

Introduction to the Soft - Collinear Effective Theory

An effective field theory for energetic hadrons & jets

Lecture 1

Methods of Effective Field Theory & Lattice Field Theory
FGZ-PH Summer School, Munich, Germany
July 2017

Outline (Lecture I)

- EFT concepts
Intro to SCET
SCET degrees of freedom
 - SCET_I, momentum scales and regions
 - Field power counting in SCET
 - Wilson lines, W , from off shell propagators
 - Gauge Symmetry
 - Hard-Collinear Factorization
 - eg. Deep Inelastic Scattering
- } Done on the Board
(See the lecture notes below.)

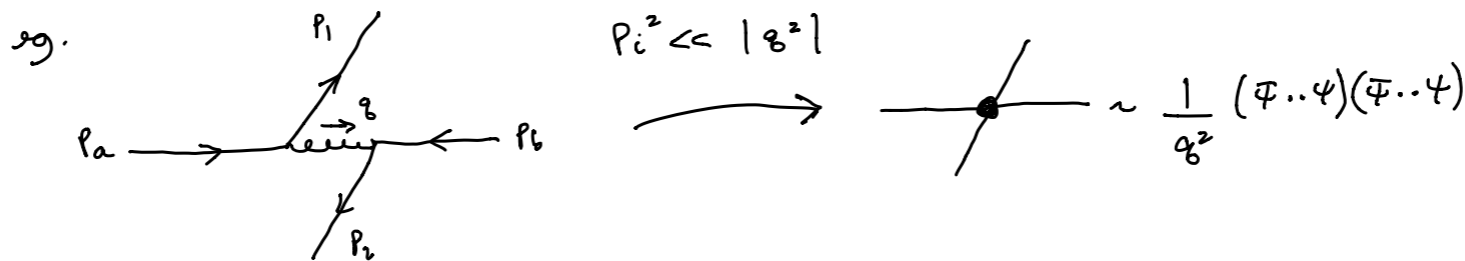
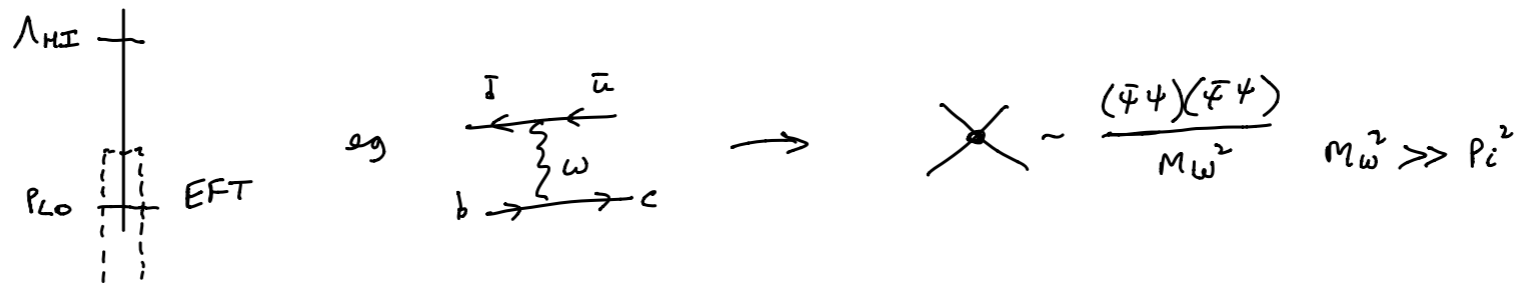
- EFT treatment of Soft & Collinear IR physics for hard collisions in QCD (or decays with large E released)

⇒ jets, energetic hadrons, soft partons/hadrons

eg. $e^+e^- \rightarrow 2\text{-jets}$, $e^-p \rightarrow e^-X$ (DIS), $pp \rightarrow H + 1\text{-jet}$, $B \rightarrow \pi\pi$, jet substructure, ... [many many more]

Concepts: Factorization, Wilson Lines, Sudakov Double Logs, ...

Decoupling Effects from heavy or offshell particles are suppressed/decouple $p_{Lo} \ll \Lambda_{HI}$



say $p_i^2 = 0$ on-shell, $q = p_a - p_1 = n_a E_a - n_1 E_1$

$$n_a = (1, \hat{z}), \quad n_a^2 = 0$$

$$n_1 = (1, \hat{n}), \quad n_1^2 = 0$$

$$q^2 = -2E_a E_1 n_a \cdot n_1 = -2E_a E_1 (1 - \hat{z} \cdot \hat{n})$$

$q^2 \sim Q^2$ "hard"

large if energies big & deflection angles large

EFT • degrees of freedom → what fields low energy/nearly onshell modes

- symmetries → constrain operators [Lorentz, Gauge, Global...]

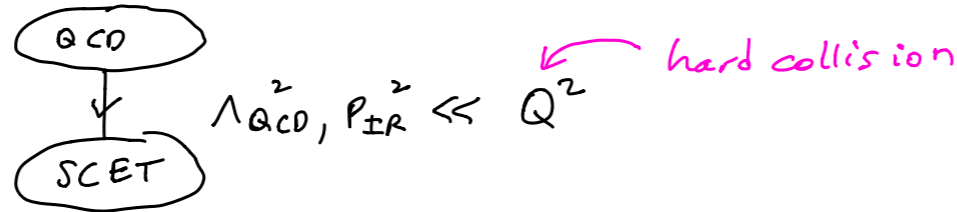
- expansions → power counting (importance of operators, leading order description)

$$\mathcal{L}_{\text{EFT}} = \mathcal{L}^{(0)} + \mathcal{L}^{(1)} + \mathcal{L}^{(2)} + \dots$$

often in mass dimension of operators, but not so in SCET
 (∞ # operators, but only specific subset needed at given order)

- Power counting handles powers $\frac{P_{LO}}{\Lambda_{HI}} \ll 1$
- Renormalization group handles logs $\ln\left(\frac{P_{LO}}{\Lambda_{HI}}\right)$ which may be large as $\ln(\dots) \sim 1$

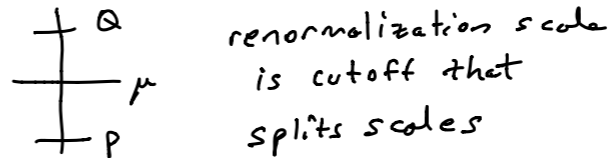
Matching SCET is a "top-down EFT" [Hewek, HQET, NRQCD, SCET, ...]



$$\mathcal{L}_{\text{SCET}}^{(K)} = \sum_i C_i(\mu) \mathcal{O}_i^{(K)}(\mu)$$

Calculate C, Construct O

↑ short. dist. (offshell)
 ↑ long dist. (~ on-shell)



- $\mathcal{L}_{\text{QCD}} \neq \mathcal{L}_{\text{SCET}}$ have same IR, differ in UV
- $C_i(\mu)$ does not depend on IR scales (masses in EFT, Λ_{QCD} , IR regulators, ...)

