \lambda^2$$

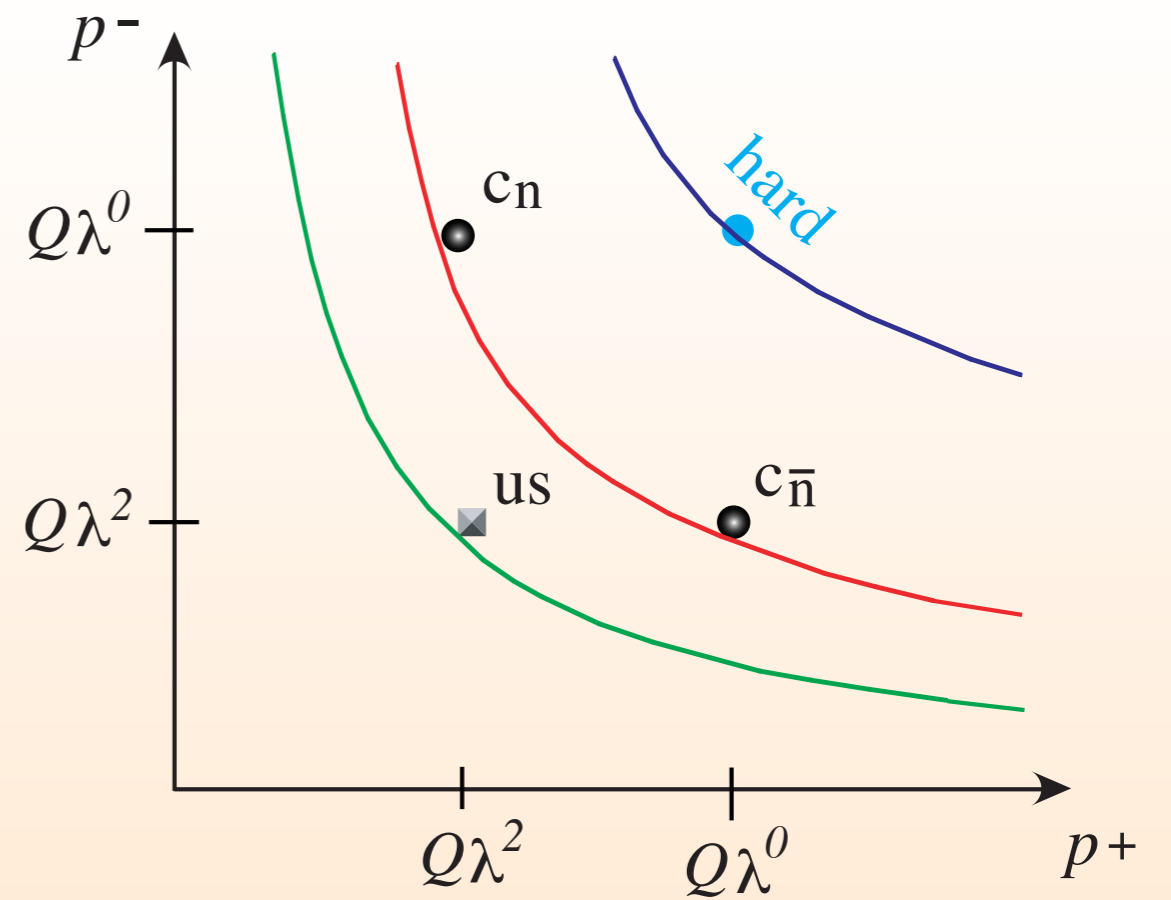
$$(A_n^+, A_n^-, A_n^\perp) \sim (\lambda^2, 1, \lambda) \\ \sim p_c^\mu$$

covariant derivatives:

$$iD_\perp^{n\mu} = i\partial_{n\perp}^\mu + gA_n^{\perp\mu}$$

$$iD_{us}^\mu = i\partial^\mu + gA_{us}^\mu$$

$$i\bar{n} \cdot D_n = i\bar{n} \cdot \partial_n + g\bar{n} \cdot A_n$$



LO SCET_I Lagrangian:

$$\mathcal{L}_{n\xi}^{(0)} = \bar{\xi}_n \left\{ n \cdot iD_{us} + gn \cdot A_n + i\not{D}_\perp^n \frac{1}{i\bar{n} \cdot D_n} i\not{D}_\perp^n \right\} \frac{\not{n}}{2} \xi_n$$

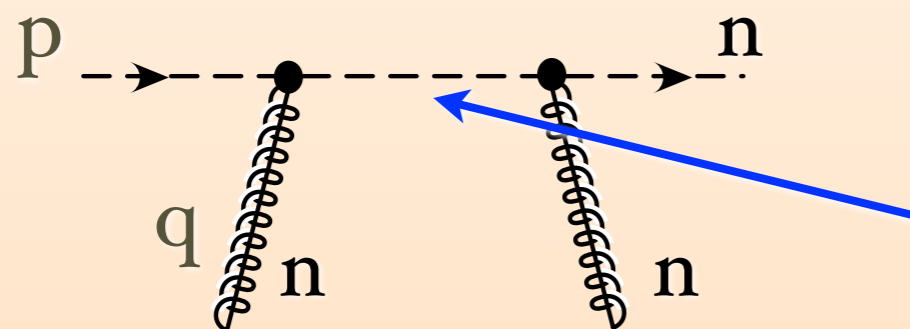
$$\mathcal{L}_{ng}^{(0)} = \mathcal{L}_{ng}^{(0)}(D_{n\perp}^\mu, \bar{n} \cdot D_n, in \cdot D_{us} + gn \cdot A_n), \quad \mathcal{L}_{us}^{(0)} = \mathcal{L}^{\text{QCD}}(q_{us}, A_{us}^\mu)$$

Properties of $\mathcal{L}_{n\xi}^{(0)} = \bar{\xi}_n \left\{ n \cdot iD_{us} + gn \cdot A_n + i\cancel{D}_\perp^n \frac{1}{i\bar{n} \cdot D_n} i\cancel{D}_\perp^n \right\} \frac{\cancel{\eta}}{2} \xi_n$

1) has particles and antiparticles, pair creation & annihilation

$$\frac{i\cancel{\eta}}{2} \frac{\theta(\bar{n} \cdot p)}{n \cdot p + \frac{p_\perp^2}{\bar{n} \cdot p} + i\epsilon} + \frac{i\cancel{\eta}}{2} \frac{\theta(-\bar{n} \cdot p)}{n \cdot p + \frac{p_\perp^2}{\bar{n} \cdot p} - i\epsilon} = \frac{i\cancel{\eta}}{2} \frac{\bar{n} \cdot p}{n \cdot p \bar{n} \cdot p + p_\perp^2 + i\epsilon} = \frac{i\cancel{\eta}}{2} \frac{\bar{n} \cdot p}{p^2 + i\epsilon}$$