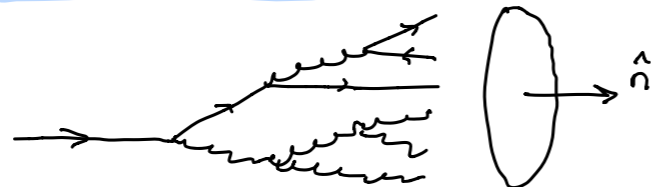
degrees of freedom

consider $e^+e^- \rightarrow 2$ jets



Jets/collinear

due to collinear (& soft) enhancements in QCD



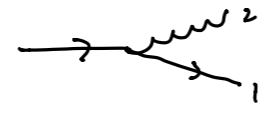
- Collimated radiation in direction \hat{n}
- $E_{\text{jet}} \sim Q$

Let $n^\mu = (1, \hat{n})$
 $\bar{n}^\mu = (1, -\hat{n})$
 $n^2 = \bar{n}^2 = 0, n \cdot \bar{n} = 2$

$p^\mu = \underbrace{\bar{n} \cdot p}_{p^-} \frac{n^\mu}{2} + \underbrace{n \cdot p}_{p^+} \frac{\bar{n}^\mu}{2} + p_\perp^\mu$
 $p^2 = n \cdot p \bar{n} \cdot p + p_\perp^2 - p_\perp^2$

Collinear ?

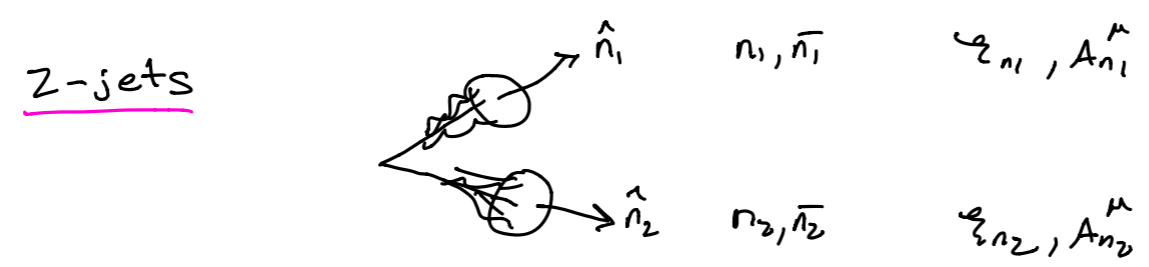
1 massless particle: $p^\mu = \pi \cdot p \frac{n^\mu}{2}$

2 massless:  $p_i^\mu = \underbrace{\bar{n} \cdot p_i}_{\sim Q, \text{ large}} \frac{n^\mu}{2} + \underbrace{p_{i\perp}^\mu}_{\sim \lambda Q, \lambda \ll 1, \text{ collimated}} + \underbrace{n \cdot p_i}_{\sim \lambda^2 Q, \text{ nearly on-shell}} \frac{\bar{n}^\mu}{2}$
 $n \cdot p_i = -\frac{p_{i\perp}^2}{\bar{n} \cdot p_i}$
 $\lambda \ll 1$ dimensionless power counting parameters

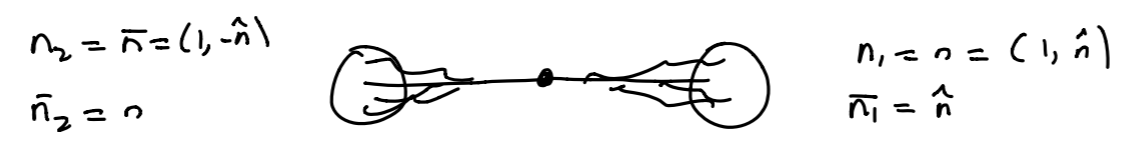
n-Collinear Fields: quark ψ_n gluon A_n^μ $p^\mu \sim Q(\lambda^2, 1, \lambda)$

energetic hadron: $p_\perp \sim \Lambda_{QCD} \Rightarrow \lambda \sim \frac{\Lambda_{QCD}}{Q}$ energetic quarks & gluons confine into single hadron

jet of hadrons: $1 \gg \lambda \gg \frac{\Lambda_{QCD}}{Q}$



back-to-back jets:



Soft

$P_S^\mu \sim Q \lambda^\alpha$

all components small & homogeneous

Value of α depends on what we measure

eg 1 Mass in (large enough) region a , $M_a^2 = (\sum_{i \in a} p_i^\mu)^2$
[mass of R=1 jet, hemisphere mass, ...]

n-collinear + n-collinear $(p_n + p_n')^2 = 2 p_n \cdot p_n' \sim Q^2 \lambda^2$
+ -
- +
+ +

\therefore demand $M_a^2 \sim Q^2 \lambda^2 \ll Q^2 \sim E_{jet}^2$ [collimated jet]

collinear + soft

$\sum_i p_i^\mu \rightarrow p_n$ $(p_n + p_s)^2 = 2 p_n \cdot p_s = \bar{n} \cdot p_n n \cdot p_s + \dots \sim Q^2 \lambda^\alpha$
 $\lambda^0 \neq \lambda^\alpha$ \downarrow suppressed