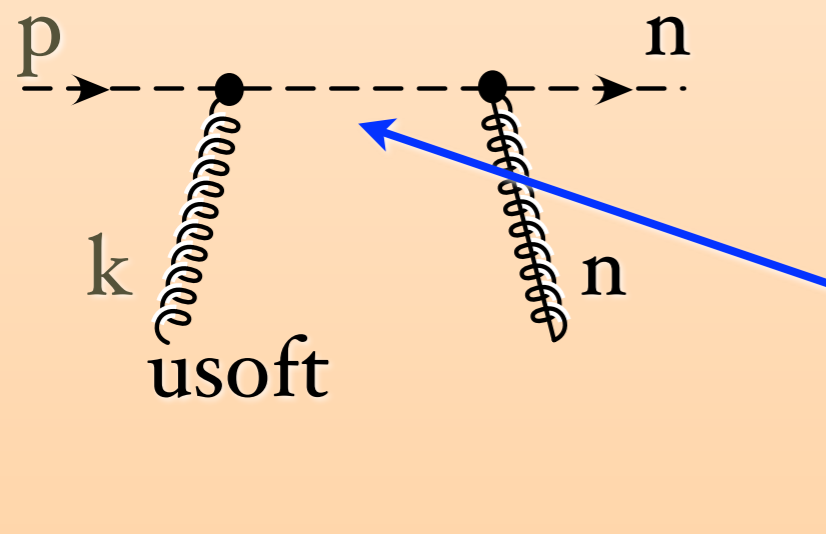
2) all components of A_n^μ couple to ξ_n



$$\frac{i\cancel{\eta}}{2} \frac{\bar{n} \cdot (p + q)}{(p + q)^2 + i\epsilon}$$

all components of p & q appear

3) only $n \cdot A_{us}$ couple at LO, only depends on $n \cdot k_{us}$ momentum

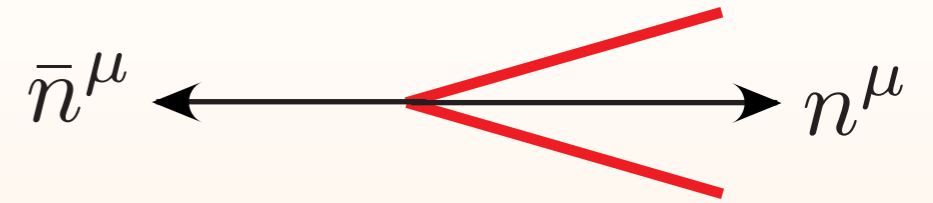


$$\frac{i\cancel{\eta}}{2} \frac{\bar{n} \cdot p}{\bar{n} \cdot p n \cdot (p + k) + p_\perp^2 + i\epsilon} = \frac{i\cancel{\eta}}{2} \frac{\bar{n} \cdot p}{\bar{n} \cdot p n \cdot k + p^2 + i\epsilon} = \frac{i\cancel{\eta}}{2} \frac{1}{n \cdot k + i\epsilon}$$

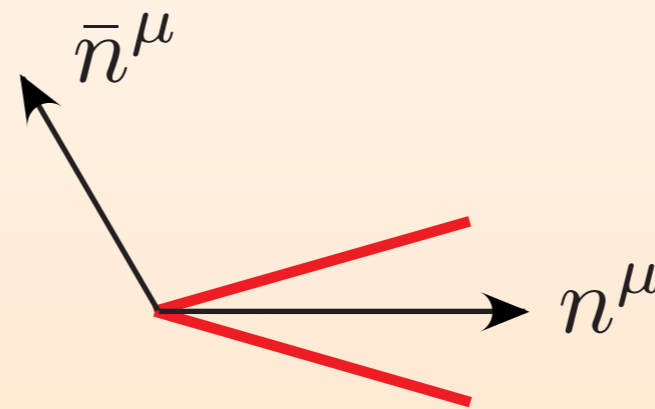
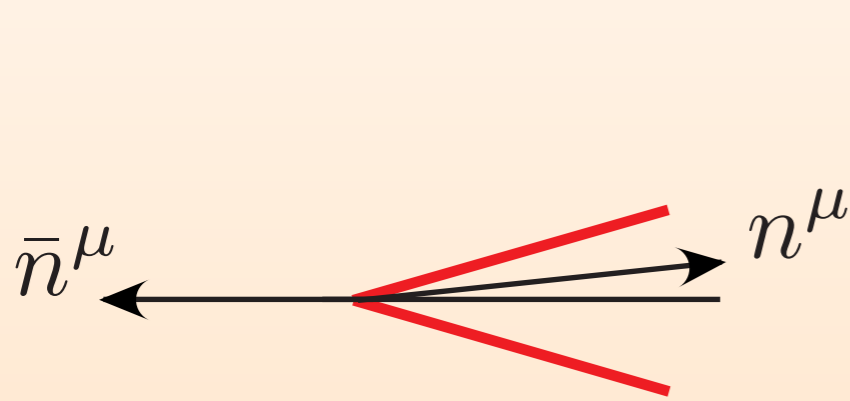
onshell $p^2 = 0$ eikonal

Symmetries: Gauge Invariance ✓

Reparameterization Invariance (RPI)



n , \bar{n} break Lorentz invariance, restored within collinear cone by RPI, three types



longitudinal
boost

$$(I) \begin{cases} n_\mu \rightarrow n_\mu + \Delta_\mu^\perp \\ \bar{n}_\mu \rightarrow \bar{n}_\mu \end{cases}$$

$$\Delta_\mu^\perp \sim \lambda$$

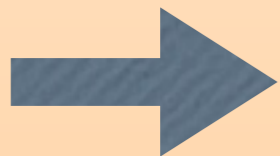
$$(II) \begin{cases} n_\mu \rightarrow n_\mu \\ \bar{n}_\mu \rightarrow \bar{n}_\mu + \varepsilon_\mu^\perp \end{cases}$$

$$\varepsilon_\mu^\perp \sim \lambda^0$$

$$(III) \begin{cases} n_\mu \rightarrow (1 + \alpha) n_\mu \\ \bar{n}_\mu \rightarrow (1 - \alpha) \bar{n}_\mu \end{cases}$$

$$\alpha \sim \lambda^0$$

unique



$$\mathcal{L}_{n\xi}^{(0)} = \bar{\xi}_n \left\{ n \cdot iD_{us} + gn \cdot A_n + i\mathcal{D}_\perp^n \frac{1}{i\bar{n} \cdot D_n} i\mathcal{D}_\perp^n \right\} \frac{\not{n}}{2} \xi_n$$