$\therefore \alpha = 2$ to contribute "ultrasoft"

eg 2 Transverse Momenta, broadening $\theta_\perp = \sum_{i \in a} |\vec{p}_{i\perp}| \ll Q$
 $\sim \lambda$

\sum collinear \checkmark
soft $\Rightarrow \alpha = 1$ "soft"

Go to Slides # 1 to # 14

Discussion after # 14

Slides # 15-17

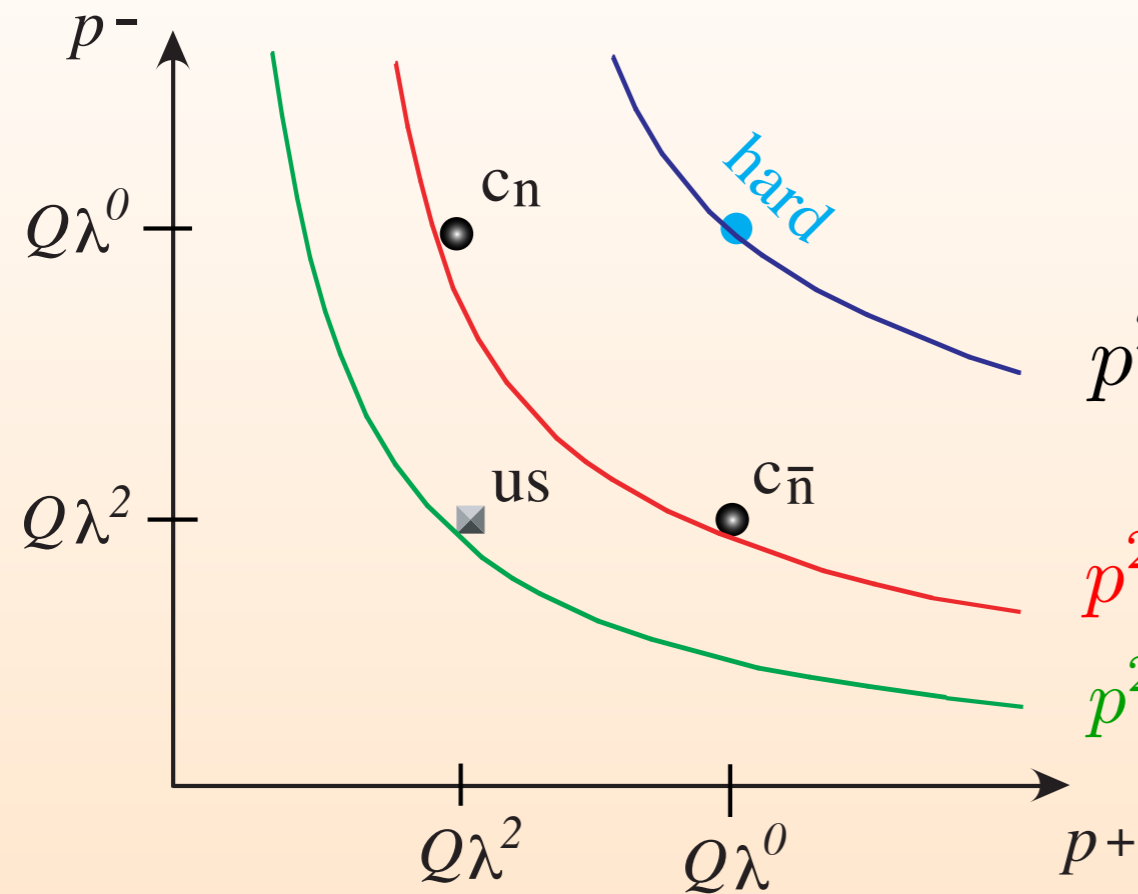
trades $\bar{n} \cdot A_n \rightarrow W_n$

$W_n^\dagger W_n = 1 = W_n W_n^\dagger$

$[i \bar{n} \cdot \partial_n W_n] = 0$

$\therefore i \bar{n} \cdot \partial_n W_n \mathbb{1} = W_n i \bar{n} \cdot \partial_n \mathbb{1}$
 $W_n^\dagger i \bar{n} \cdot \partial_n W_n = i \bar{n} \cdot \partial_n$ as operator
 $i \bar{n} \cdot \partial_n = W_n i \bar{n} \cdot \partial_n W_n^\dagger$
collinear gauge singlet

Two jets and ultrasoft radiation

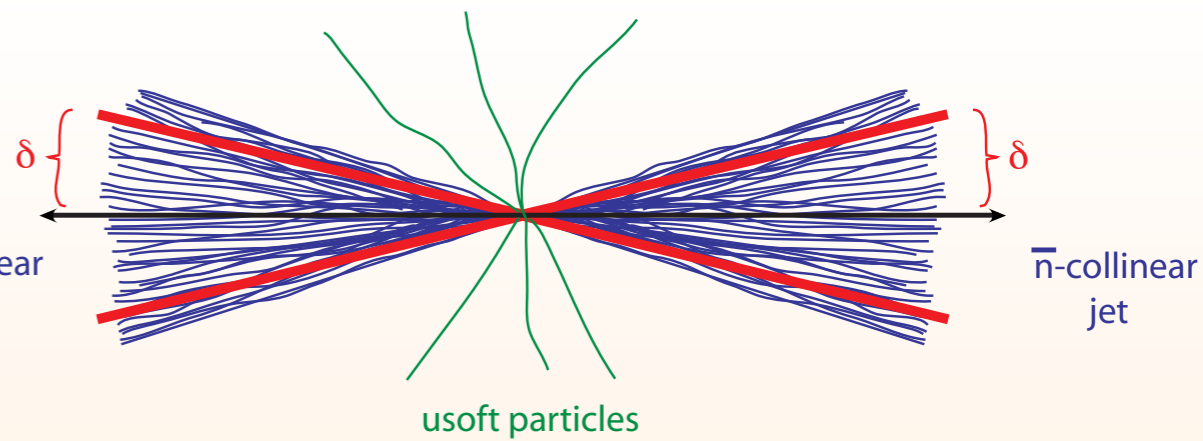


$$p^2 = Q^2$$

$$p^2 = Q^2 \lambda^2 \sim M_{a,b}^2$$

$$p^2 = Q^2 \lambda^4$$

n -collinear
jet



$$p^2 = p^+ p^-$$

for picture

Comments:

- 1) multiple modes for IR
- 2) integrate out modes above a hyperbola

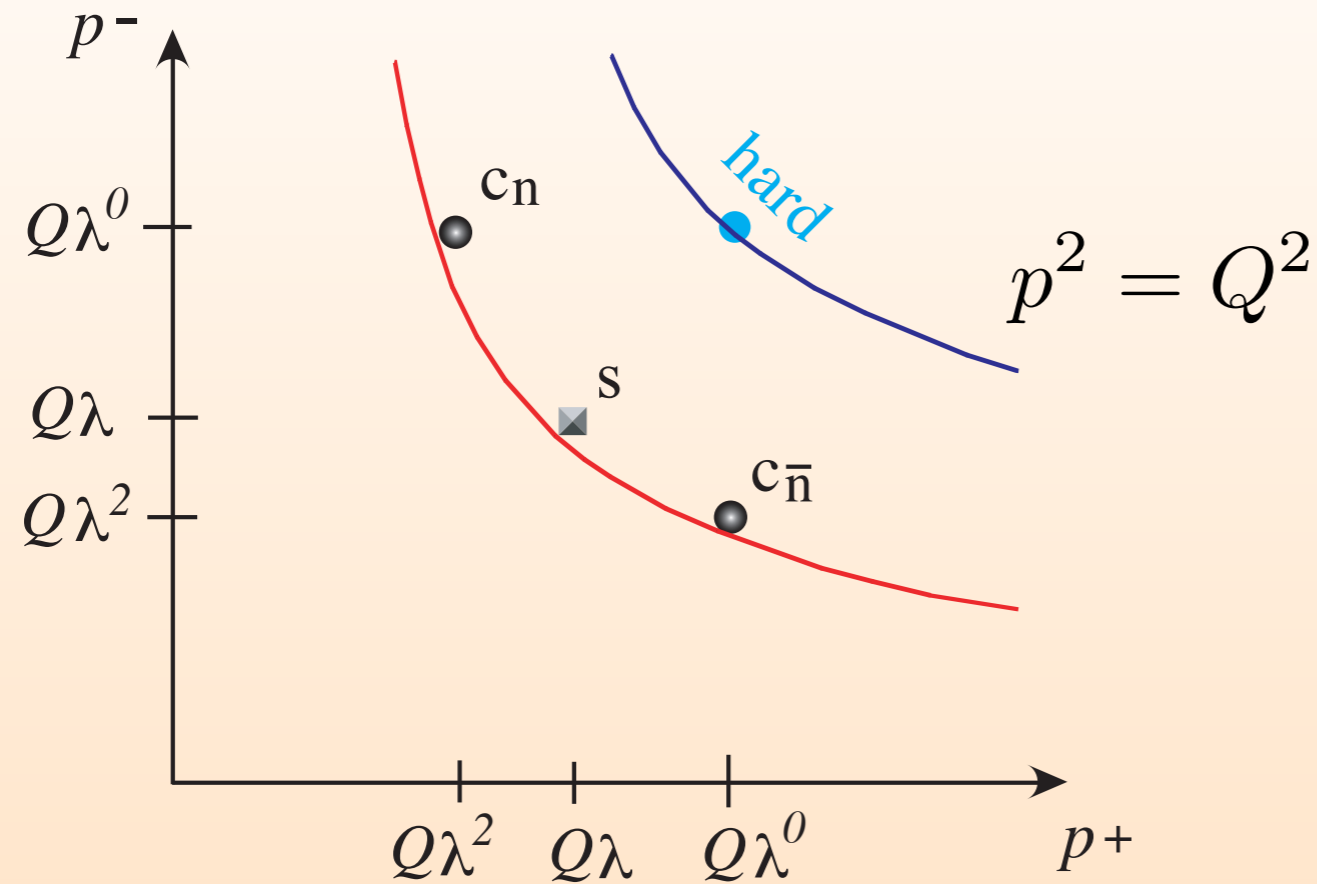
SCET_I

modes	$p^\mu = (+, -, \perp)$	p^2	fields
n -collinear	$Q(\lambda^2, 1, \lambda)$	$Q^2 \lambda^2$	ξ_n, A_n^μ
\bar{n} -collinear	$Q(1, \lambda^2, \lambda)$	$Q^2 \lambda^2$	$\xi_{\bar{n}}, A_{\bar{n}}^\mu$
usoft	$Q(\lambda^2, \lambda^2, \lambda^2)$	$Q^2 \lambda^4$	q_{us}, A_{us}^μ

Another SCET: SCET_{II}

(not covered here)

Two jets and soft radiation with p_{\perp} -type measurement



$$p^2 = p^+ p^-$$

for picture

soft $p_s^\mu \sim Q\lambda$

instead of ultrasoft $p_{us}^\mu \sim Q\lambda^2$

SCET_{II}

modes	$p^\mu = (+, -, \perp)$	p^2	fields
n -collinear	$Q(\lambda^2, 1, \lambda)$	$Q^2\lambda^2$	ξ_n, A_n^μ
\bar{n} -collinear	$Q(1, \lambda^2, \lambda)$	$Q^2\lambda^2$	$\xi_{\bar{n}}, A_{\bar{n}}^\mu$
soft	$Q(\lambda, \lambda, \lambda)$	$Q^2\lambda^2$	q_s, A_s^μ

n-Collinear Propagators

$$p^2 + i\epsilon = \bar{n} \cdot p \ n \cdot p - \vec{p}_\perp^2 + i\epsilon$$

$$\sim \lambda^0 * \lambda^2 - (\lambda)^2 \quad \text{same size}$$

Collinear Fermions

$$\frac{i \not{p}}{p^2 + i\epsilon} = \frac{i \not{n}}{2} \frac{\bar{n} \cdot p}{p^2 + i\epsilon} + \dots$$

$$= \frac{i \not{n}}{2} \frac{1}{n \cdot p - \frac{\vec{p}_\perp^2}{\bar{n} \cdot p} + i\epsilon \text{ sign}(\bar{n} \cdot p)} + \dots$$

thus we expect

$$\underbrace{\int d^4x e^{ip \cdot x}}_{\lambda^{-4}} \underbrace{\langle 0 | T \xi_n(x) \bar{\xi}_n(0) | 0 \rangle}_{\text{must be } \lambda^2} = \frac{i \not{n}}{2} \underbrace{\frac{\bar{n} \cdot p}{p^2 + i\epsilon}}_{\lambda^{-2}}$$

so $\xi_n \sim \lambda$
power counting for the field

$$d^4x \sim (dp^+ dp^- d^2p_\perp)^{-1}$$

$$\lambda^2 \quad \lambda^0 \quad (\lambda)^2$$

This also implies: $\not{n} \xi_n = 0$ since $\not{n}^2 = n^2 = 0$

Projection:

Take $\xi_n = \frac{\not{n}\not{\bar{n}}}{4}\psi$ for spin

$$\frac{\not{n}\not{\bar{n}}}{4}\xi_n = \xi_n, \quad \not{n}\xi_n = 0$$

For spinors:

QCD

SCET

$p^+ \ll p^-$

$$u_n = \frac{\not{n}\not{\bar{n}}}{4} u^{\text{QCD}}$$

$$u_+(p) = |p+\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{p^-} \\ \sqrt{p^+} e^{i\phi_p} \\ \sqrt{p^-} \\ \sqrt{p^+} e^{i\phi_p} \end{pmatrix} \Rightarrow u_n^+ = \sqrt{\frac{p^-}{2}} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$e^{\pm i\phi_p} = \frac{p_{\perp}^1 \pm ip_{\perp}^2}{\sqrt{p^+ p^-}}$$

$$u_-(p) = |p-\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{p^+} e^{i\phi_p} \\ -\sqrt{p^-} \\ -\sqrt{p^+} e^{i\phi_p} \\ \sqrt{p^-} \end{pmatrix} \Rightarrow u_n^- = \sqrt{\frac{p^-}{2}} \begin{pmatrix} 0 \\ -1 \\ 0 \\ 1 \end{pmatrix}$$

Check:

$$\sum_s u_n^s \bar{u}_n^s = \frac{\not{n}\not{\bar{n}}}{4} \sum_s u^s \bar{u}^s \frac{\not{\bar{n}}\not{n}}{4} = \frac{\not{n}\not{\bar{n}}}{4} \not{p} \frac{\not{\bar{n}}\not{n}}{4} = \frac{\not{n}}{2} \bar{n} \cdot p$$

agrees with numerator of propagator

$$i \frac{\not{n}}{2} \frac{\bar{n} \cdot p}{p^2 + i\epsilon}$$

Gauge Fields for SCET_I

Collinear Gluons - same propagator as QCD

covariant gauges

$$\int d^4x e^{ip \cdot x} \langle 0 | T A_n^\mu(x) A_n^\nu(0) | 0 \rangle = \frac{-i}{p^2} \left(g^{\mu\nu} - \tau \frac{p^\mu p^\nu}{p^2} \right)$$

solution

$$(A_n^+, A_n^-, A_n^\perp) \sim (\lambda^2, 1, \lambda) \sim p^\mu$$

components
scale
differently

Usoft Gluon

$$A_{us}^\mu \sim (\lambda^2, \lambda^2, \lambda^2) \sim p_{us}^\mu$$

Usoft Quark

$$q_{us} \sim \lambda^3$$

Power Counting Summary

Type	(p^+, p^-, p^\perp)	Fields	Field Scaling
collinear	$(\lambda^2, 1, \lambda)$	$\xi_{n,p}$ $(A_{n,p}^+, A_{n,p}^-, A_{n,p}^\perp)$	λ $(\lambda^2, 1, \lambda)$
soft	$(\lambda, \lambda, \lambda)$	$q_{s,p}$ $A_{s,p}^\mu$	$\lambda^{3/2}$ λ
usoft	$(\lambda^2, \lambda^2, \lambda^2)$	q_{us} A_{us}^μ	λ^3 λ^2

Power counting of fields and derivatives gives a power counting for operators

Power counting of operators yields a power counting for any Feynman graph

The power counting can be associated entirely to vertices and
is then gauge invariant

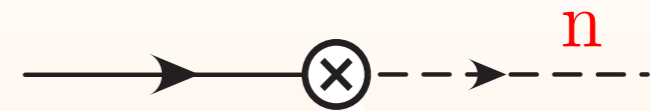
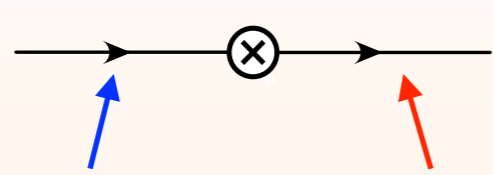
$$(A_n^+, A_n^-, A_n^\perp) \sim (\lambda^2, 1, \lambda) \sim p^\mu$$

Currents

QCD

SCET

$$\bar{\psi} \Gamma \psi$$



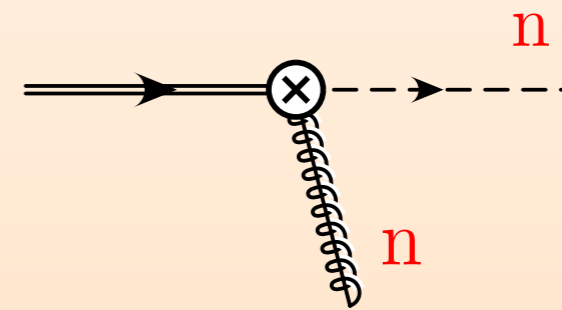
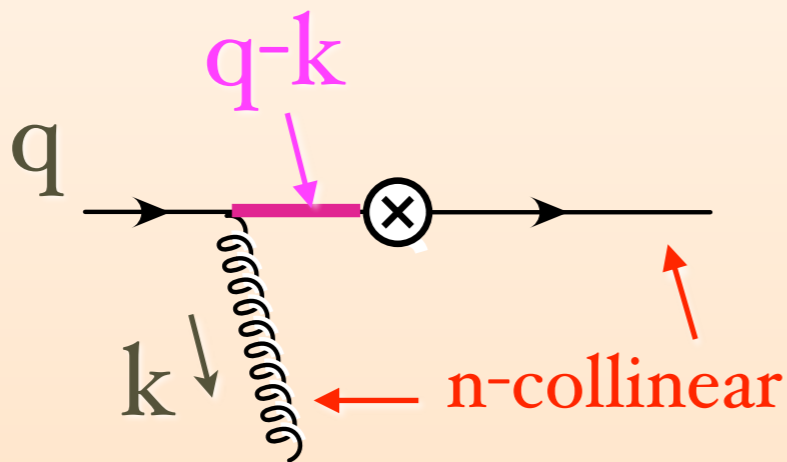
no
gluons