Together:

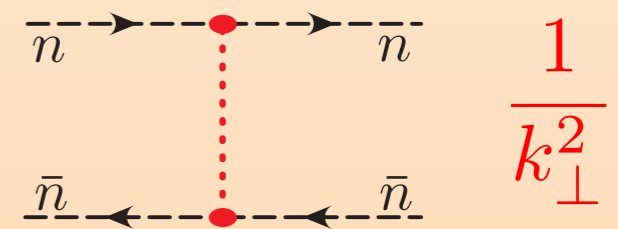
$$\mathcal{L}_{\text{SCET}_I}^{(0)} = \mathcal{L}_{us}^{(0)} + \sum_n \left(\mathcal{L}_{n\xi}^{(0)} + \mathcal{L}_{ng}^{(0)} \right) + \mathcal{L}_{\text{Glauber}}^{(0)}$$

Full QCD
for q_{us}, A_{us}^μ

sum over distinct RPI
equivalence classes
 $n_1 \cdot n_2 \gg \lambda^2$

extra term encoding
Glauber gluon exchange,
only factorization
violating term

$$\mathcal{L}_{\text{Glauber}}^{(0)}(\xi_{n_1}, A_{n_1}, \xi_{n_2}, A_{n_2}, \dots, q_s, A_s)$$



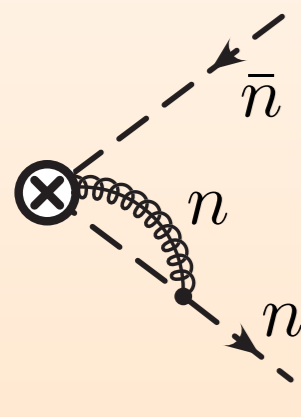
see arXiv:1601.04695

Sudakov Logs & RGE (Renormalization Group Equations)

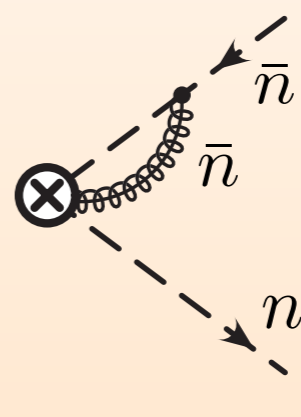
UV renormalization in SCET

eg. $e^+e^- \rightarrow$ dijets $\bar{\chi}_n \gamma_\perp^\mu \chi_{\bar{n}} = (\bar{\xi}_n W_n) \gamma_\perp^\mu (W_{\bar{n}}^\dagger \xi_{\bar{n}})$

(Feynman gauge, UV: $d = 4 - 2\epsilon$, IR: $p^2 \neq 0, \bar{p}^2 \neq 0$)

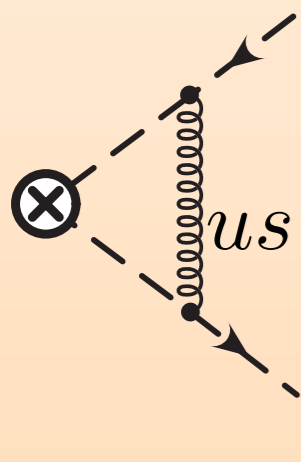


$$= \frac{\alpha_s C_F}{4\pi} \left[\frac{2}{\epsilon^2} + \frac{2}{\epsilon} - \frac{2}{\epsilon} \ln \left(\frac{-\cancel{p^2}}{\mu^2} \right) + \dots \right]$$



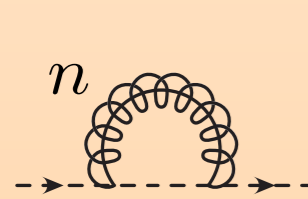
$$= \text{same with } p^2 \leftrightarrow \bar{p}^2$$

$$\int \frac{d^d k}{(2\pi)^d} \frac{\bar{n} \cdot (k+p)}{\bar{n} \cdot k (p+k)^2 k^2} \quad \text{-- (0-bin)}$$

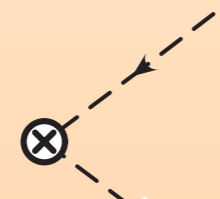


$$= \frac{\alpha_s C_F}{4\pi} \left[-\frac{2}{\epsilon^2} + \frac{2}{\epsilon} \ln \left(\frac{(-\cancel{p^2})(-\bar{p}^2)}{(-Q^2)\mu^2} \right) + \dots \right]$$

$$\int \frac{d^d k}{(2\pi)^d} \frac{n \cdot \bar{n}}{(n \cdot k + \frac{p^2}{Q})(\bar{n} \cdot k + \frac{\bar{p}^2}{Q})k^2}$$

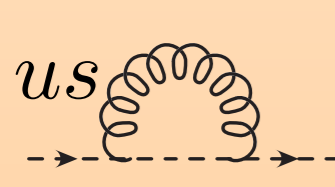


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$$-\frac{\alpha_s C_F}{4\pi} \frac{1}{\epsilon}$$

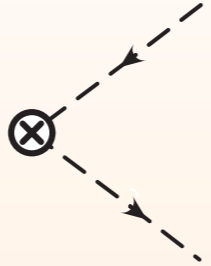


=

$$0$$

$$\text{sum} = \frac{\alpha_s C_F}{4\pi} \left[\frac{2}{\epsilon^2} + \frac{2}{\epsilon} \ln \left(\frac{\mu^2}{-Q^2 - i0} \right) + \frac{3}{\epsilon} + \dots \right]$$

$$\text{sum} = \frac{\alpha_s C_F}{4\pi} \left[\frac{2}{\epsilon^2} + \frac{2}{\epsilon} \ln \left(\frac{\mu^2}{-Q^2 - i0} \right) + \frac{3}{\epsilon} + \dots \right]$$

$\overline{\text{MS}}$ counterterm $(Z_C - 1) \times$ 


$$= \frac{\alpha_s C_F}{4\pi} \left[-\frac{2}{\epsilon^2} - \frac{2}{\epsilon} \ln \left(\frac{\mu^2}{-Q^2 - i0} \right) - \frac{3}{\epsilon} + \dots \right]$$

$$C^{\text{bare}} = Z_C C(\mu)$$

RGE:

$$0 = \mu \frac{d}{d\mu} C^{\text{bare}} = \left[\mu \frac{d}{d\mu} Z_C \right] C(\mu) + Z_C \left[\mu \frac{d}{d\mu} C(\mu) \right] \quad \Rightarrow \quad \mu \frac{d}{d\mu} C(\mu) = \gamma_C C(\mu)$$