not n (some n' ,
or massive)

n -collinear

$$\bar{\xi}_n \Gamma \psi$$

one
gluon



offshell

$$(q - k)^2 = q^2 + k^2 - 2q \cdot k = -\bar{n} \cdot k n \cdot q + \dots$$

gluon field

$$A^a = \bar{n} \cdot A^a \frac{\not{n}}{2} + \dots$$

$$\bar{\xi}_n \frac{(-g\bar{n} \cdot A_n)}{-\bar{n} \cdot k} \Gamma \psi$$

$$\text{graph} = \bar{u}_n \Gamma \frac{i(\not{q} - \not{k} + m)}{(q - k)^2 - m^2} ig A^a T^a \gamma^\mu u(q) = \dots \text{(Homework)} \dots$$

$$= \frac{(-g\bar{n} \cdot A_n^a)}{-\bar{n} \cdot k} \bar{u}_n \Gamma T^a u(q)$$

Currents

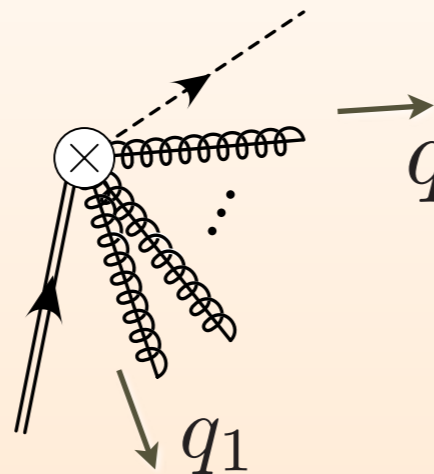
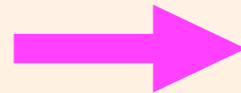
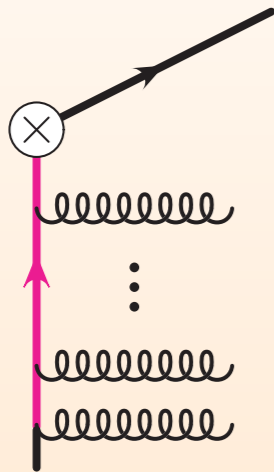
add any number of gluons



$$\bar{\xi}_n W_n \Gamma \psi$$

get a Wilson line W

offshell



$$q_m = g^m \sum_{\text{perms}} \frac{(\bar{n}^{\mu m} T^{A_m}) \dots (\bar{n}^{\mu 1} T^{A_1})}{[\bar{n} \cdot q_1][\bar{n} \cdot (q_1 + q_2)] \dots [\bar{n} \cdot \sum_{i=1}^m q_i]}$$

$\sim \lambda^0$ no cost to add these gluons

momentum space Wilson line

$$W_n = \sum_k \sum_{\text{perms}} \frac{(-g)^k}{k!} \left(\frac{\bar{n} \cdot A_{\bar{n}, q_1} \dots \bar{n} \cdot A_{\bar{n}, q_k}}{[\bar{n} \cdot q_1][\bar{n} \cdot (q_1 + q_2)] \dots [\bar{n} \cdot \sum_{i=1}^k q_i]} \right)$$

position space Wilson line

$$W_n(y, -\infty) = P \exp \left(ig \int_{-\infty}^0 ds \bar{n} \cdot A_n(y + s\bar{n}) \right)$$

$$W_n \sim \lambda^0$$

SCET Operator:

$$\bar{\xi}_n W_n \Gamma \psi$$

(more on Homework)

this is generic, gives an operator “building block”

$$\underbrace{\bar{\xi}_n W_n}_{\bar{\chi}_n}$$

like a “parton” or “jet” field

Gauge symmetry

$$U(x) = \exp [i\alpha^A(x)T^A]$$

need to consider U's
which leave us in the EFT

collinear
usoft

$$i\partial^\mu \mathcal{U}_c(x) \sim p_c^\mu \mathcal{U}_c(x) \leftrightarrow A_{n,q}^\mu$$

$$i\partial^\mu U_{us}(x) \sim p_{us}^\mu U_{us}(x) \leftrightarrow A_{us}^\mu$$

Object	Collinear \mathcal{U}_c	Usoft U_{us}
ξ_n	$\mathcal{U}_c \xi_n$	$U_{us} \xi_n$
gA_n^μ	$\mathcal{U}_c gA_n^\mu \mathcal{U}_c^\dagger + \mathcal{U}_c [i\mathcal{D}^\mu, \mathcal{U}_c^\dagger]$	$U_{us} gA_n^\mu U_{us}^\dagger$
W	$\mathcal{U}_c W$	$U_{us} W U_{us}^\dagger$
q_{us}	q_{us}	$U_{us} q_{us}$
gA_{us}^μ	gA_{us}^μ	$U_{us} gA_{us}^\mu U_{us}^\dagger + U_{us} [i\partial^\mu, U_{us}^\dagger]$
Y	Y	$U_{us} Y$

our current
is invariant:

$$(\bar{\xi}_n W) \Gamma \psi \rightarrow (\bar{\xi}_n \mathcal{U}_c^\dagger \mathcal{U}_c W) \Gamma \psi = (\bar{\xi}_n W) \Gamma \psi$$

$$\rightarrow (\bar{\xi}_n U_{us}^\dagger U_{us} W) U_{us}^\dagger \Gamma U_{us} \psi = (\bar{\xi}_n W) \Gamma \psi$$