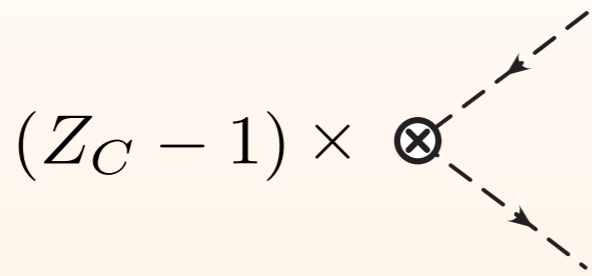
$$\gamma_C = (-Z_C^{-1}) \mu \frac{d}{d\mu} Z_C = (-1) \frac{C_F}{4\pi} \left[(-2\epsilon \alpha_s) \left(\frac{-2}{\epsilon^2} - \frac{2}{\epsilon} \ln \frac{\mu^2}{-Q^2} - \frac{3}{\epsilon} \right) + \alpha_s \left(\frac{-4}{\epsilon} \right) \right]$$


 $\mu \frac{d}{d\mu} \alpha_s = -2\epsilon \alpha_s + \dots$

$$= -\frac{\alpha_s(\mu)}{4\pi} \left[4C_F \ln \frac{\mu^2}{-Q^2} + 6C_F \right] \quad \text{finite}$$

$$\text{sum} = \frac{\alpha_s C_F}{4\pi} \left[\frac{2}{\epsilon^2} + \frac{2}{\epsilon} \ln \left(\frac{\mu^2}{-Q^2 - i0} \right) + \frac{3}{\epsilon} + \dots \right]$$

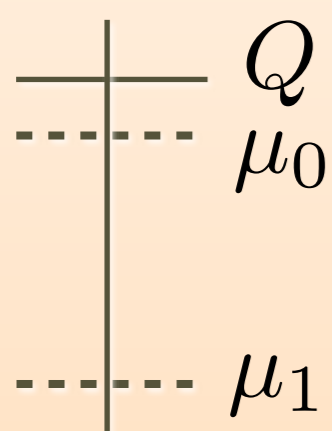
$\overline{\text{MS}}$
counterterm



$$(Z_C - 1) \times \text{diagram} = \frac{\alpha_s C_F}{4\pi} \left[-\frac{2}{\epsilon^2} - \frac{2}{\epsilon} \ln \left(\frac{\mu^2}{-Q^2 - i0} \right) - \frac{3}{\epsilon} + \dots \right]$$

$$C^{\text{bare}} = Z_C C(\mu)$$

RGE: square the amplitude: $H = |C(\mu)|^2$



$$\mu \frac{d}{d\mu} H(Q, \mu) = (\gamma_C + \gamma_C^*) H(Q, \mu) = -\frac{\alpha_s(\mu)}{2\pi} \left[\underbrace{8C_F \ln \frac{\mu}{Q}}_{\text{leading log (LL) term}} + \underbrace{6C_F}_{\text{needed at NLL}} \right] H(Q, \mu)$$

$\rightarrow H(Q, \mu_1) = H(Q, \mu_0) \exp \left[-\# \alpha_s \ln^2 \frac{\mu_1}{Q} + \dots \right]$ frozen coupling
 $H(Q, \mu_1) = H(Q, \mu_0) \exp \left[-\# \frac{1}{\alpha_s(\mu_0)} f \left(\frac{\alpha_s(\mu_1)}{\alpha_s(\mu_0)} \right) + \dots \right]$ running coupling

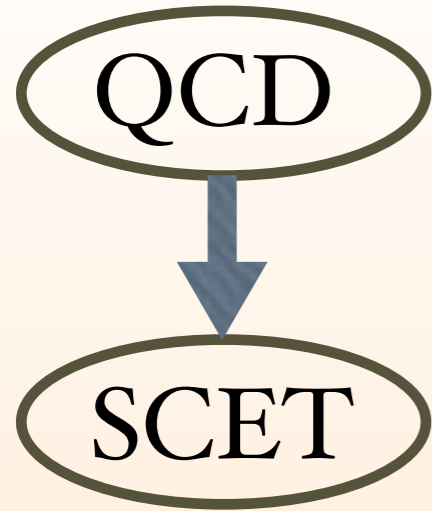
Complete Solution
derived in
Homework

Sudakov
Form Factor

$\bar{\chi}_n \gamma_{\perp}^{\mu} \chi_{\bar{n}}$ restricts radiation, Sudakov = no emission probability

One-Loop Matching Calculation

IR: $p^2 = \bar{p}^2 \neq 0$
both theories



$$\mathcal{L}_{\text{QCD}} + J = \bar{\psi} \gamma^\mu \psi$$

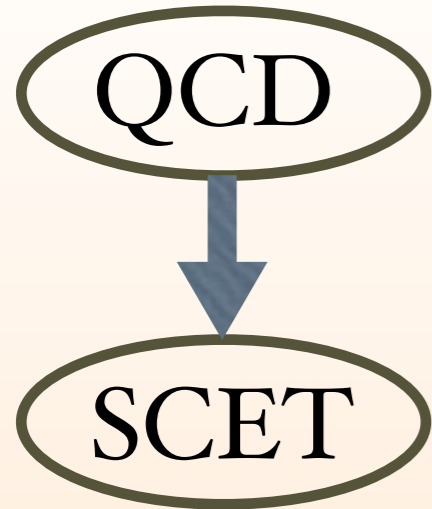
$$\mathcal{L}_{\text{SCET}}^{(0)} + C \bar{\chi}_n \gamma_\perp^\mu \chi_{\bar{n}}$$

find C at $\mathcal{O}(\alpha_s)$

$$(1\text{-loop ren. QCD}) - (1\text{-loop ren. SCET}) = C^{\text{1loop}} \langle \bar{\chi}_n \gamma_\perp^\mu \chi_{\bar{n}} \rangle_{\text{tree}}$$

One-Loop Matching Calculation

IR: $p^2 = \bar{p}^2 \neq 0$
both theories



$$\mathcal{L}_{\text{QCD}} + J = \bar{\psi} \gamma^\mu \psi$$

$$\mathcal{L}_{\text{SCET}}^{(0)} + C \bar{\chi}_n \gamma_\perp^\mu \chi_{\bar{n}}$$

find C at $\mathcal{O}(\alpha_s)$

$$(1\text{-loop ren. QCD}) - (1\text{-loop ren. SCET}) = C^{\text{1loop}} \langle \bar{\chi}_n \gamma_\perp^\mu \chi_{\bar{n}} \rangle_{\text{tree}}$$