Building Blocks:

collinear gauge invariant

quark

$$\chi_n = W_n^\dagger \xi_n$$

gluon

$$\mathcal{B}_{n\perp}^\mu = \frac{1}{g} [W_n^\dagger iD_{n\perp}^\mu W_n] = \frac{1}{g} \left[\frac{1}{i\bar{n} \cdot \partial_n} W_n^\dagger [i\bar{n} \cdot D_n, iD_{n\perp}^\mu] W_n \right]$$

field strength
+ adjoint Wilson line

$$= A_{n\perp}^\mu - \frac{k_\perp^\mu}{\bar{n} \cdot k} \bar{n} \cdot A_n(k) + \dots$$

Wilson Coefficients and Hard-Collinear Factorization

$$\begin{aligned} \text{hard:} \quad p^\mu &\sim \frac{(+, -, \perp)}{(1, 1, 1)} \\ \text{collinear:} \quad p^\mu &\sim (\lambda^2, 1, \lambda) \end{aligned}$$

can exchange momenta

$$i\bar{n} \cdot \partial_n \sim \lambda^0$$

Constrained by gauge invariance:

$C(i\bar{n} \cdot \partial_n)$ coefficients depend on large collinear momenta

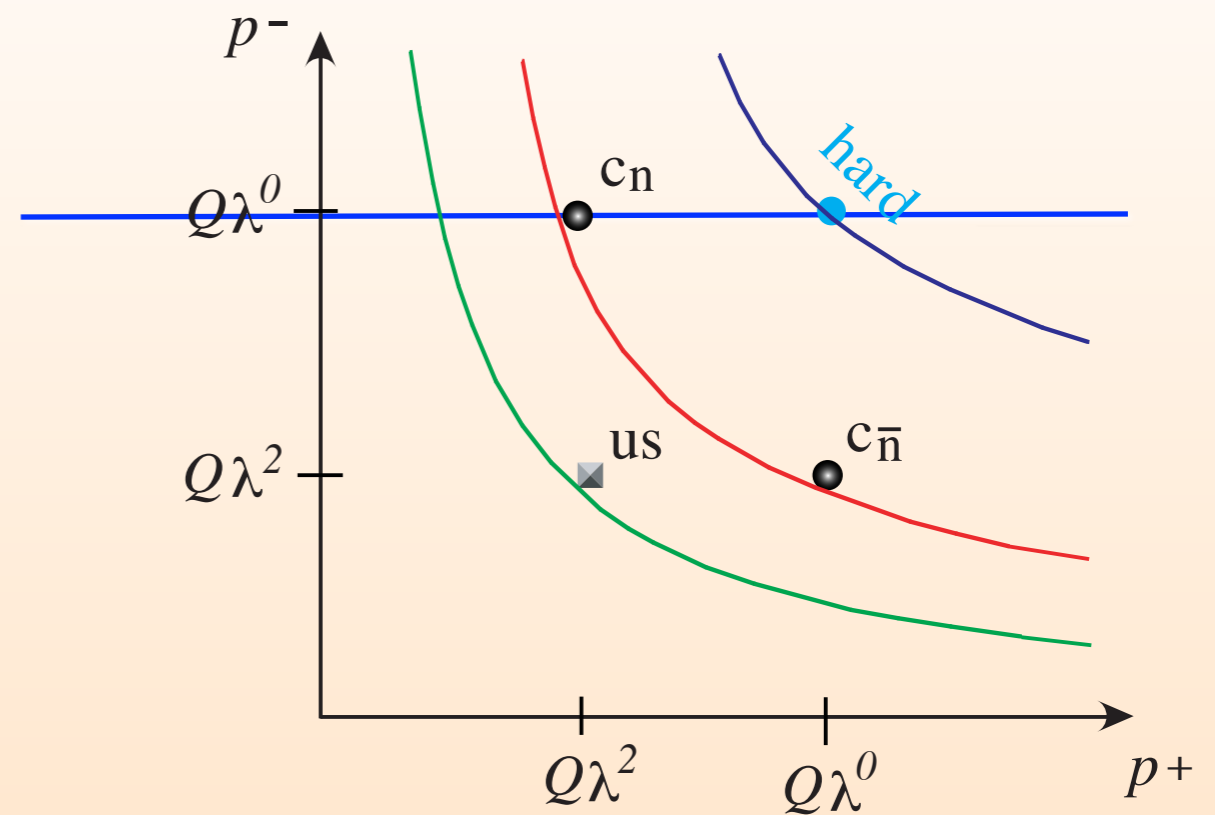
$$\text{eg.} \quad C(i\bar{n} \cdot \partial_n) \chi_n = \int d\omega C(\omega) \delta(\omega - i\bar{n} \cdot \partial_n) \chi_n$$