QCD

$$= \frac{\alpha_s C_F}{4\pi} \left[-2 \ln^2 \frac{p^2}{Q^2} - 3 \ln \frac{p^2}{Q^2} - 1 - \frac{2\pi^2}{3} \right]$$

SCET

$$= \frac{\alpha_s C_F}{4\pi} \left[2 \ln^2 \frac{\mu^2}{-p^2} + 3 \ln \frac{\mu^2}{-p^2} - \ln^2 \left(\frac{\mu^2 Q^2}{-p^4} \right) + 7 - \frac{5\pi^2}{6} \right]$$

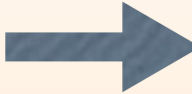
collinear
soft

$$= \frac{\alpha_s C_F}{4\pi} \left[\ln^2 \frac{\mu^2}{-Q^2} - 2 \ln^2 \frac{p^2}{Q^2} - 3 \ln \frac{p^2}{Q^2} + 3 \ln \frac{\mu^2}{-Q^2} + 7 - \frac{5\pi^2}{6} \right]$$

IR divergences match

One-Loop Matching Calculation

$$\text{QCD} - \text{SCET} = \frac{\alpha_s C_F}{4\pi} \left[-\ln^2 \frac{\mu^2}{-Q^2} - 3 \ln \frac{\mu^2}{-Q^2} - 8 + \frac{\pi^2}{6} \right]$$

 $C(Q, \mu) = 1 + \frac{\alpha_s(\mu) C_F}{4\pi} \left[-\ln^2 \frac{\mu^2}{-Q^2} - 3 \ln \frac{\mu^2}{-Q^2} - 8 + \frac{\pi^2}{6} \right]$

One-Loop Matching Calculation

$$\text{QCD} - \text{SCET} = \frac{\alpha_s C_F}{4\pi} \left[-\ln^2 \frac{\mu^2}{-Q^2} - 3 \ln \frac{\mu^2}{-Q^2} - 8 + \frac{\pi^2}{6} \right]$$

$$\longrightarrow C(Q, \mu) = 1 + \frac{\alpha_s(\mu) C_F}{4\pi} \left[-\ln^2 \frac{\mu^2}{-Q^2} - 3 \ln \frac{\mu^2}{-Q^2} - 8 + \frac{\pi^2}{6} \right]$$

Once we know how this works, there is a much easier way to get this answer.

Result for C is independent of our choice of IR regulator. Use dim.reg. for IR too.

$$\text{[Diagrams]} = \frac{\alpha_s C_F}{4\pi} \left[-\frac{2}{\epsilon_{\text{IR}}^2} - \frac{2}{\epsilon_{\text{IR}}} \ln \frac{\mu^2}{-Q^2} - \frac{3}{\epsilon_{\text{IR}}} - \ln^2 \frac{\mu^2}{-Q^2} - 3 \ln \frac{\mu^2}{-Q^2} - 8 + \frac{\pi^2}{6} \right]$$

$$\propto \frac{1}{\epsilon_{\text{UV}}^2} - \frac{1}{\epsilon_{\text{IR}}^2}, \quad \frac{1}{\epsilon_{\text{UV}}} - \frac{1}{\epsilon_{\text{IR}}} \quad \text{vanish for } \epsilon_{\text{UV}} = \epsilon_{\text{IR}}$$

$$(Z_C - 1) \times \text{[Tree-level vertex]} = \frac{\alpha_s C_F}{4\pi} \left[-\frac{2}{\epsilon_{\text{UV}}^2} - \frac{2}{\epsilon_{\text{UV}}} \ln \left(\frac{\mu^2}{-Q^2 - i0} \right) - \frac{3}{\epsilon_{\text{UV}}} + \dots \right]$$

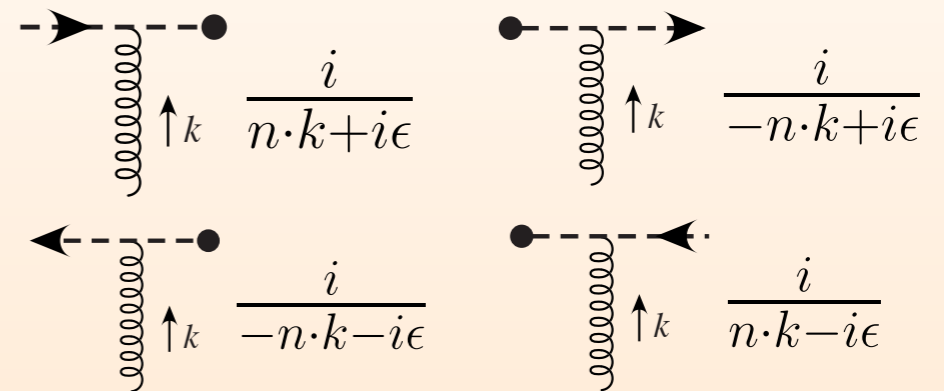
$$\text{QCD} - \text{SCET} = \frac{\alpha_s C_F}{4\pi} \left[-\ln^2 \frac{\mu^2}{-Q^2} - 3 \ln \frac{\mu^2}{-Q^2} - 8 + \frac{\pi^2}{6} \right] = \text{same as above} = \text{IR finite part of QCD calculation with this regulator}$$

Ultrasoft - Collinear Factorization

Multipole Expansion:

$$\mathcal{L}_c^{(0)} = \bar{\xi}_n \left\{ n \cdot iD_{us} + gn \cdot A_n + i\mathcal{D}_\perp^c \frac{1}{i\bar{n} \cdot D_c} i\mathcal{D}_\perp^c \right\} \frac{\not{n}}{2} \xi_n$$

usoft gluons have eikonal Feynman rules and induce eikonal propagators



Field Redefinition:

$$\xi_n \rightarrow Y_n \xi_n, \quad A_n \rightarrow Y_n A_n Y_n^\dagger$$

$$Y_n(x) = P \exp \left(ig \int_{-\infty}^0 ds n \cdot A_{us}(x + ns) \right)$$

$$n \cdot D_{us} Y_n = 0, \quad Y_n^\dagger Y_n = 1$$

gives $\mathcal{L}_{n\xi}^{(0)} = \bar{\xi}_n \left\{ n \cdot iD_{us} + \dots \right\} \frac{\not{n}}{2} \xi_n \implies \bar{\xi}_n \left\{ n \cdot iD_n + i\mathcal{D}_{n\perp} \frac{1}{i\bar{n} \cdot D_n} i\mathcal{D}_{n\perp} \right\} \frac{\not{n}}{2} \xi_n$

similar for $\mathcal{L}_{ng}^{(0)}$

Moves all usoft gluons to operators, simplifies cancellations

Field Theory gives the same results pre- and post- field redefinition, but the organization is different

Ultrasoft - Collinear Factorization:

$$\xi_n \rightarrow Y_n \xi_n$$

also $W_n \rightarrow Y_n W_n Y_n^\dagger$

eg1. $\bar{\chi}_n \gamma_\perp^\mu \chi_{\bar{n}} \implies \bar{\chi}_n (Y_n^\dagger Y_{\bar{n}}) \gamma_\perp^\mu \chi_{\bar{n}}$

usoft-collinear factorization is simple in SCET

eg2. $\bar{\chi}_n \frac{\not{n}}{2} \chi_n \implies \bar{\chi}_n (Y_n^\dagger Y_n) \frac{\not{n}}{2} \chi_n = \bar{\chi}_n \frac{\not{n}}{2} \chi_n$

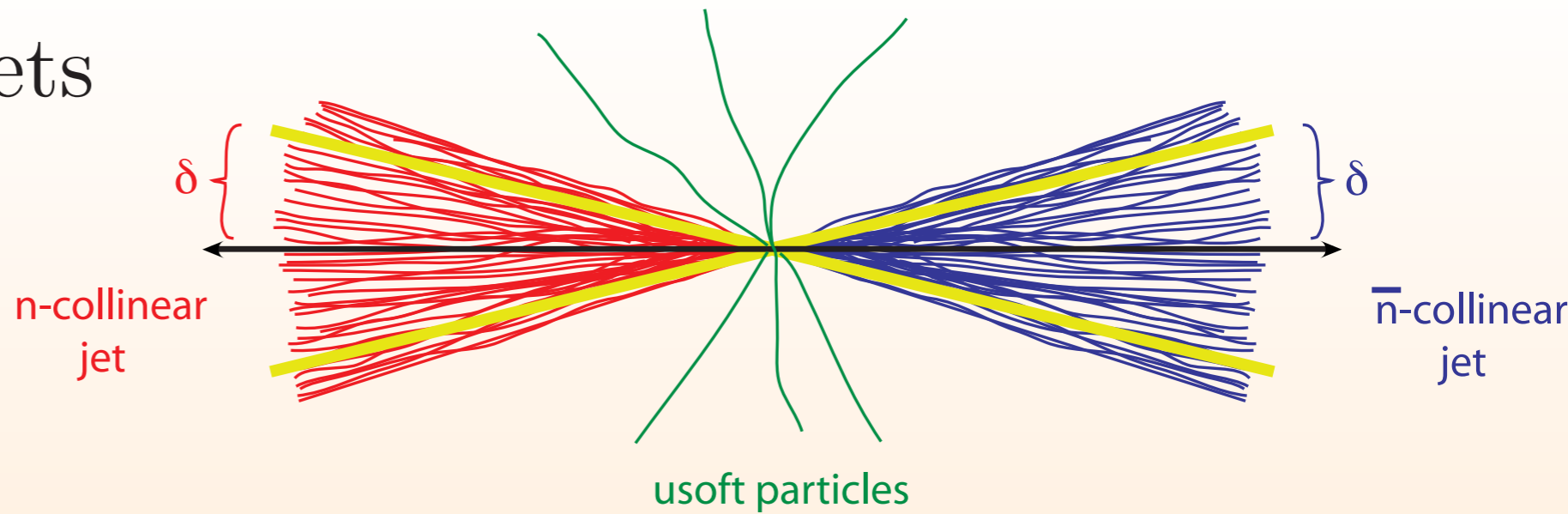
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note: not upset by $\delta(\omega - i\bar{n} \cdot \partial_n)$

since ultrasoft gluons carry no $i\bar{n} \cdot \partial_n \sim \lambda^0$ momenta

eg. $e^+e^- \rightarrow 2$ jets

event shape in
two jet region



$$\frac{d\sigma}{de} = \frac{1}{Q^2} \sum_X \mathbb{L}_{\mu\nu} \langle 0 | J^{\dagger\nu}(0) | X \rangle \langle X | J^\mu(0) | 0 \rangle \delta(e - e(X)) \delta^4(q - p_X)$$

$$\begin{aligned} \text{SCET}_I \quad J^{(0)} &= \int d\omega d\bar{\omega} C(\omega, \bar{\omega}) \bar{\chi}_{n,\omega} \Gamma \chi_{\bar{n},\bar{\omega}} \\ &= \int d\omega d\bar{\omega} C(\omega, \bar{\omega}) \bar{\chi}_{n,\omega} Y_n^\dagger \Gamma Y_{\bar{n}} \chi_{\bar{n},\bar{\omega}} \end{aligned}$$

$$\chi_{n,\omega} = \delta(\omega - i\bar{n} \cdot \partial_n) \chi_n$$

$$|X\rangle = |X_n X_{\bar{n}} X_{us}\rangle$$

$$\frac{d\sigma}{de} = \frac{1}{Q^2} \sum_{X_{us}, X_{\bar{n}}, X_n} \mathbb{L}_{\mu\nu} \int [d\omega_i] C(\omega, \bar{\omega}) C(\omega', \bar{\omega}') \langle 0 | (\tilde{Y}_{\bar{n}}^\dagger \Gamma \tilde{Y}_n) | X_{us} \rangle \langle X_{us} | (Y_n^\dagger \Gamma Y_{\bar{n}}) | 0 \rangle$$

$$\langle 0 | \bar{\chi}_{\bar{n}, \bar{\omega}'} | X_{\bar{n}} \rangle \langle X_{\bar{n}} | \chi_{\bar{n}, \bar{\omega}} | 0 \rangle \langle 0 | \chi_{n, \omega'} | X_n \rangle \langle X_n | \bar{\chi}_{n, \omega} | 0 \rangle \delta(e - e(X)) \delta^4(q - p_X)$$

should specify “e” to go further. One example is thrust: $\tau = 1 - T \ll 1$

$$\frac{d\sigma}{d\tau} = \sigma_0 |C(Q, \mu)|^2 \int dl^+ dl^- ds ds' J(s - Ql^+, \mu) J(s' - Ql^-, \mu) S(l^-, l^+, \mu) \delta\left(\tau - \frac{s + s'}{Q^2}\right)$$