only the product is gauge invariant

$$\chi_n = W_n^\dagger \xi_n$$

implies convolutions between
coefficients and operators

$$\int d\omega C(\omega, \mu) O(\omega, \mu)$$



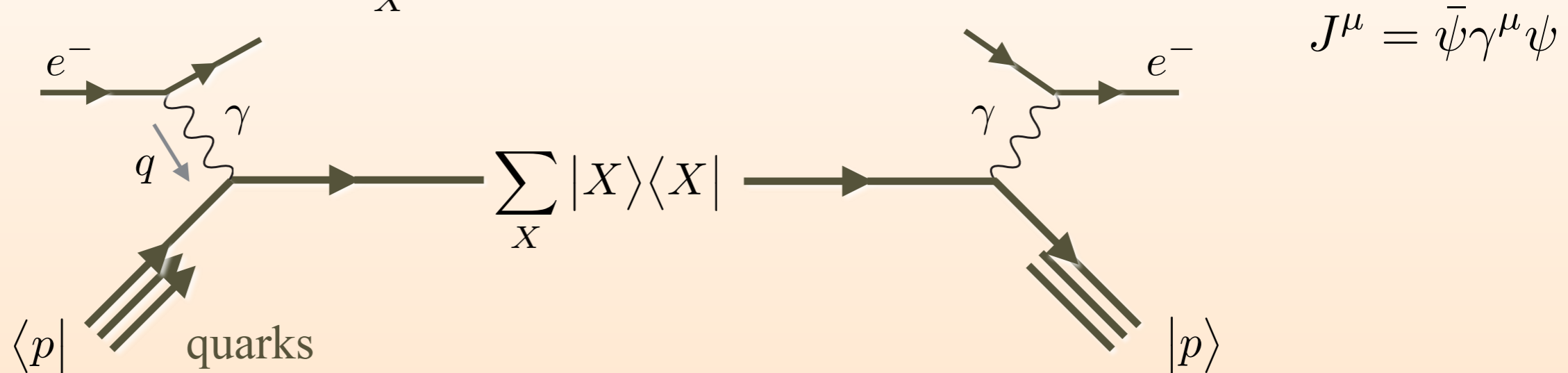
Deep Inelastic Scattering

$$e^- p \rightarrow e^- X$$

inclusive factorization

[full analysis requires bit more knowledge, eg. SCET Lagrangian, here we cover the key conceptual part, skipping softs, prefactors, tensor indices, etc.]

$$\sigma = (\text{prefactor}) L_{\mu\nu}^{\text{leptons}} \sum_X (2\pi)^4 \delta^{(4)}(p_p + q - p_X) \langle p | J^\mu(0) | X \rangle \langle X | J^\nu(0) | p \rangle$$



$$q = (0, 0, 0, Q) = \frac{Q}{2} (\bar{n} - n) \quad \text{picked a frame (Breit frame), Bjorken} \quad x = \frac{Q^2}{2p_p \cdot q}$$

$$q^2 = -Q^2 \text{ spacelike} \quad Q^2 \gg \Lambda_{\text{QCD}}^2 \quad \lambda = \frac{\Lambda_{\text{QCD}}}{Q} \ll 1$$

Proton
$$p_p^\mu = \frac{n^\mu}{2} \bar{n} \cdot p_p + \frac{\bar{n}^\mu}{2} \frac{m_p^2}{\bar{n} \cdot p_p} \quad \text{\textit{n-collinear}} \quad \bar{n} \cdot p_p = \frac{Q}{x}$$

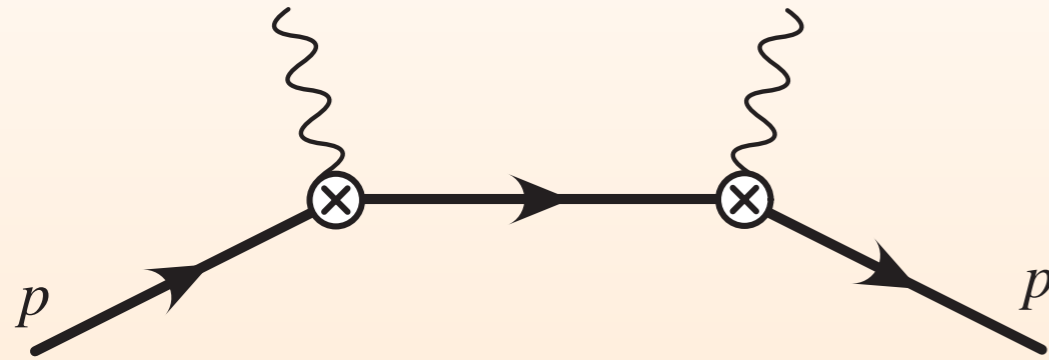
big small

X
$$p_X^\mu = p_p^\mu - q^\mu = \frac{n^\mu}{2} \frac{Q(1-x)}{x} + \frac{\bar{n}^\mu}{2} Q \quad \text{hard, offshell}$$

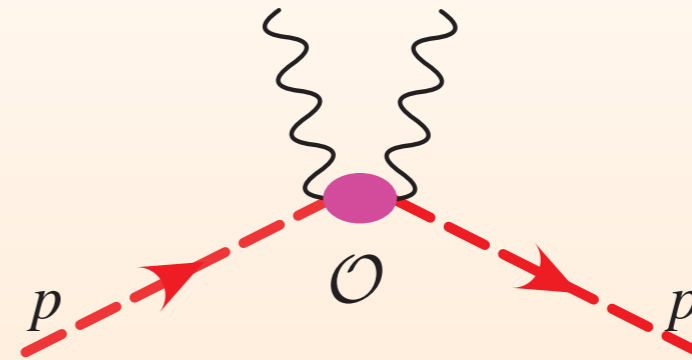
Deep Inelastic Scattering

$$e^- p \rightarrow e^- X$$

QCD



SCET



$$\mathcal{O} = \bar{\chi}_n \frac{\not{n}}{2} \chi_n$$

$$\mathcal{O} \sim \lambda^2$$

(twist 2)

Add arbitrary perturbative corrections (any order in α_s)

$$\mathcal{L}_{\text{int}}^{\text{hard}} = \int d\omega d\omega' C(\omega, \omega') \bar{\chi}_n \frac{\not{n}}{2} \delta(\omega' + i\bar{n} \cdot \overleftarrow{\partial}_n) \delta(\omega - i\bar{n} \cdot \partial_n) \chi_n$$

forward matrix element fixes $\omega' = \omega$

$$\sigma = (\text{prefactor}) \int d\omega \text{Im} C(\omega, \mu) \langle p | \bar{\chi}_n \frac{\not{n}}{2} \delta(\omega - i\bar{n} \cdot \partial_n) \chi_n | p \rangle (\mu)$$

$$\omega = \xi \bar{n} \cdot p_p$$

$$= (\text{prefactor}) \int \frac{d\xi}{\xi} H\left(\frac{\xi}{x}, Q, \mu\right) f(\xi, \mu)$$

hard perturbative corrections

parton distribution function

A more detailed set of SCET lecture notes can be found under “textbooks” in the 8.EFTx course.

All suggested homework problems can be accessed through the chapter for this school in 8.EFTx. The homework requires long answer (equation) solutions and is computer graded, so you will get immediate feedback.

To access the materials in 8.EFTx:
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