hard
perturbative
corrections

perturbative
jet functions

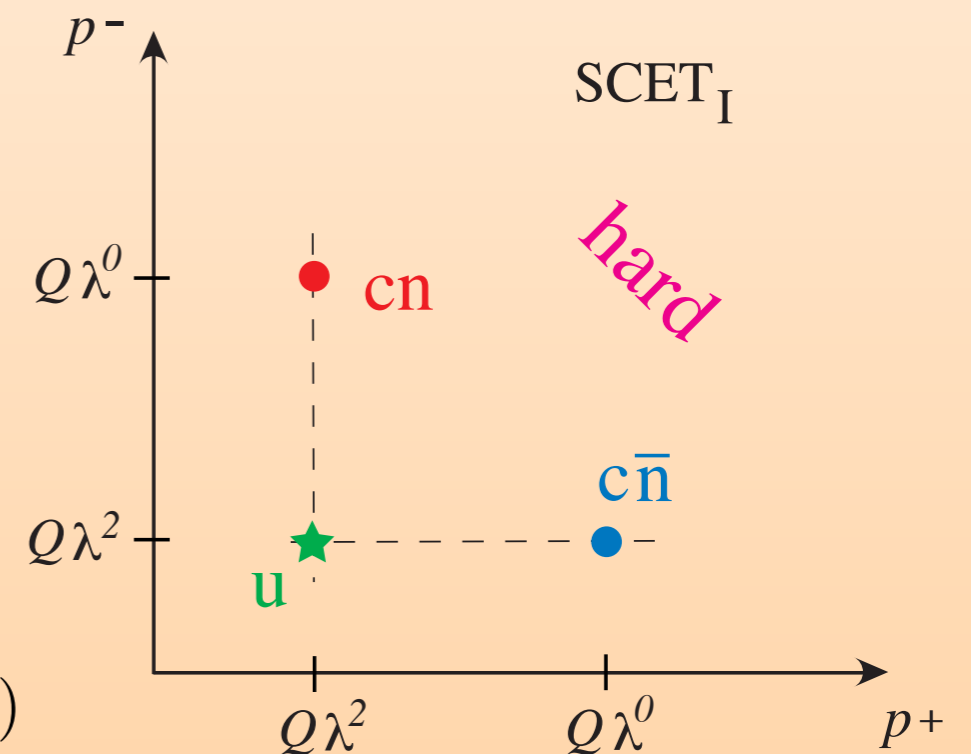
soft function

Homework:

Compute the jet function
at one-loop

- sum large $\alpha_s \ln^2 \tau$ terms with RGE of **C**, **J**, **S**
- dominant nonperturbative hadronization corrections contained in S:

$$S(l^+, l^-, \mu) = \int dk dk' S^{\text{pert}}(l^+ - k, l^- - k', \mu) F(k, k')$$



Non-perturbative Factorization:

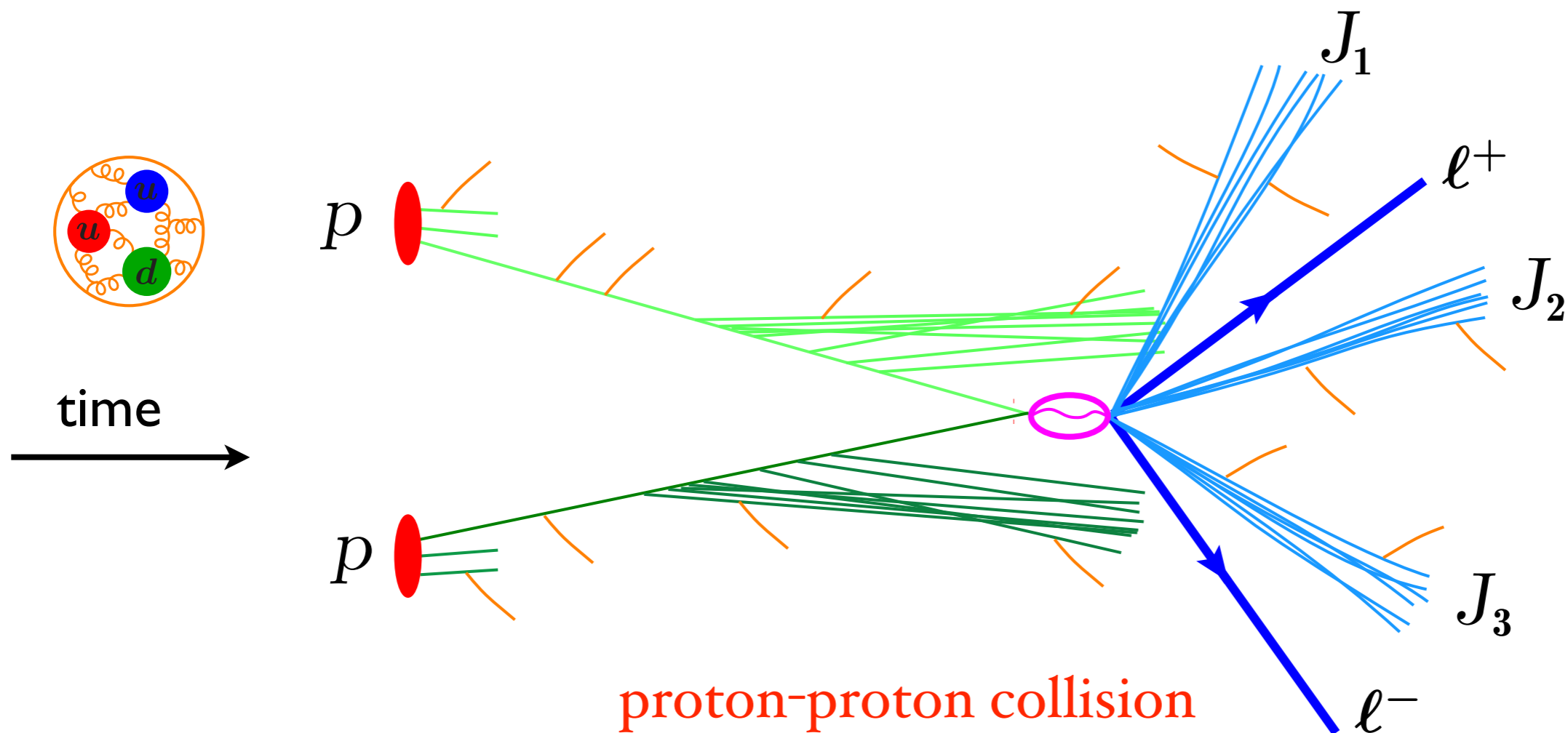
$$d\sigma = f_a f_b \otimes \hat{\sigma} \otimes F$$

parton distributions → $f_a f_b$
perturbative partonic cross section → $\hat{\sigma}$
hadronization (eg. frag. functions) → F

eg. Inclusive Higgs production

$pp \rightarrow \text{Higgs} + \text{anything}$

$$d\sigma = \int dY \sum_{i,j} \int \frac{d\xi_a}{\xi_a} \frac{d\xi_b}{\xi_b} f_i(\xi_a, \mu) f_j(\xi_b, \mu) H_{ij}^{\text{incl}} \left(\frac{m_H e^Y}{E_{\text{cm}} \xi_a}, \frac{m_H e^{-Y}}{E_{\text{cm}} \xi_b}, m_H, \mu \right)$$

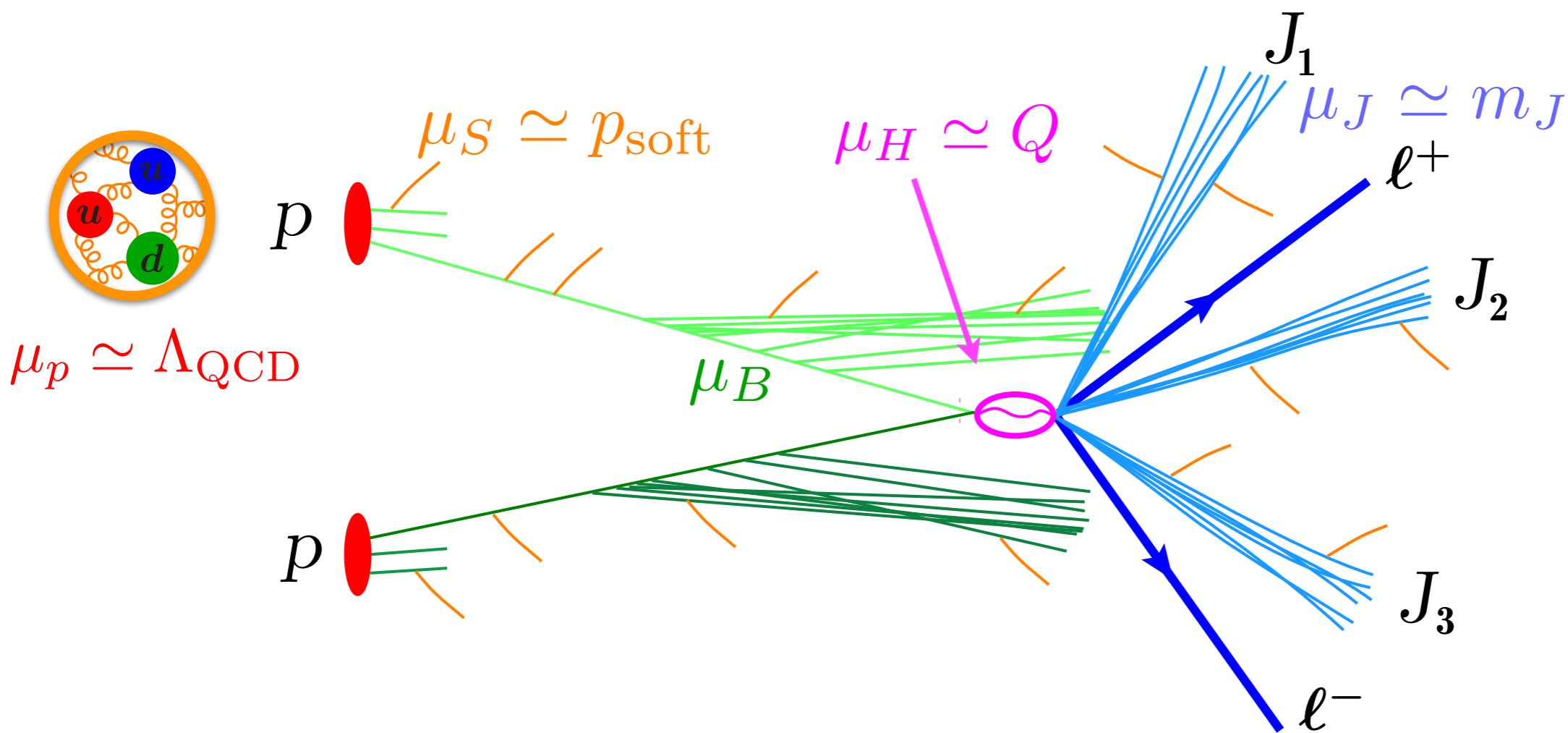
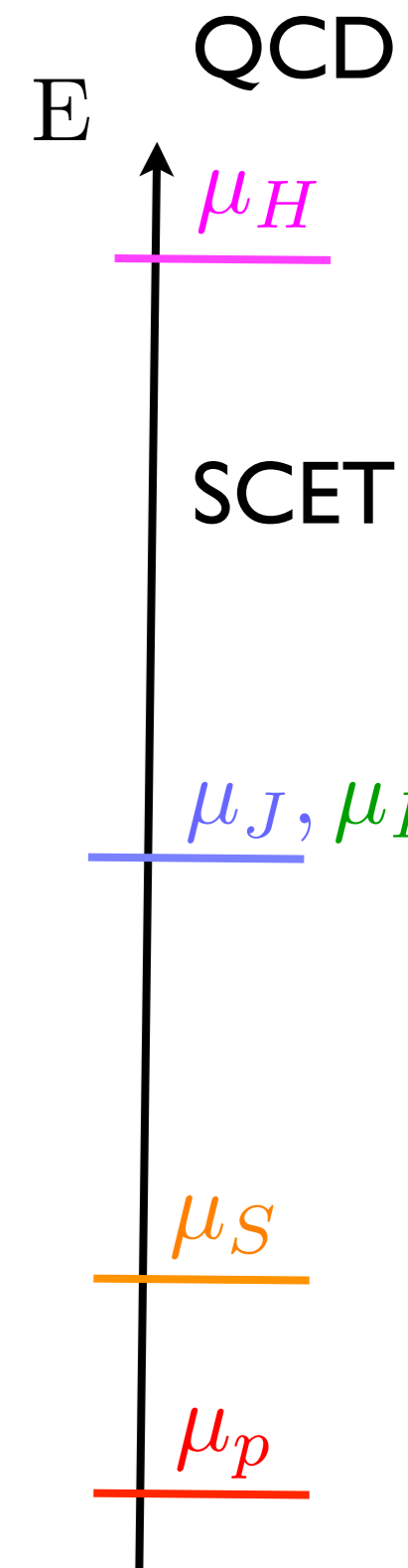


Perturbative Factorization:

for multi-scale problems

$$\hat{\sigma}_{\text{fact}} = \mathcal{I}_a \mathcal{I}_b \otimes H \otimes \prod_i J_i \otimes S$$

μ_B
 μ_H
 μ_J
 μ_S



$$\mu_p \simeq \Lambda_{\text{QCD}}$$